

Single-Spin Asymmetries and Transverse-Momentum-Dependent Distributions at RHIC

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Spin physics at RHIC

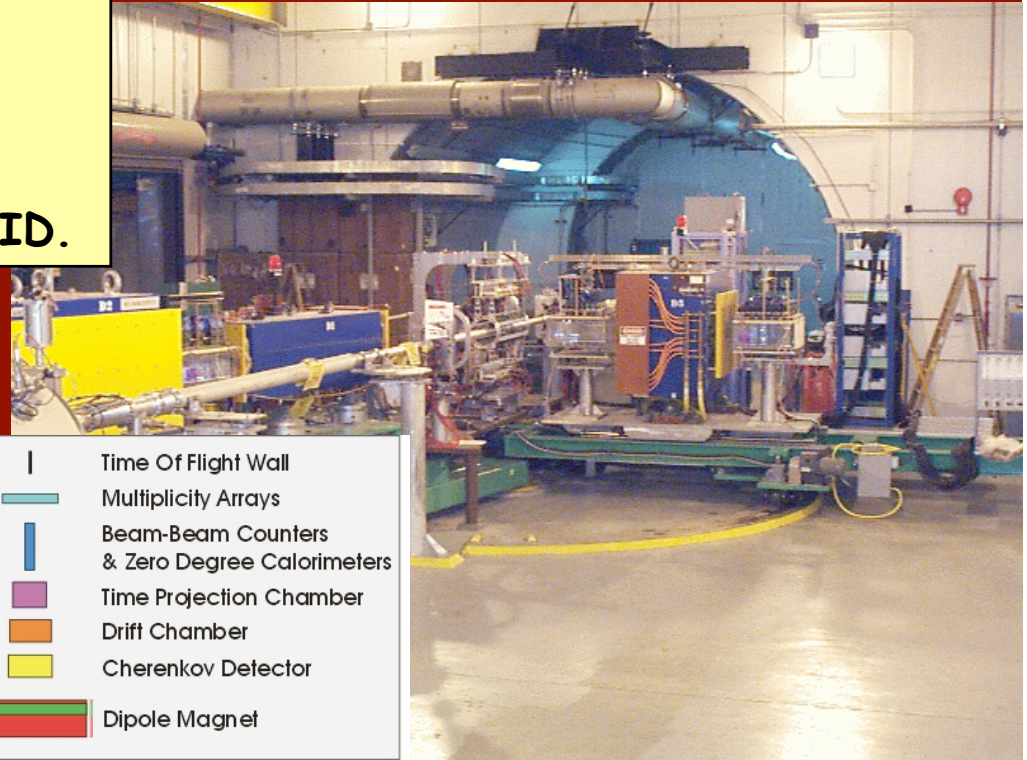
- Polarized protons at RHIC 2002-present
- Mainly $\sqrt{s} = 200$ GeV, also 62.4 GeV in 2006, started 500 GeV program in 2009
- Two large multipurpose detectors: STAR and PHENIX
 - Longitudinal or transverse polarization
- One small spectrometer until 2006: BRAHMS
 - Transverse polarization only



BRAHMS detector

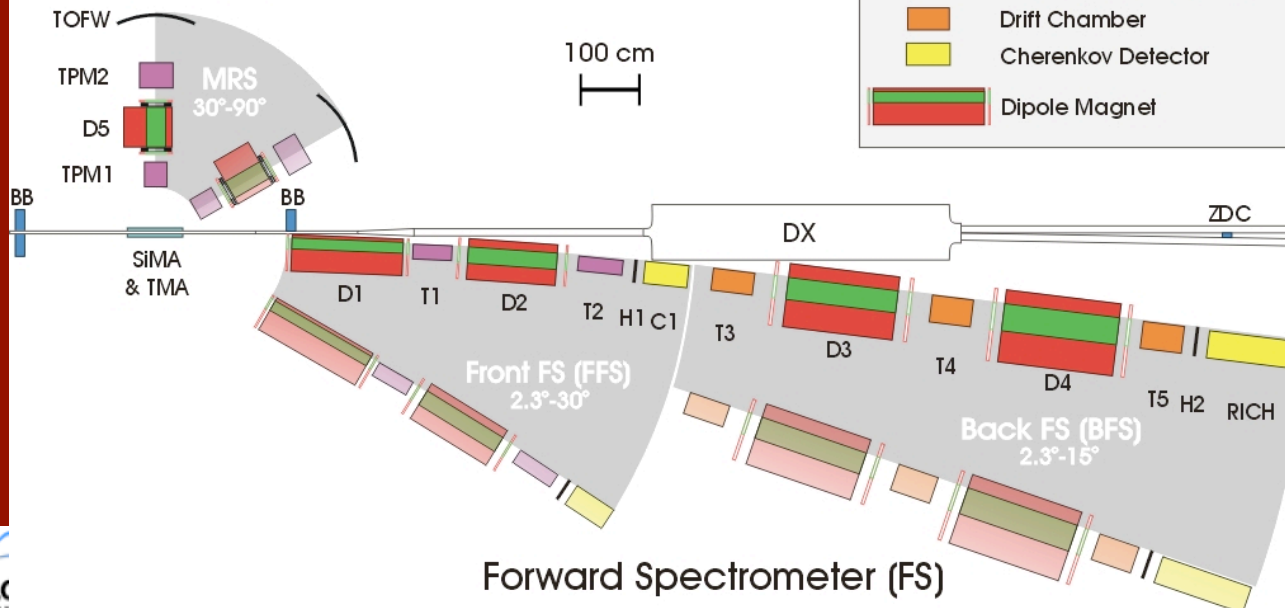
Philosophy:

Small acceptance spectrometer arms designed with good charged particle ID.



BRAHMS Experimental Setup

Mid Rapidity Spectrometer



PHENIX detector

Philosophy:

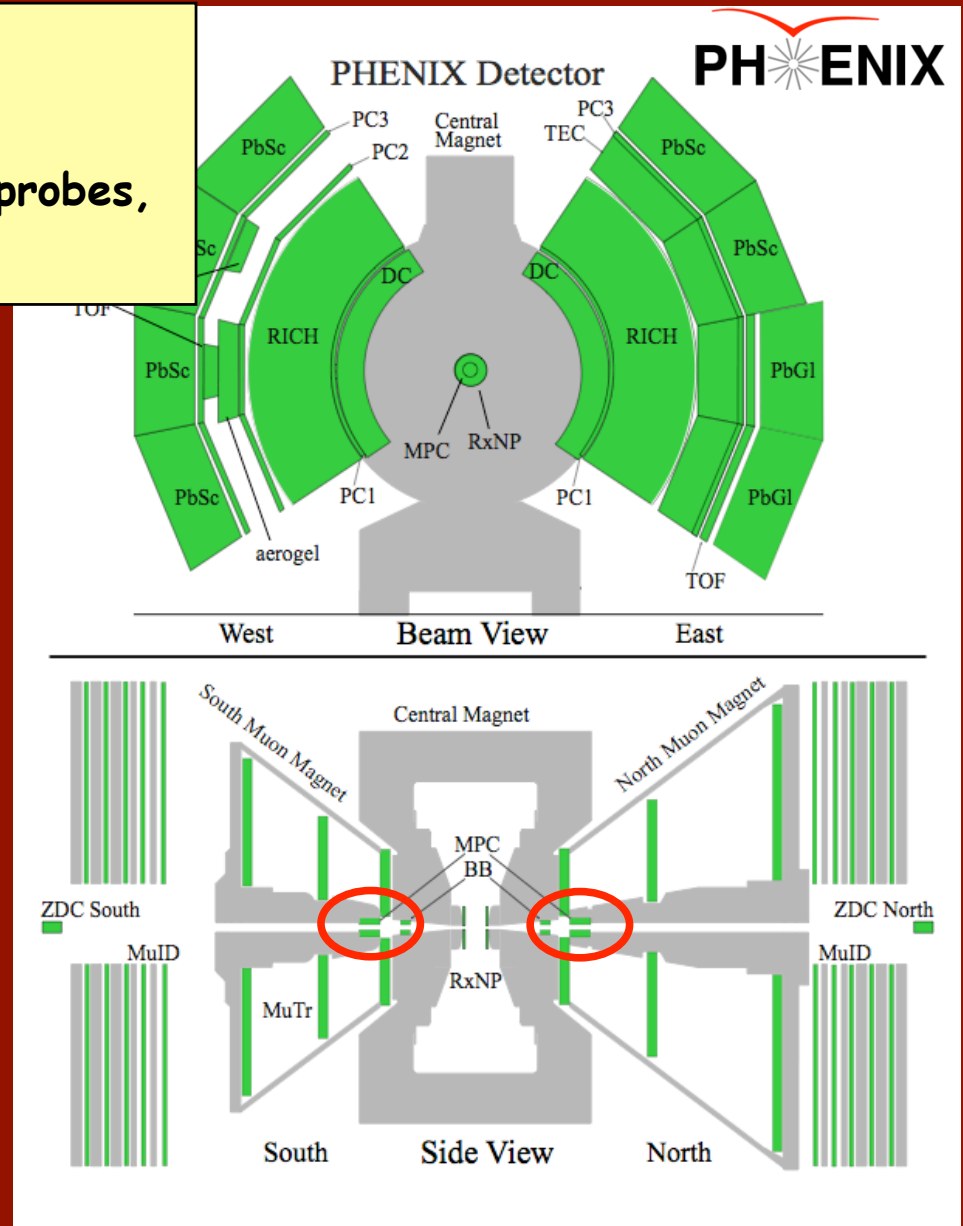
High rate capability to measure rare probes,
limited acceptance.

- 2 central spectrometers
 - Track charged particles and detect electromagnetic processes

$|\eta| < 0.35$
 $90^\circ + 90^\circ$ azimuth
- 2 forward muon spectrometers
 - Identify and track muons

$1.2 < |\eta| < 2.4$
 2π azimuth
- 2 forward calorimeters (as of 2007)
 - Measure forward pions, etas

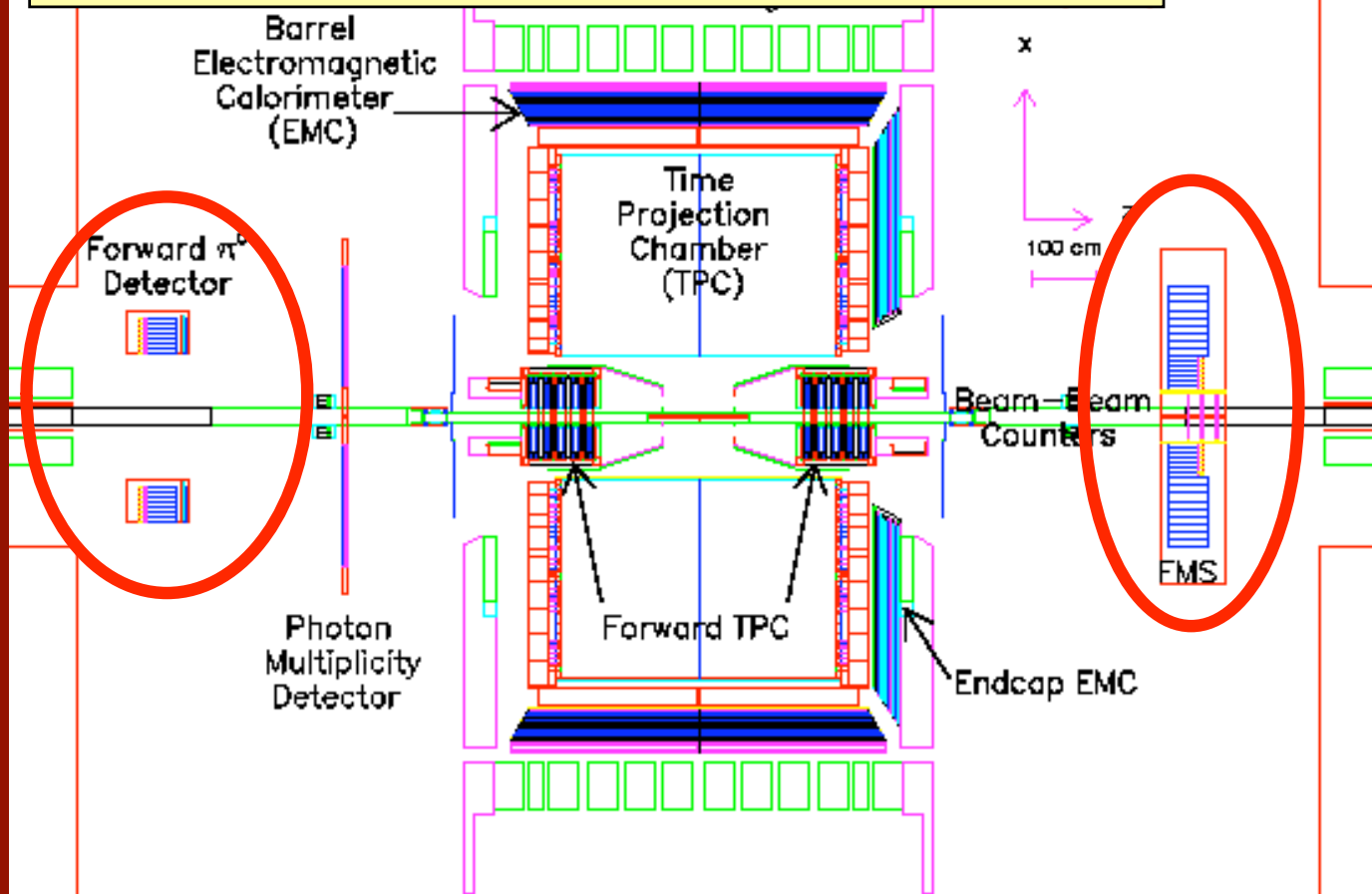
$3.1 < |\eta| < 3.7$
 2π azimuth
- Relative Luminosity
 - Beam-Beam Counter (BBC)
 - Zero-Degree Calorimeter (ZDC)



STAR detector

Philosophy:

Large acceptance, lower rate capability.



TPC: $-1.0 < \eta < 1.0$
FTPC: $2.8 < |\eta| < 3.8$
BBC: $2.2 < |\eta| < 5.0$
EMC: $1 < \eta < 2$
BEMC: $-1 < \eta < 1$

FPD/FPD++:
 $\eta \sim 3.3 - 4.1$

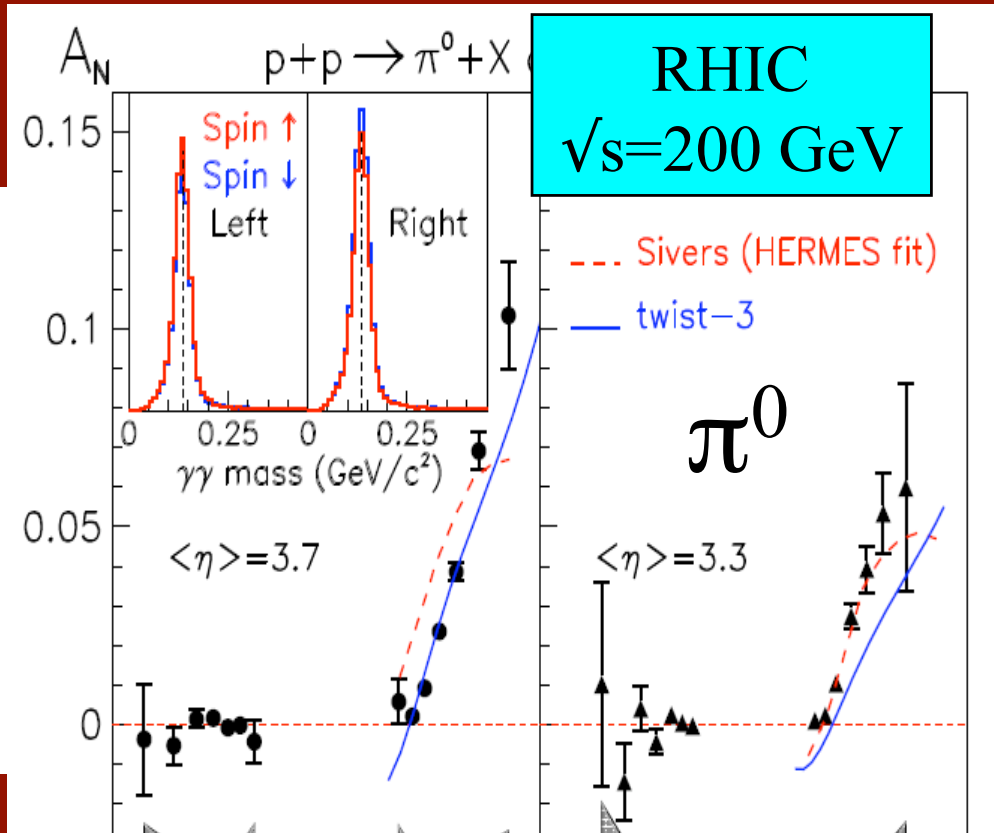
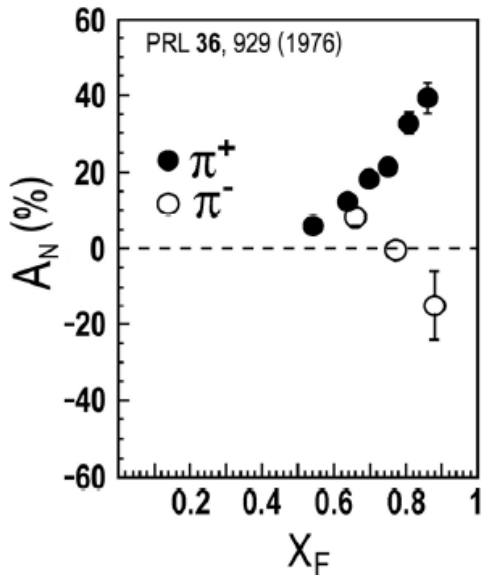
As of 2008
FMS: $2.5 < \eta < 4.1$

STAR RUN8 Configuration

Transverse Single-Spin Asymmetries: From Low to High Energies!

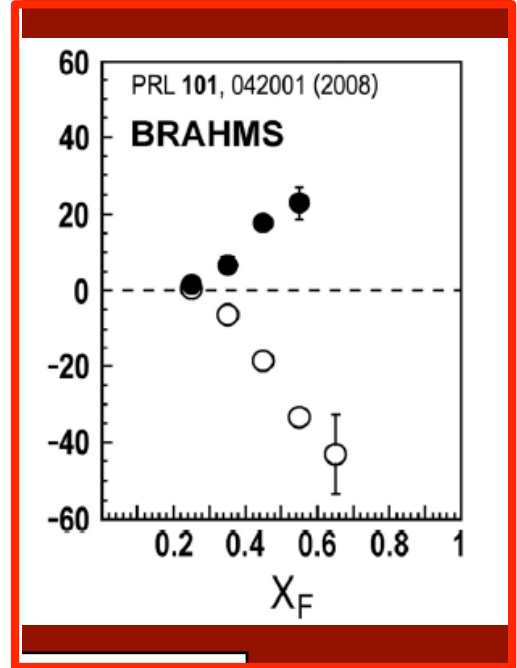


ANL
 $\sqrt{s}=4.9$ GeV



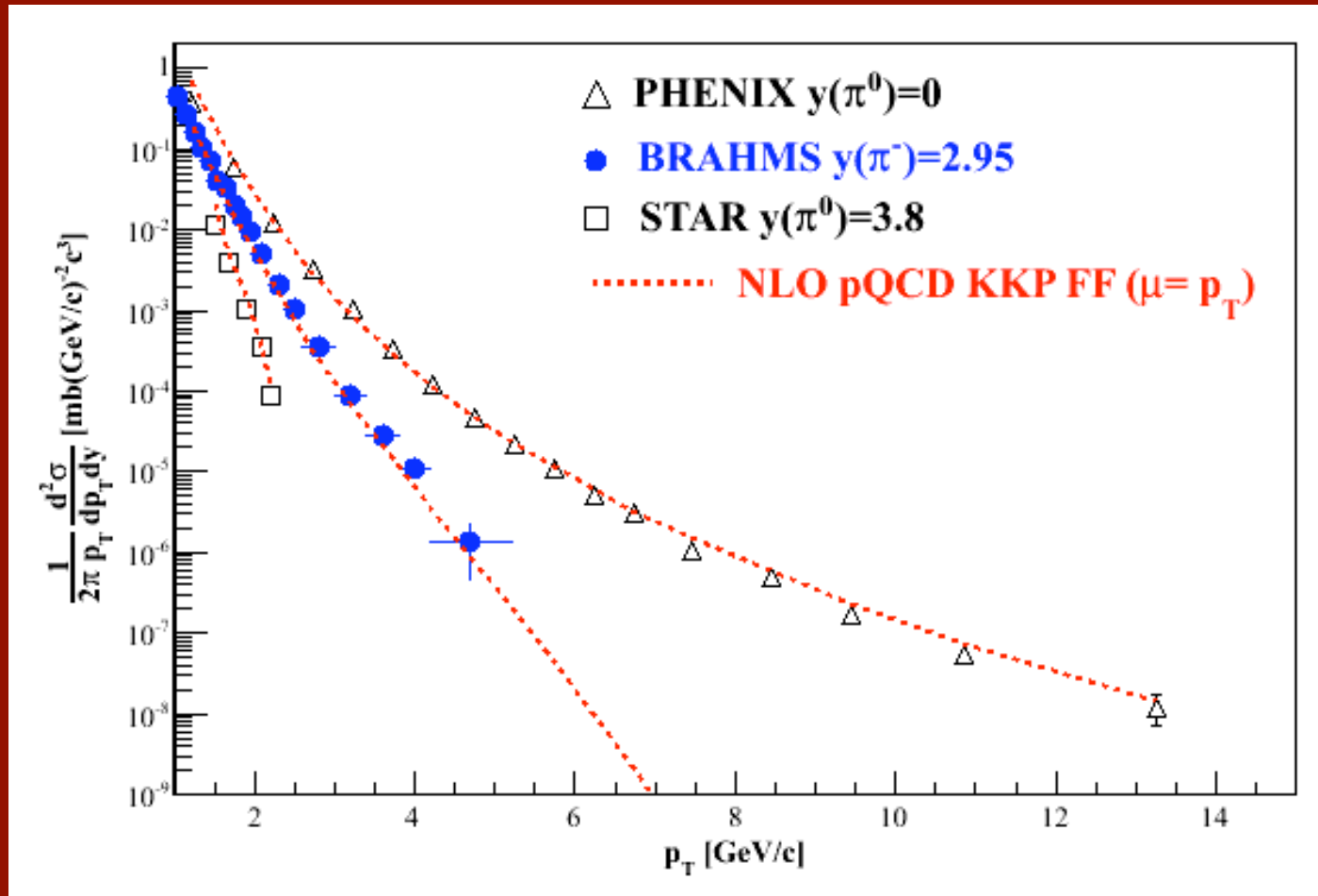
RHIC
 $\sqrt{s}=200$ GeV

RHIC
 $\sqrt{s}=62.4$ GeV



These soft effects—due to QCD *dynamics* within the nucleon—remain relevant up to scales where we can attempt to describe them using pQCD

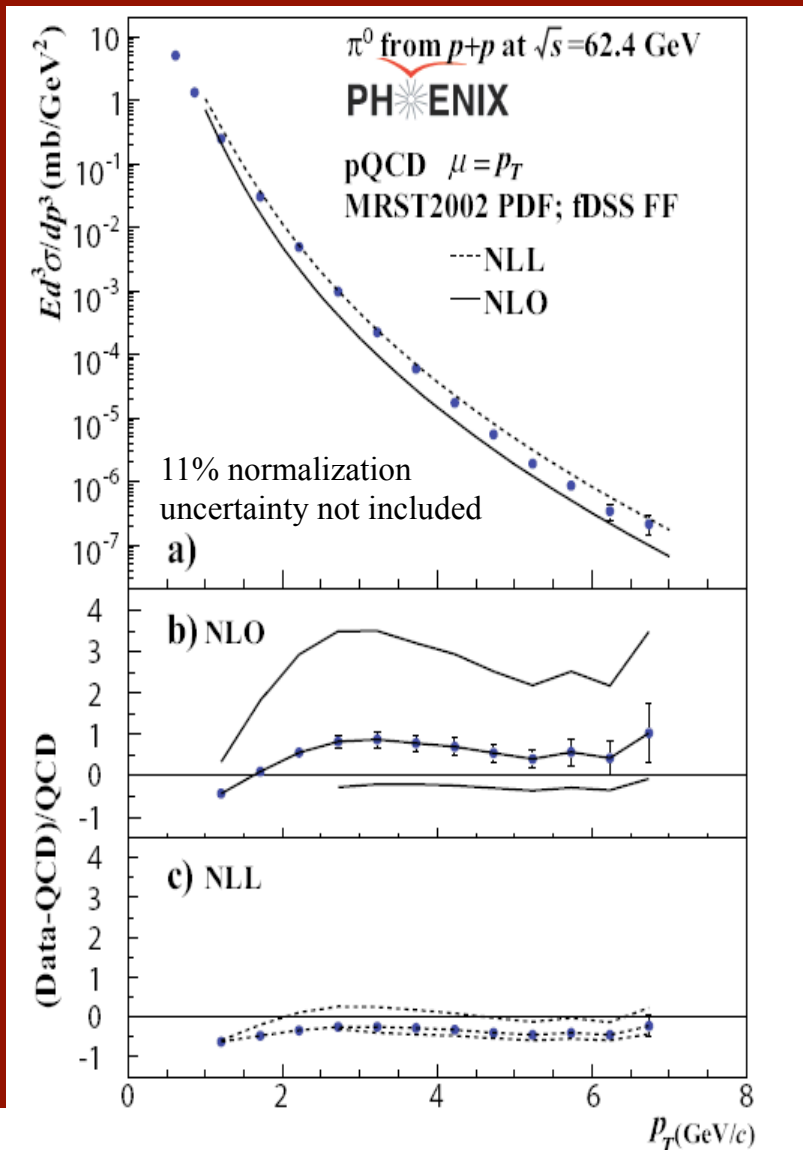
Polarization-averaged cross sections at $\sqrt{s}=200\text{ GeV}$



Good description at 200 GeV over all rapidities down to p_T of 1-2 GeV/c.

Lower energies: $\sqrt{s}=62.4$ GeV

PRD79, 021002 (2009) *Midrapidity pions*



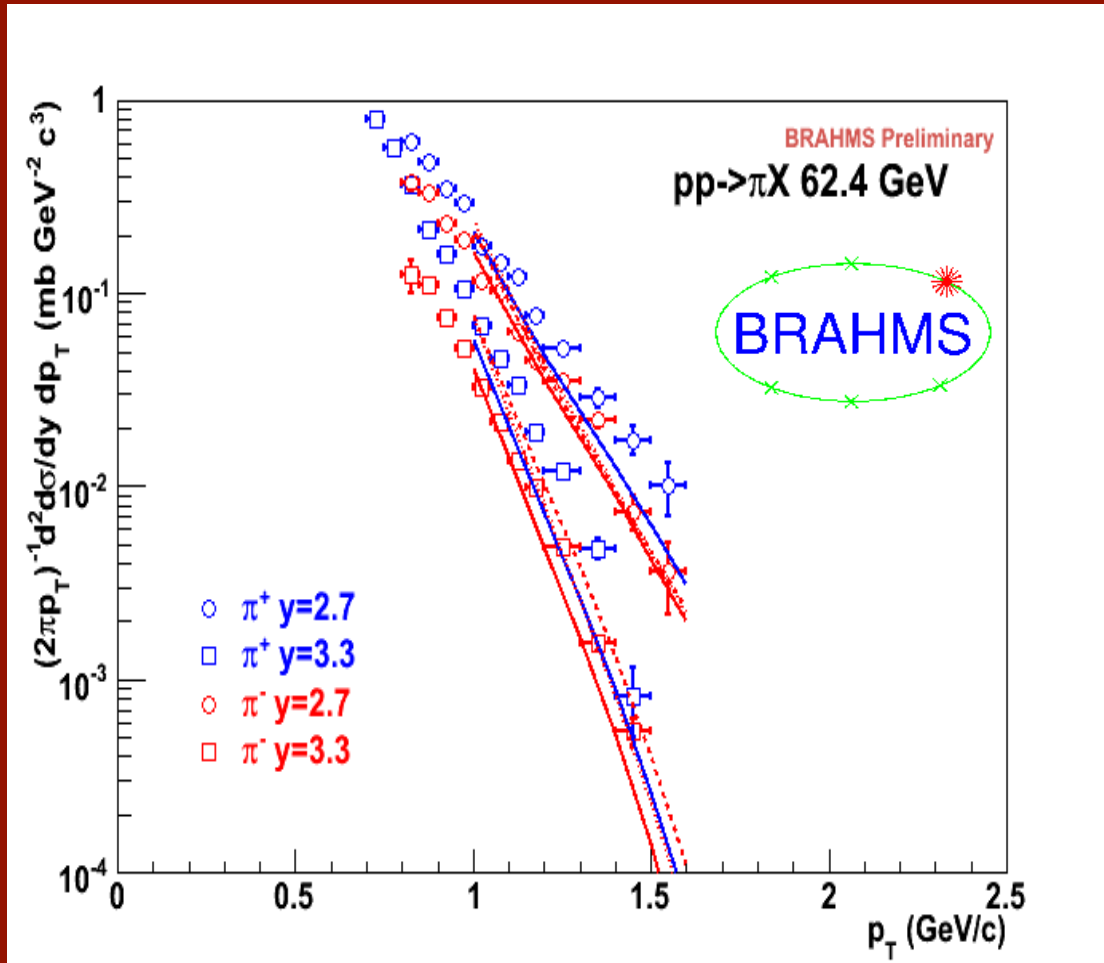
Comparisons to NLO and NLL pQCD calculations using $\mu=p_T$ shown.

Unlike at 200 GeV, scale choice of $\mu=p_T$ underpredicts the data.

→ Threshold logarithm effects still relevant at this intermediate energy?

But—overall, pretty good agreement!

$\sqrt{s}=62.4 \text{ GeV}$ Forward pions



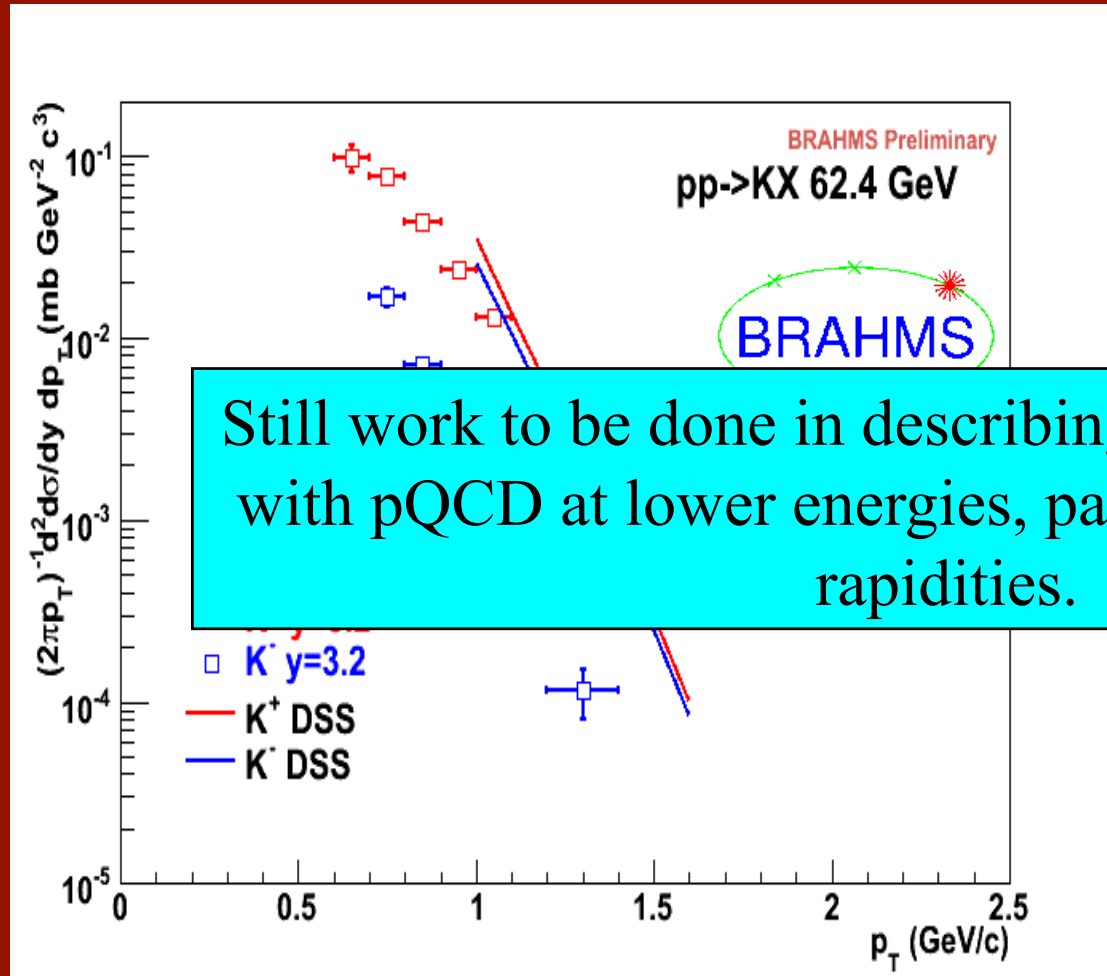
Comparison of NLO pQCD calculations with BRAHMS π data at high rapidity. The calculations are for a scale factor of $\mu=p_T$, KKP (solid) and DSS (dashed) with CTEQ5 and CTEQ6.5.

Surprisingly good description of data, in apparent disagreement with earlier analysis of ISR π^0 data at 53 GeV.

No comparison to NLL yet.

Still not so bad!

$\sqrt{s}=62.4 \text{ GeV}$ Forward kaons



Still work to be done in describing hadronic collisions with pQCD at lower energies, particularly at forward rapidities.

K^- data suppressed ~order of magnitude (valence quark effect).

NLO pQCD using recent PDF's (??).

Related to FF's? PDF's??

No comparison to NLL yet.

K^+ : Not bad!
 K^- : Hmm...

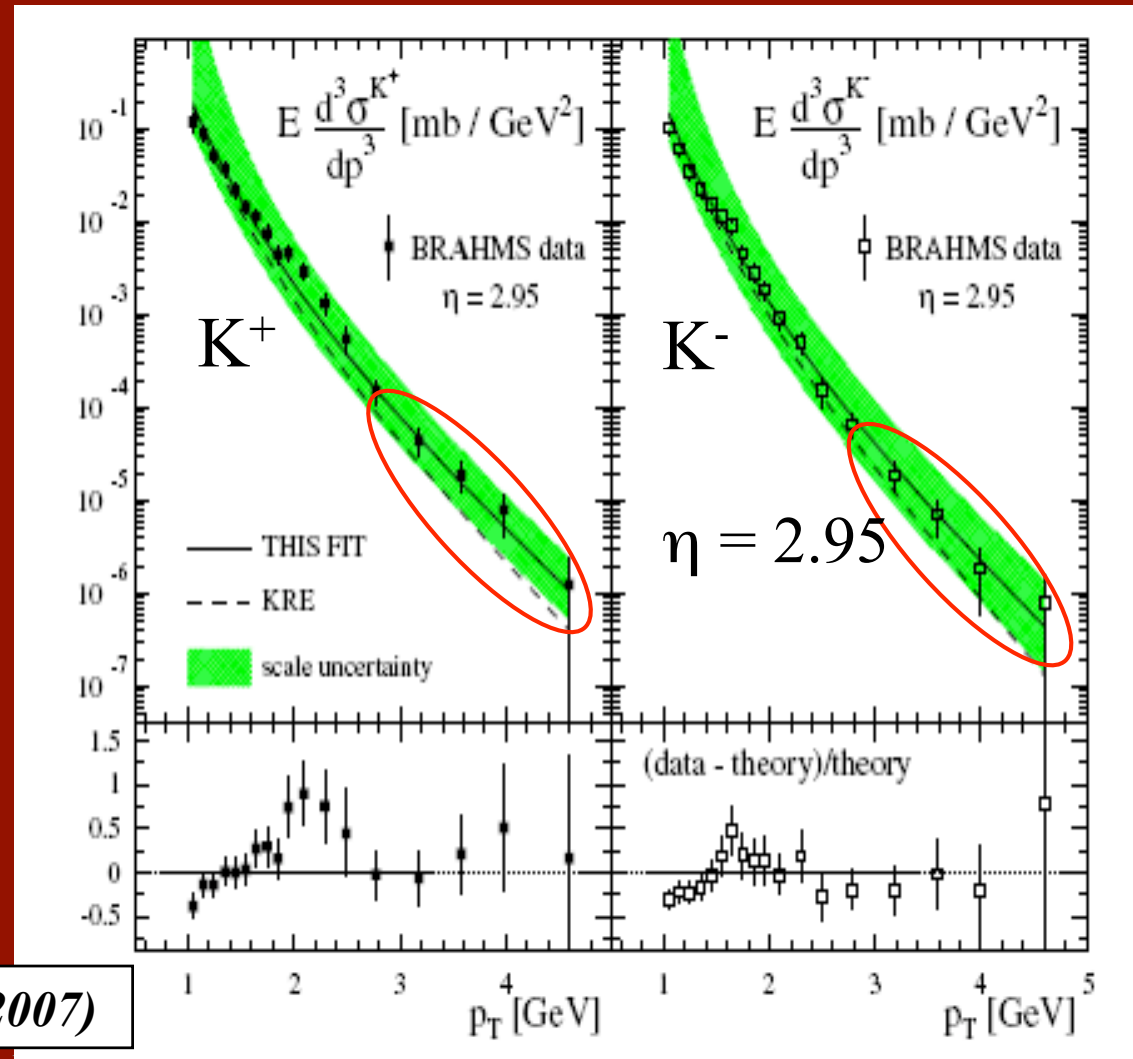
Improving input to pQCD calculations

Hadronic collision data now being included in fragmentation function fits

- de Florian, Sassot and Stratmann (PRD 75, 114010 (2007) and other works)



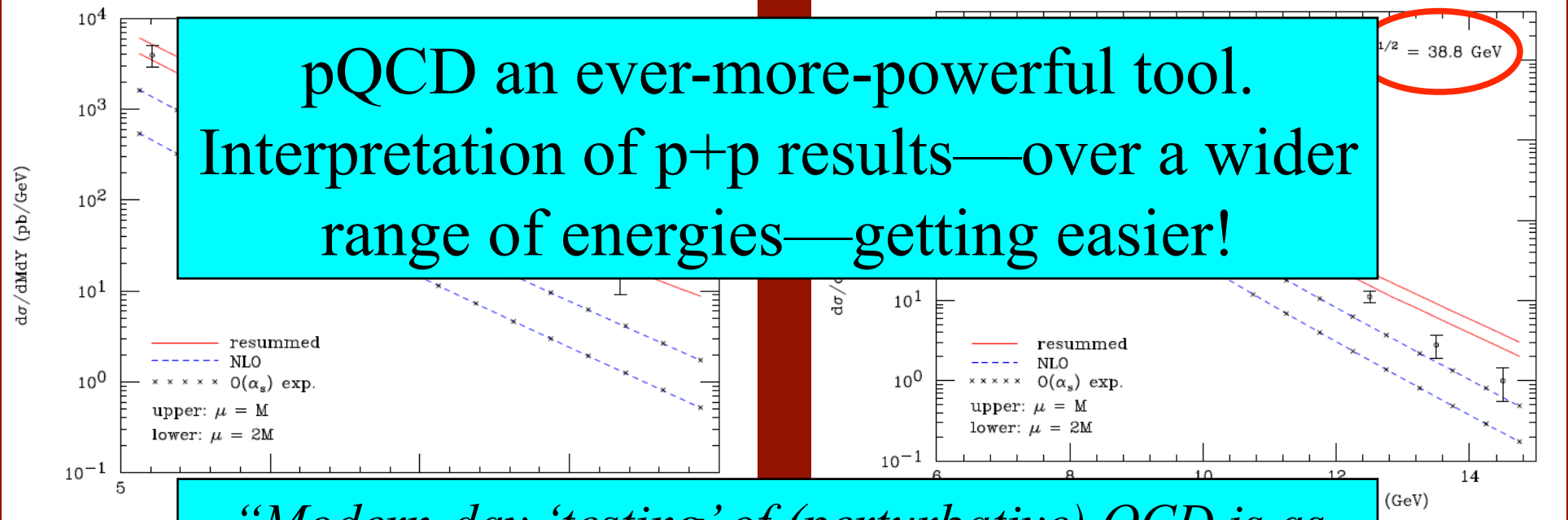
BRAHMS data: PRL 98, 252001 (2007)



Progress in pQCD calculational techniques

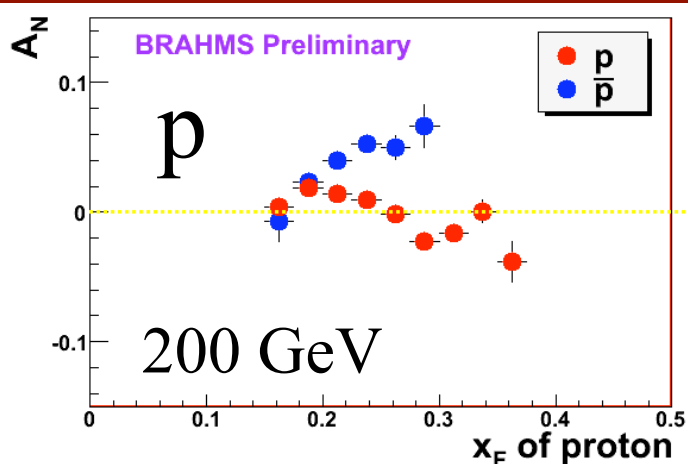
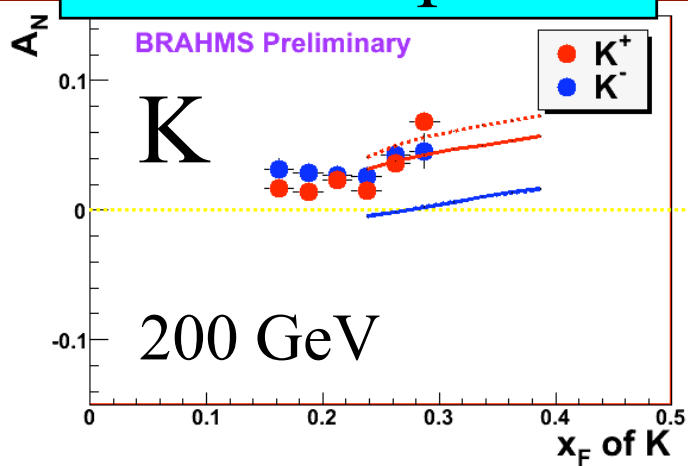
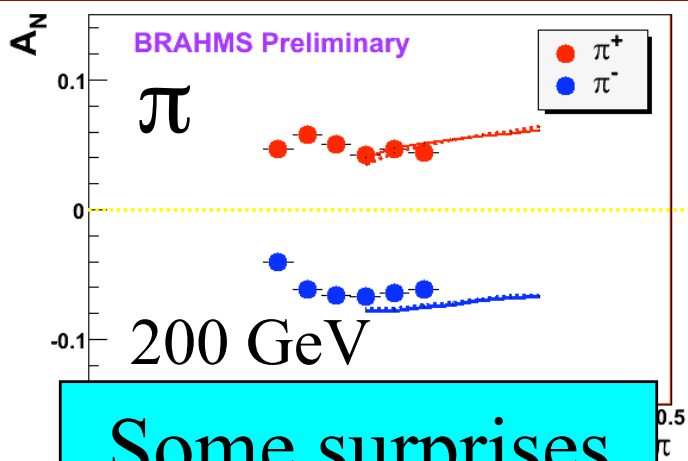
38.8 GeV!

pQCD an ever-more-powerful tool.
Interpretation of p+p results—over a wider
range of energies—getting easier!



“Modern-day ‘testing’ of (perturbative) QCD is as
much about pushing the boundaries of its
applicability as about the verification that QCD is the
correct theory of hadronic physics.”

– G. Salam, hep-ph/0207147 (DIS2002 proceedings)



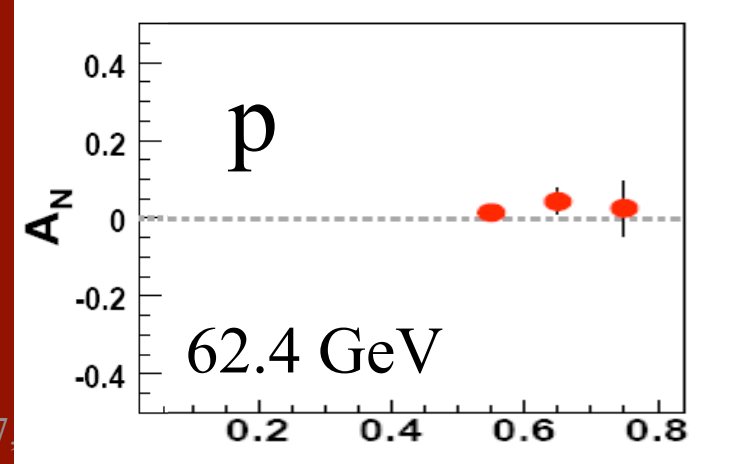
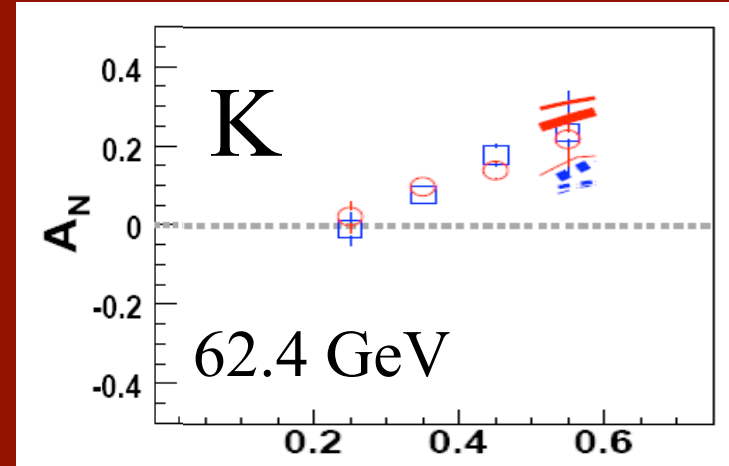
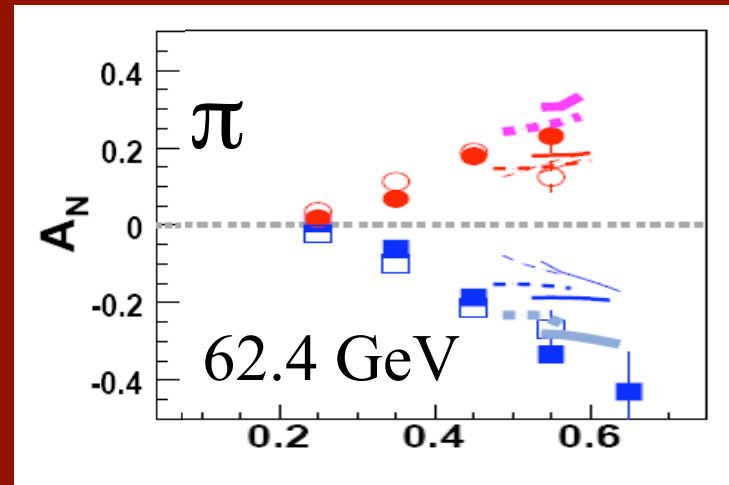
SSAs observed at RHIC: 200 and 62.4 GeV

Note different scales

K- asymmetries underpredicted



Large antiproton asymmetry??
Unfortunately no 62.4 GeV measurement



Another Surprise: Transverse Single-Spin Asymmetry in Eta Meson Production

$$p^\uparrow + p \rightarrow \eta + X \quad \sqrt{s} = 200 \text{ GeV}$$

$\eta \rightarrow$

Further evidence against a valence quark effect!

Larger than the neutral pion!

$$.55 \pm 0.05 X_F$$

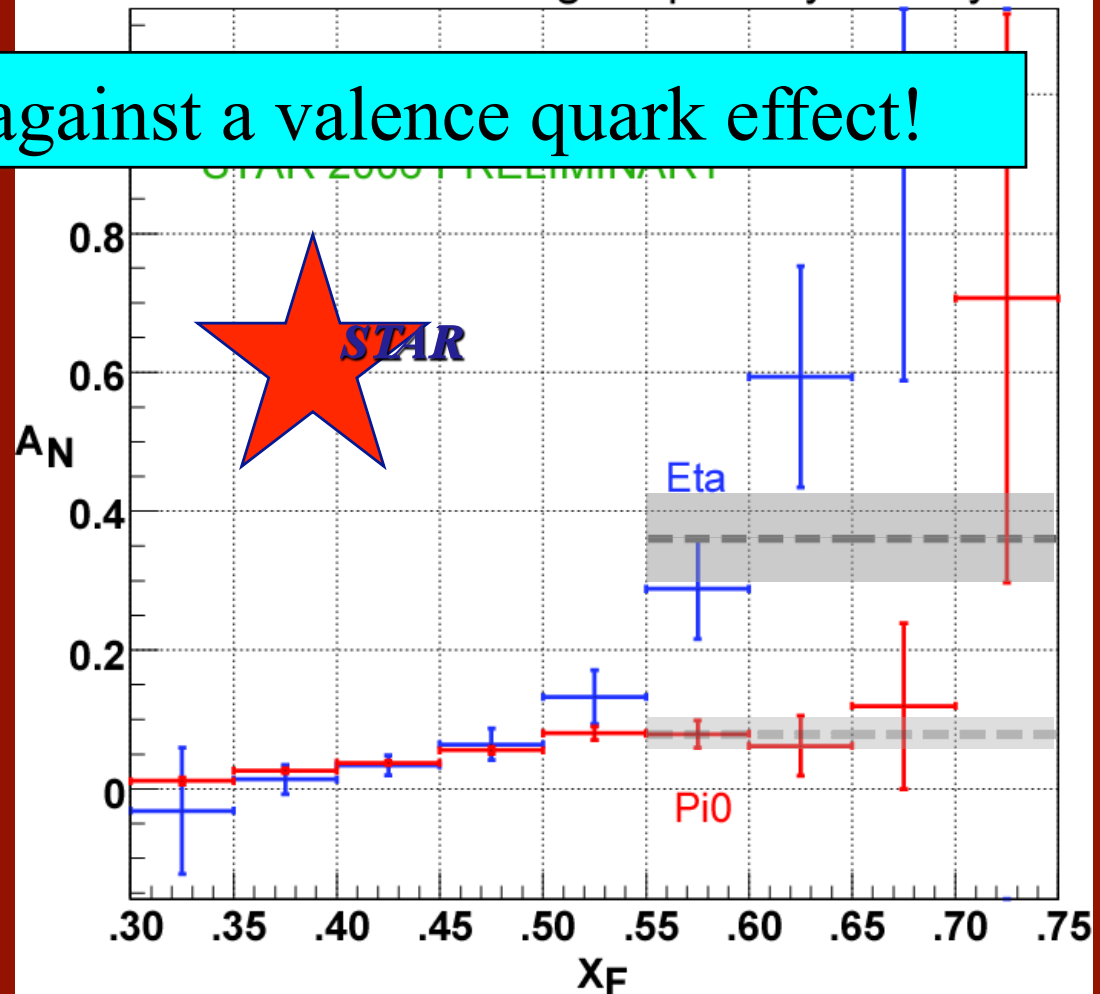
$$\langle A_N \rangle_F = 0.36 \pm 0.064$$

$$\langle A_N \rangle_M = 0.078 \pm 0.018$$

$$\pi^0 \equiv \frac{u\bar{u} - d\bar{d}}{\sqrt{2}}$$

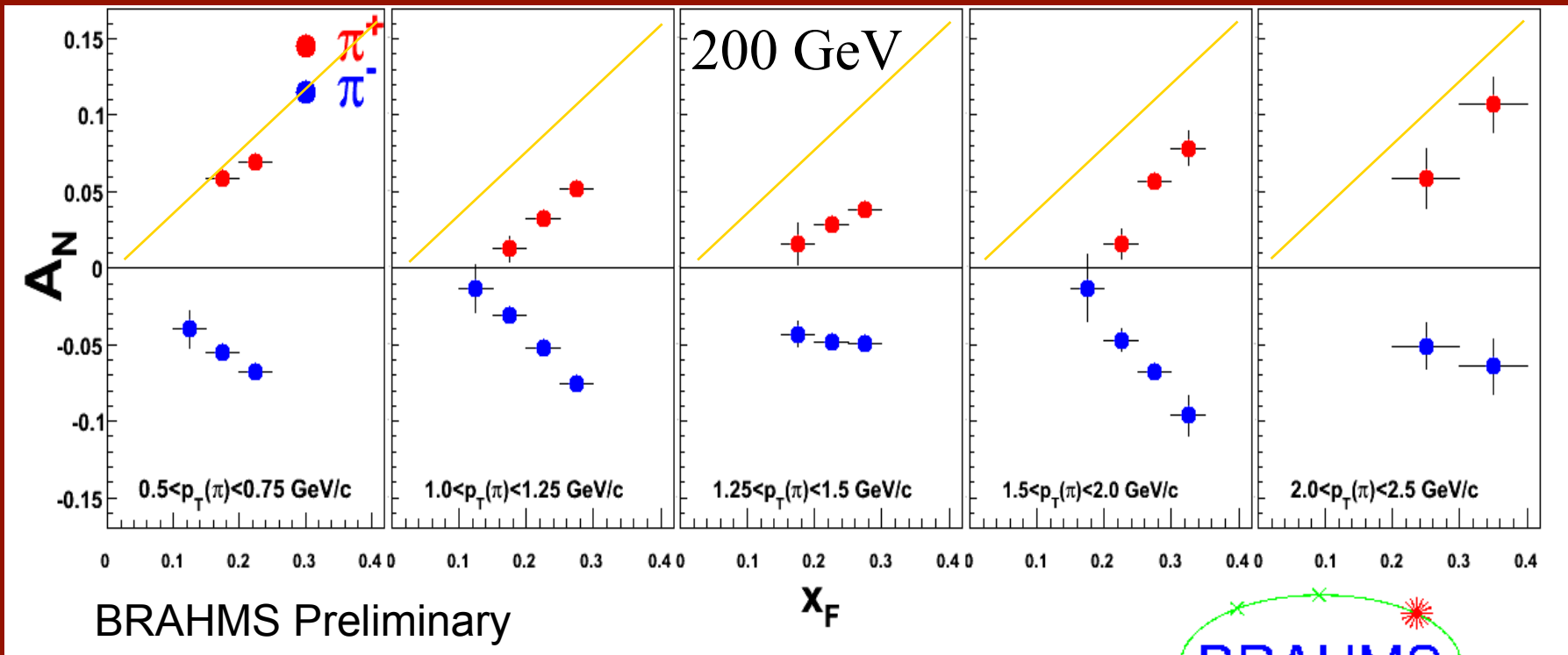
$$\eta \equiv \frac{u\bar{u} + d\bar{d} - 2s\bar{s}}{\sqrt{6}}$$

Yellow Beam Single Spin Asymmetry

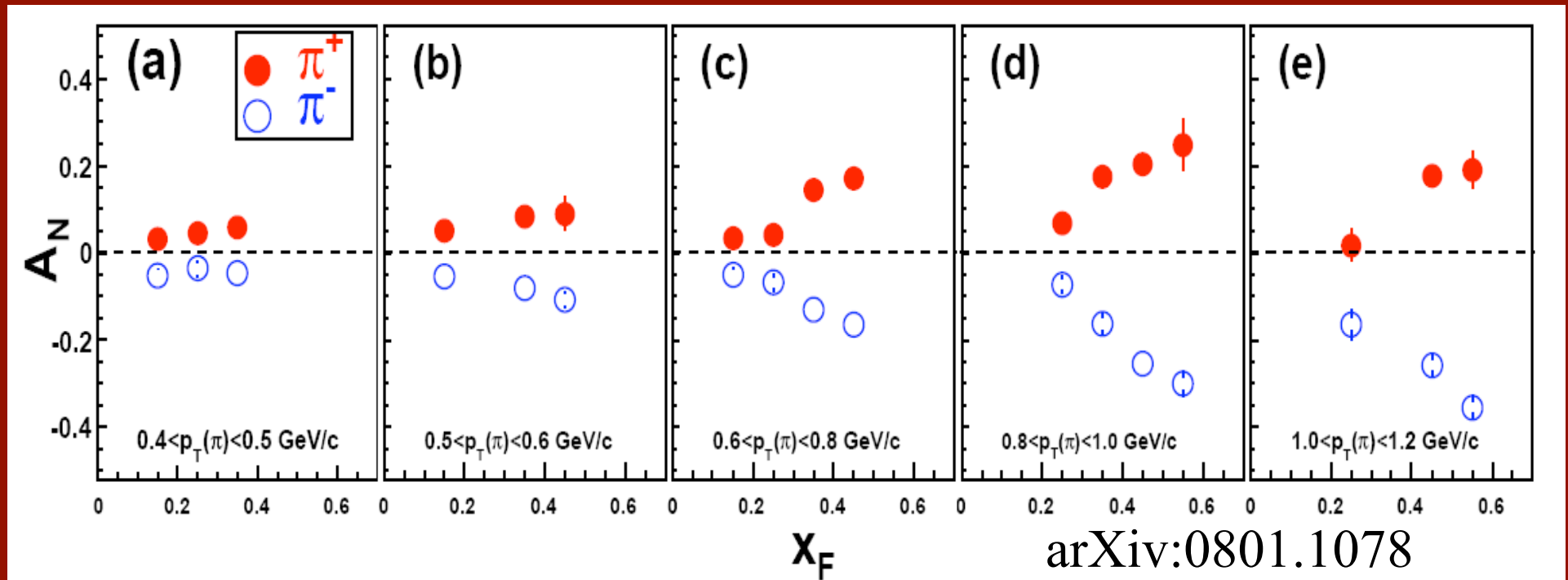


x_F dependence in p_T slices, 200 GeV

- At all p_T , increasing A_N with x_F .
- Magnitude is approximately constant at $p_T > 1.5$ GeV/c



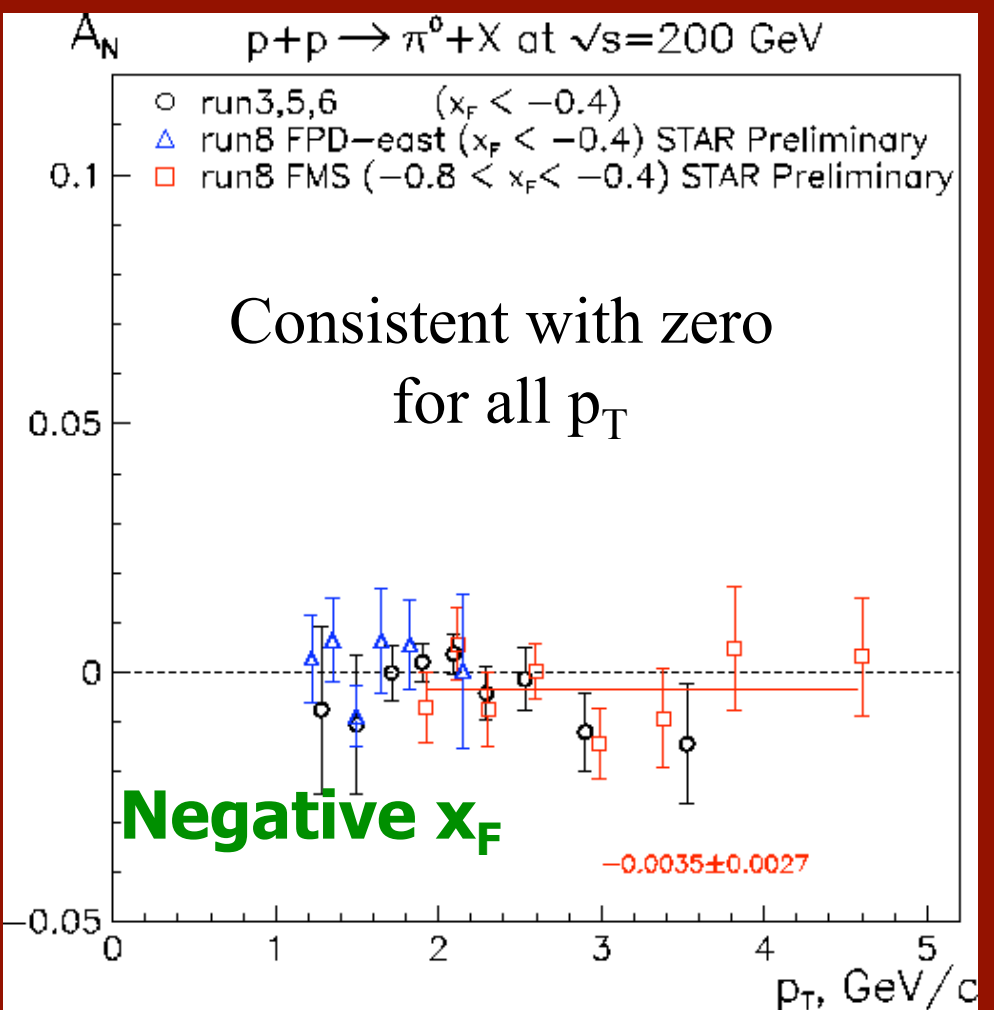
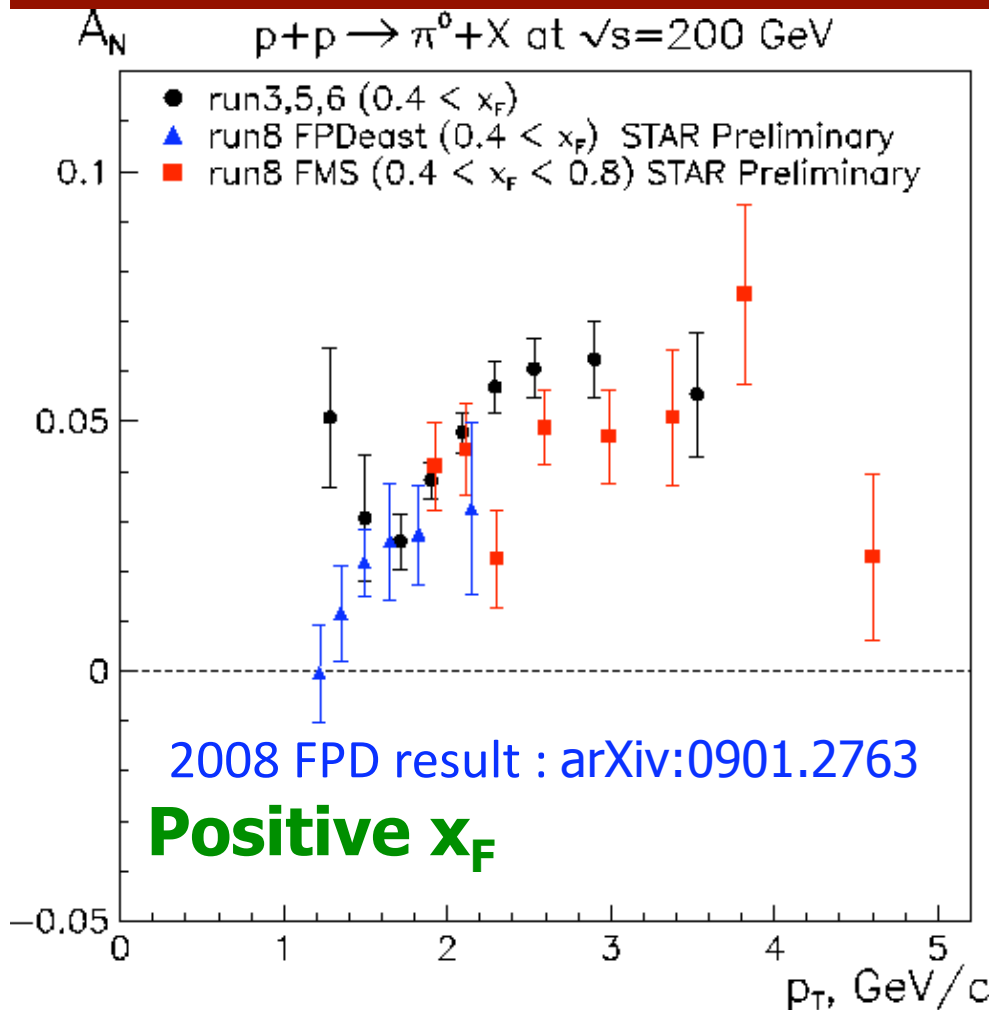
$A_N x_F - p_T$ dependence at $\sqrt{s} = 62.4 \text{ GeV}$



At low- p_T $A_N(\pi)$ increases with p_T .
 (Theoretically constrained to be 0 at $p_T=0$)

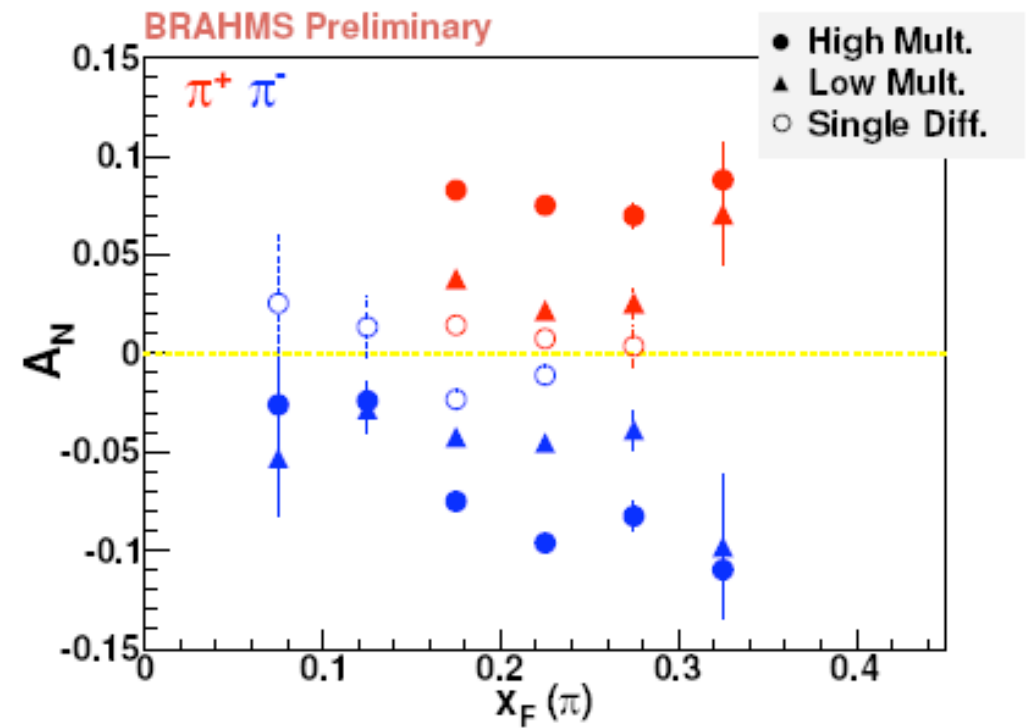
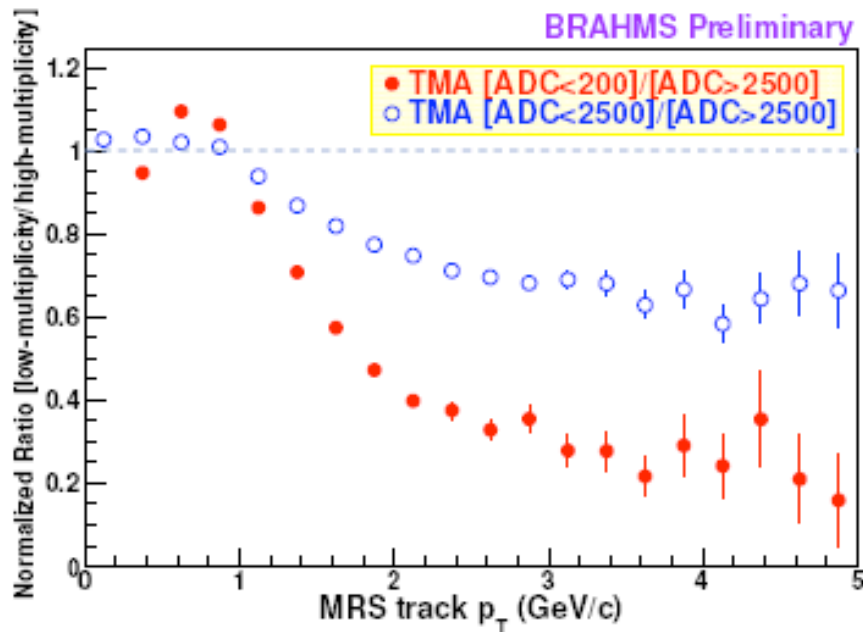


$A_N \rightarrow 0$ for $p_T \rightarrow 0$ now observed



But looks like positive A_N persists up to $p_T \sim 5 \text{ GeV}$!
Expected decrease as $\sim 1/p_T$ not observed.

Multiplicity dependence of pion SSA at 200 GeV

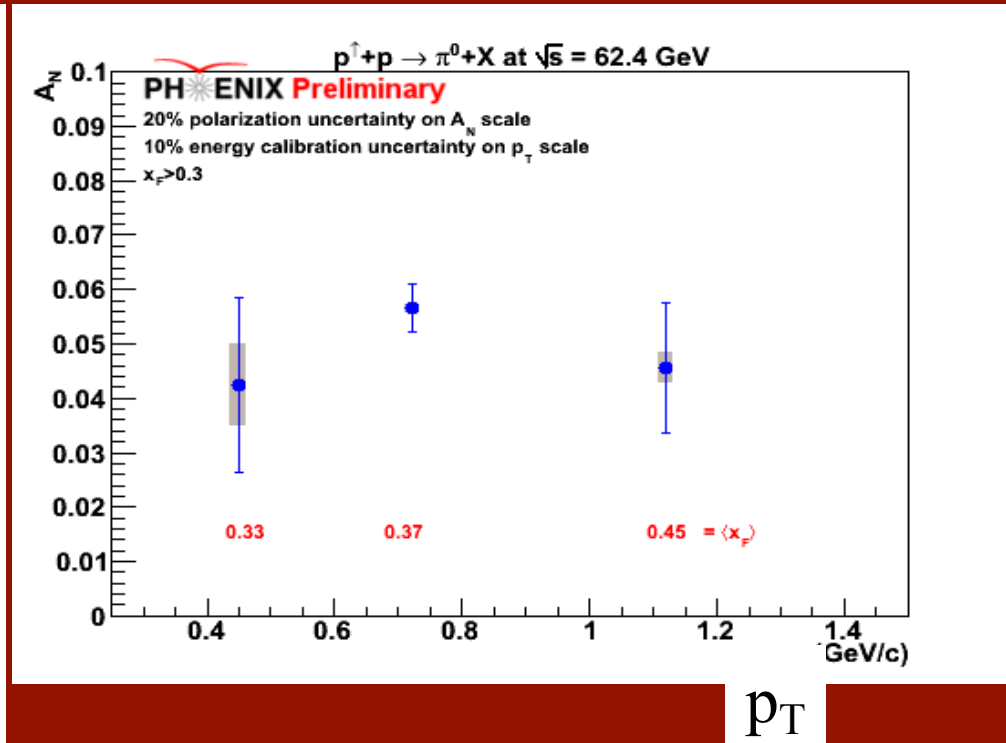
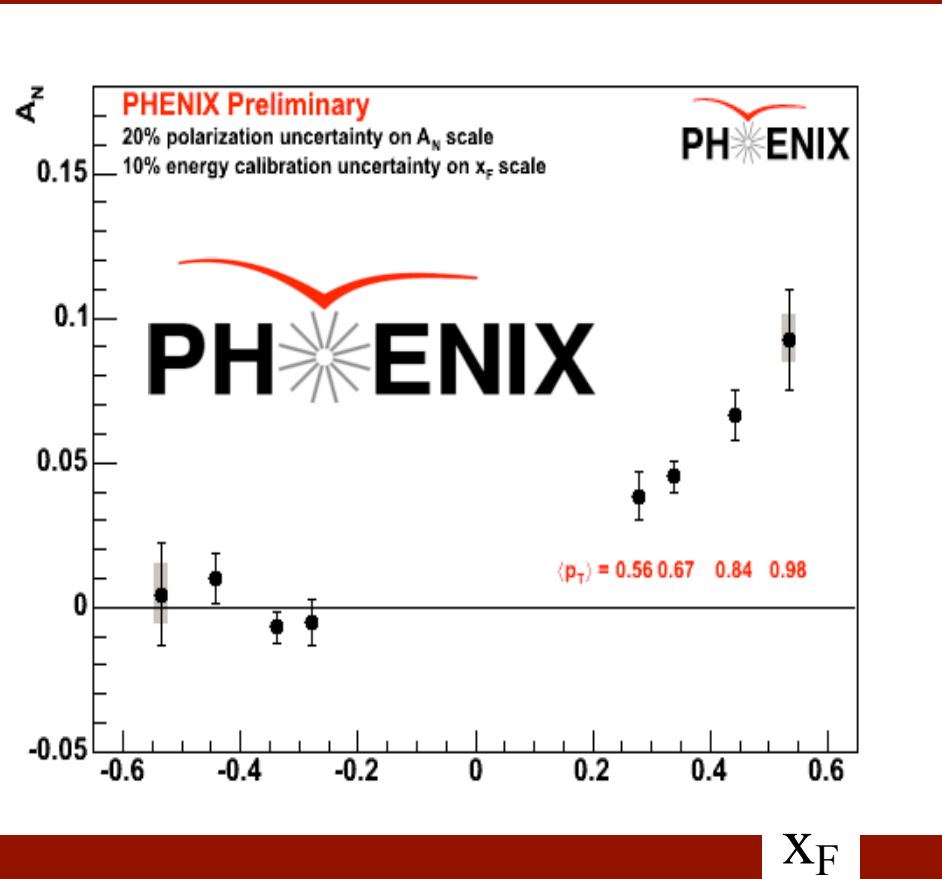


Ratio of low to high multiplicity events vs. track p_T
 → Higher multiplicity events correlate with hard scattering

Selecting on higher multiplicity events enhances SSA.
 Effect not dominated by p_T dependence of SSA.

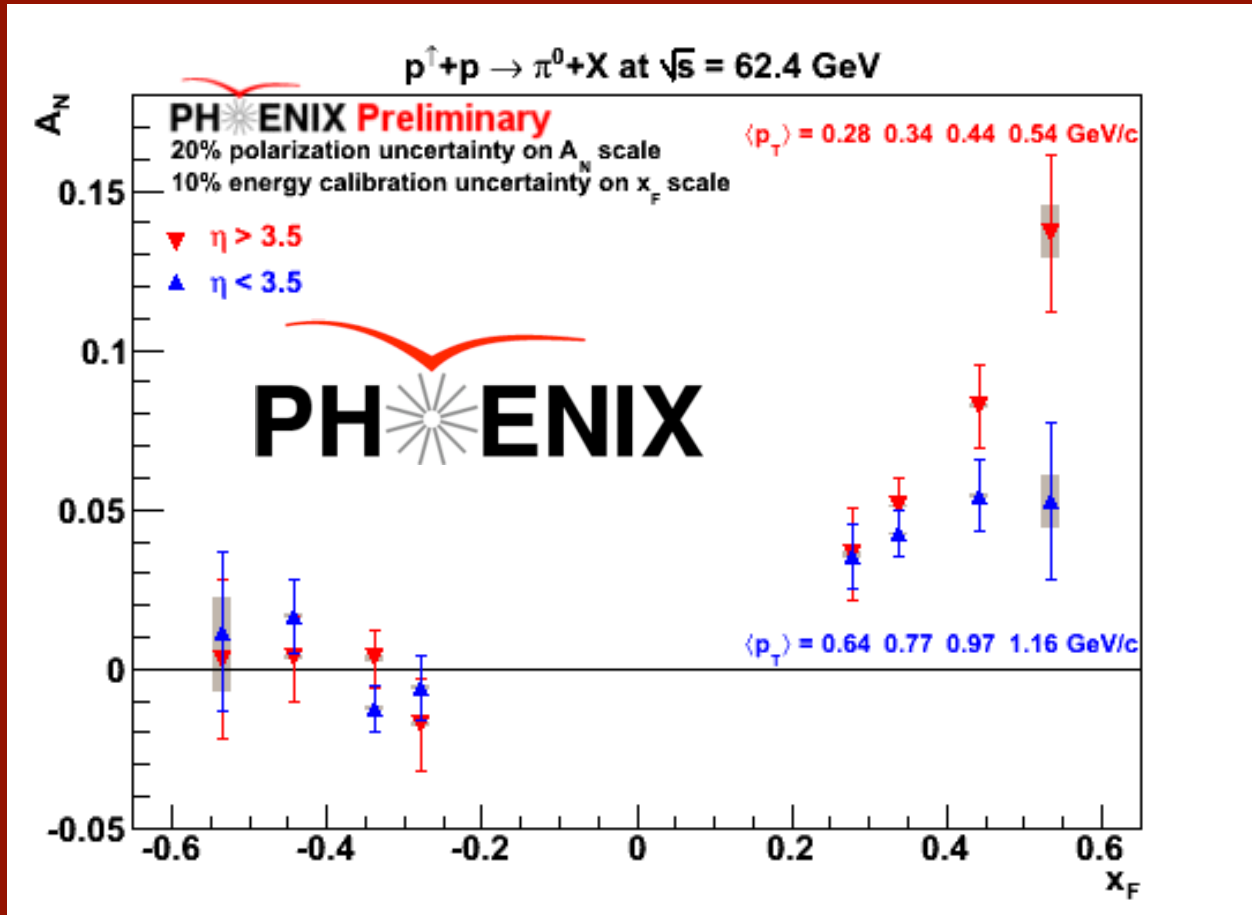
Forward π^0 SSA's at $\sqrt{s}=62.4$ GeV

nucl-ex/0701031



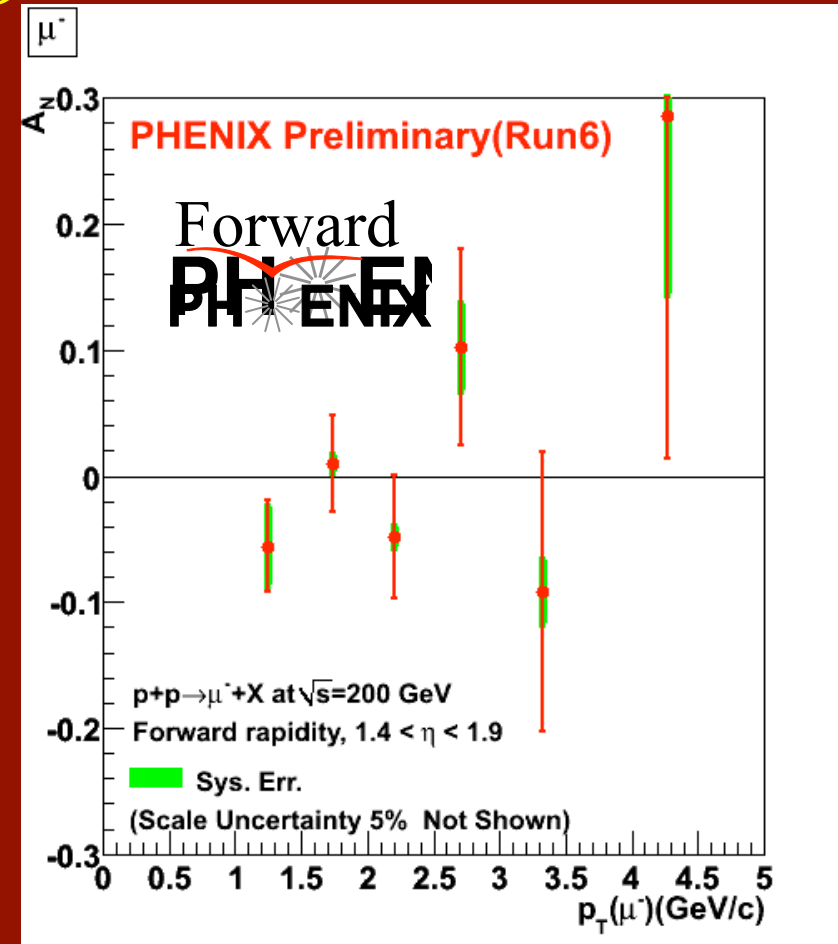
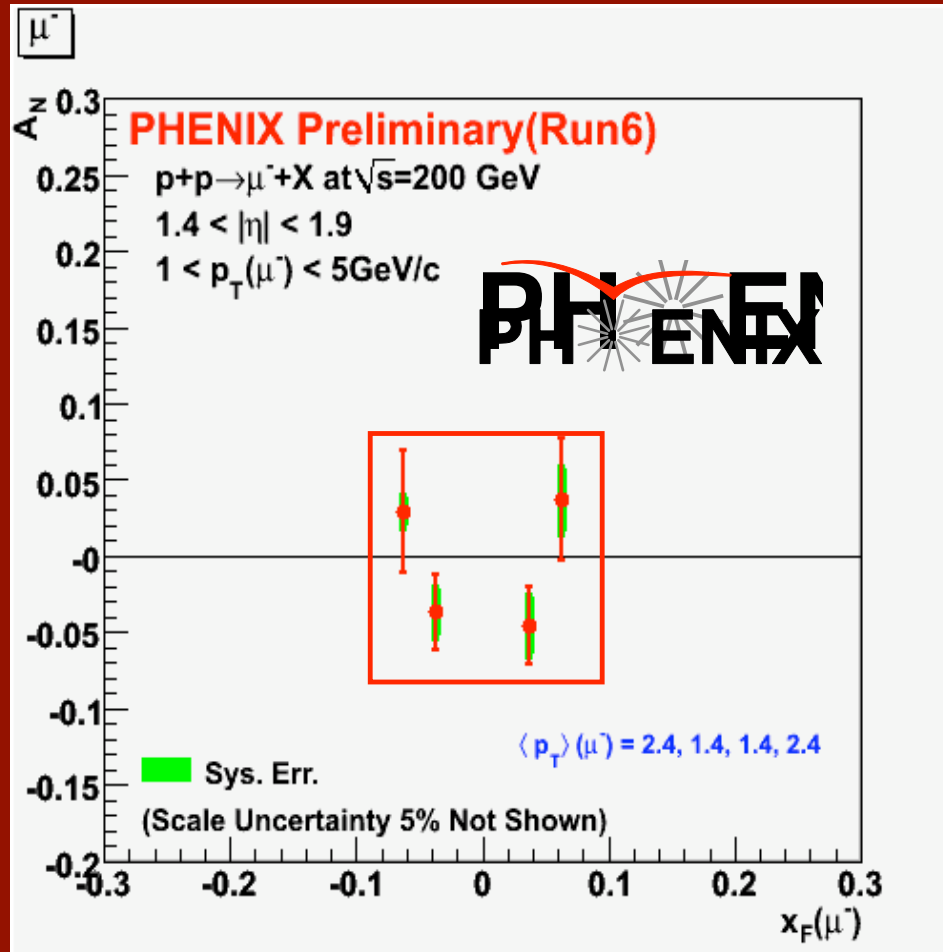
Sizable asymmetries in forward direction.
Need more data to understand p_T dependence . . .

Forward π^0 's at $\sqrt{s}=62.4$ GeV: Pseudorapidity dependence



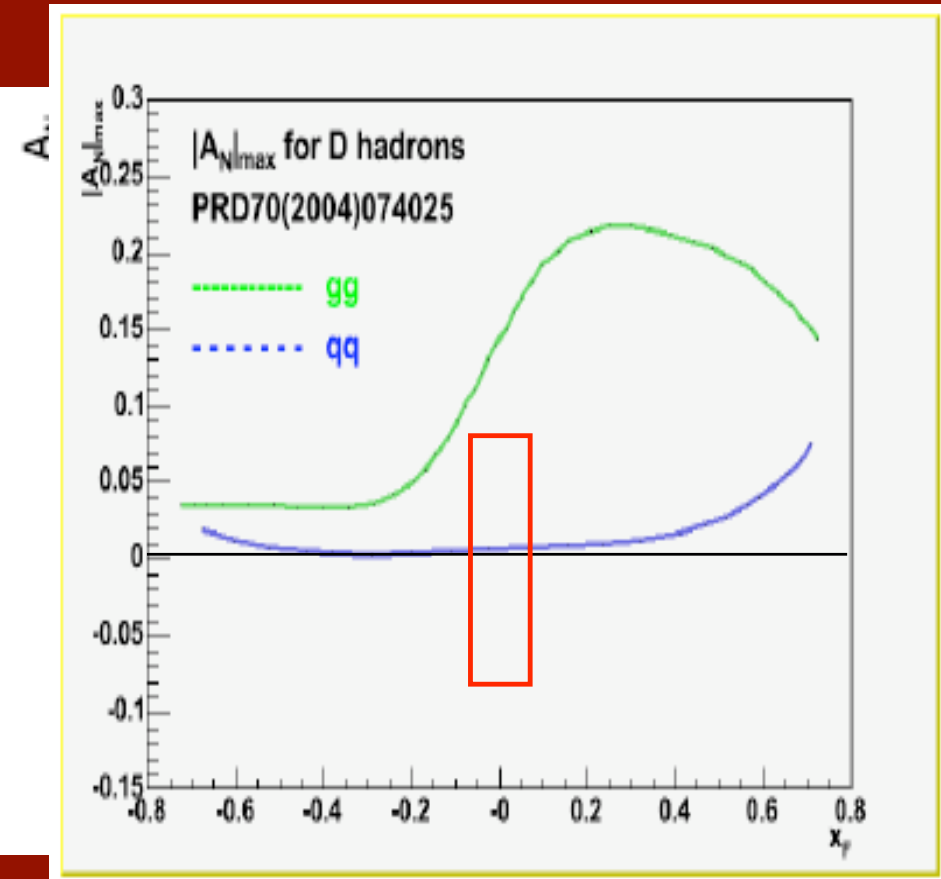
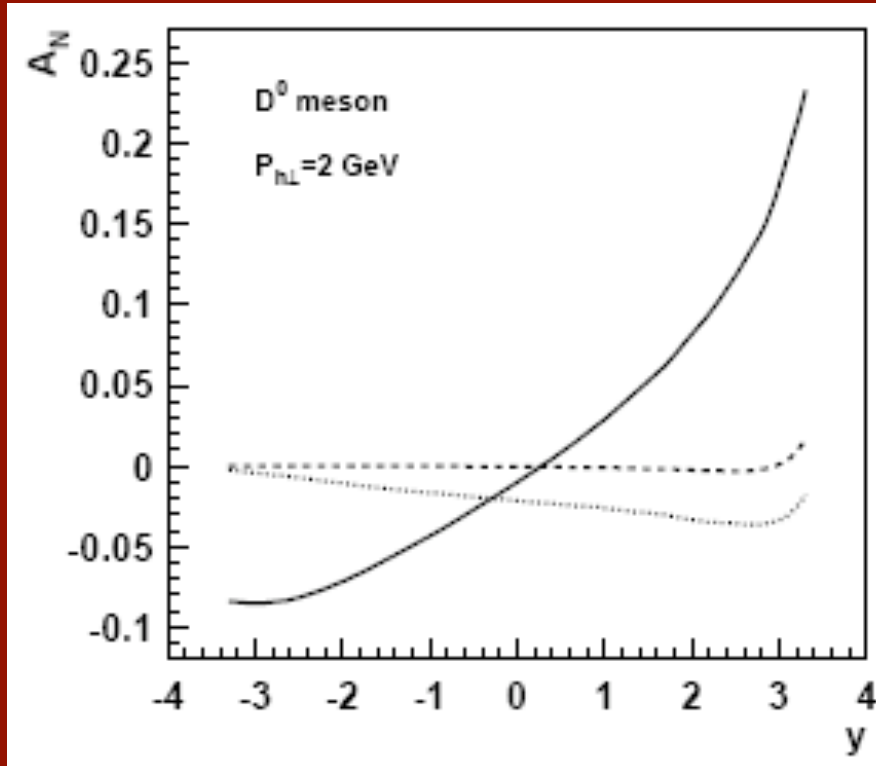
Indication of larger forward asymmetries at higher pseudorapidity

Heavy flavor single spin asymmetries: Isolate gluons



SSA of heavy flavor: predictions

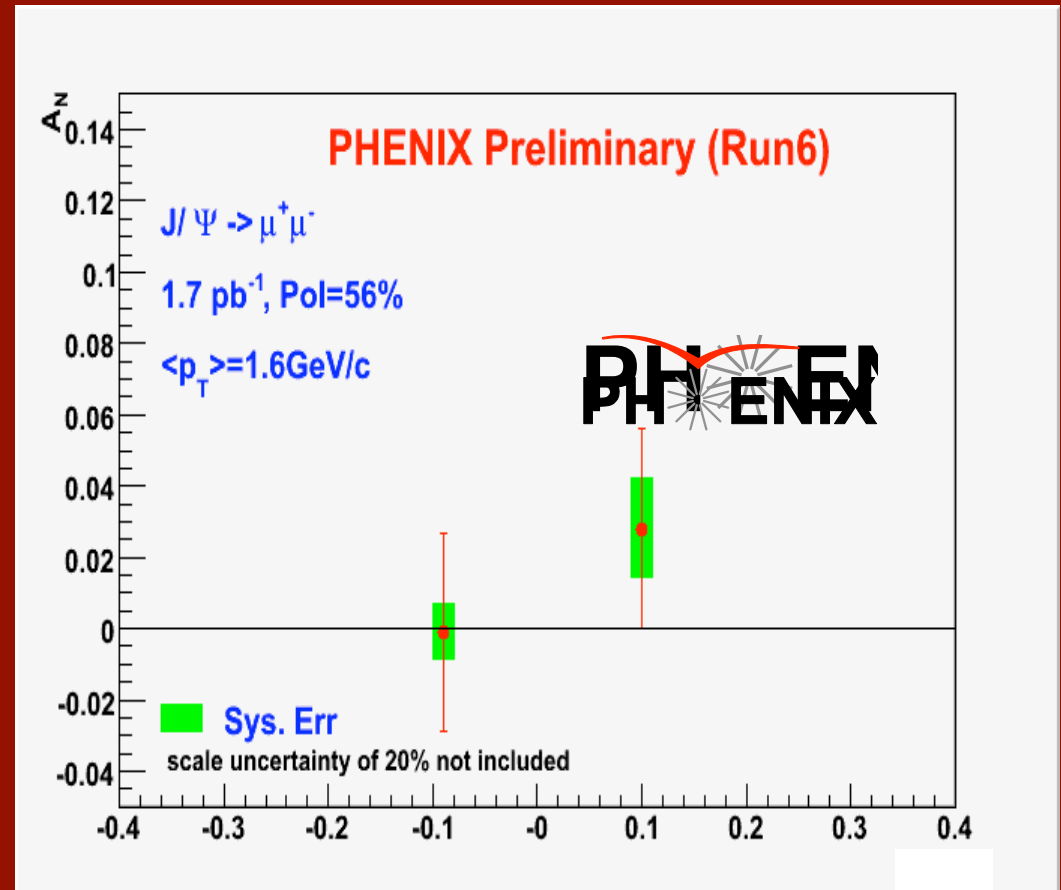
PRD78, 114013 (2008)



Significantly improved measurements expected after silicon vertex upgrade in 2011.

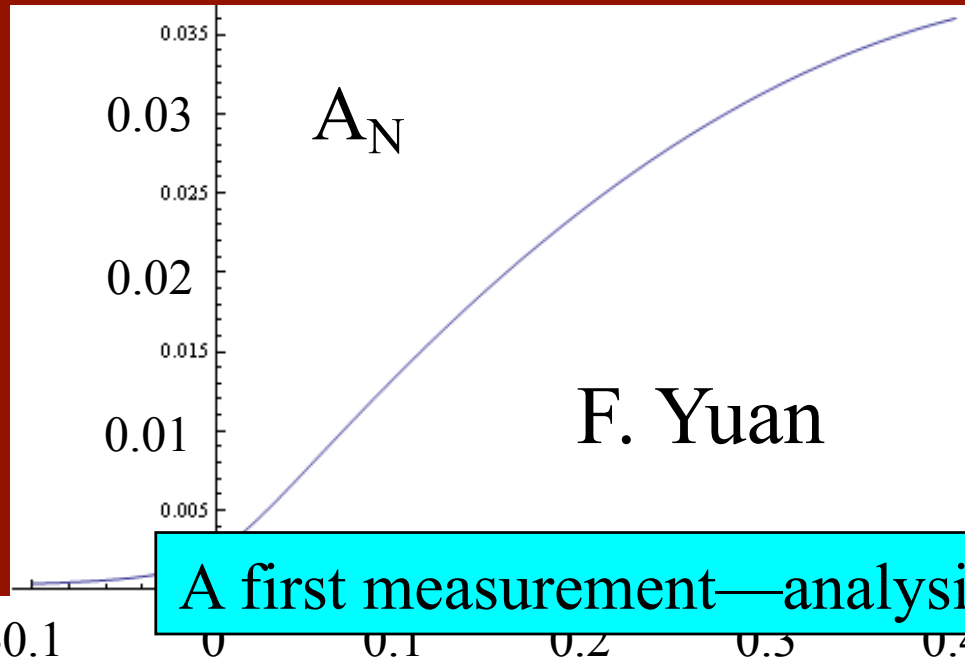
What about charmonium?

- Given a non-zero gluon Sivers function, non-zero transverse SSA expected for J/Ψ only in color-singlet model in $p+p$, only in color-octet in SIDIS—Yuan, PRD78, 014024 (2008)
 - SSA sensitive to production mechanism!
- Application of TMDs to long-standing issues in QCD traditionally considered via other approaches
 - A maturing field!



X_F

“Rough” calculation for $J/\Psi A_N$ at RHIC

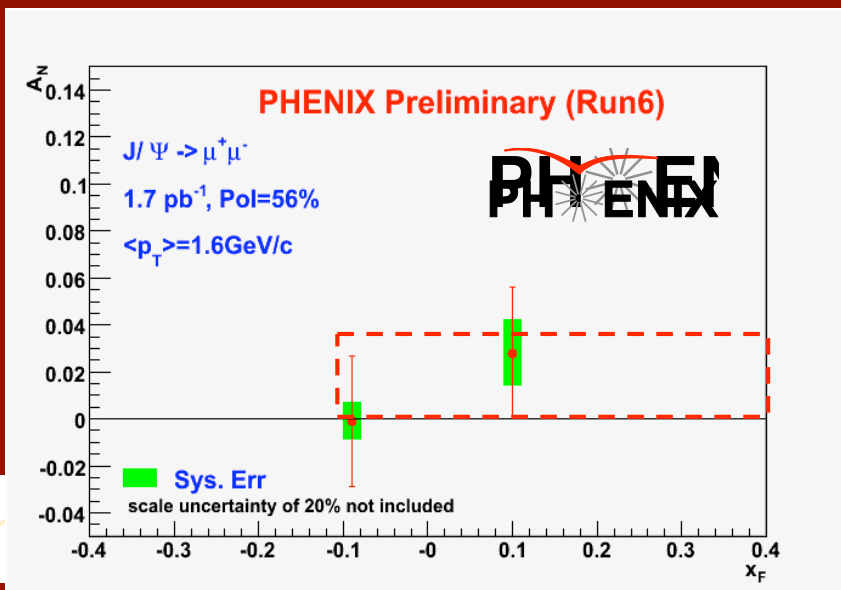


- Assumed gluon Sivers function $\sim 0.5 x(1-x)$ times unpolarized gluon distribution

$$xG_{1T}^{\perp(1/2)}(x) \approx 0.5x(1-x)xG(x)$$

- Assumed 30% J/Ψ from χ_c decays

A first measurement—analysis of 2008 data underway



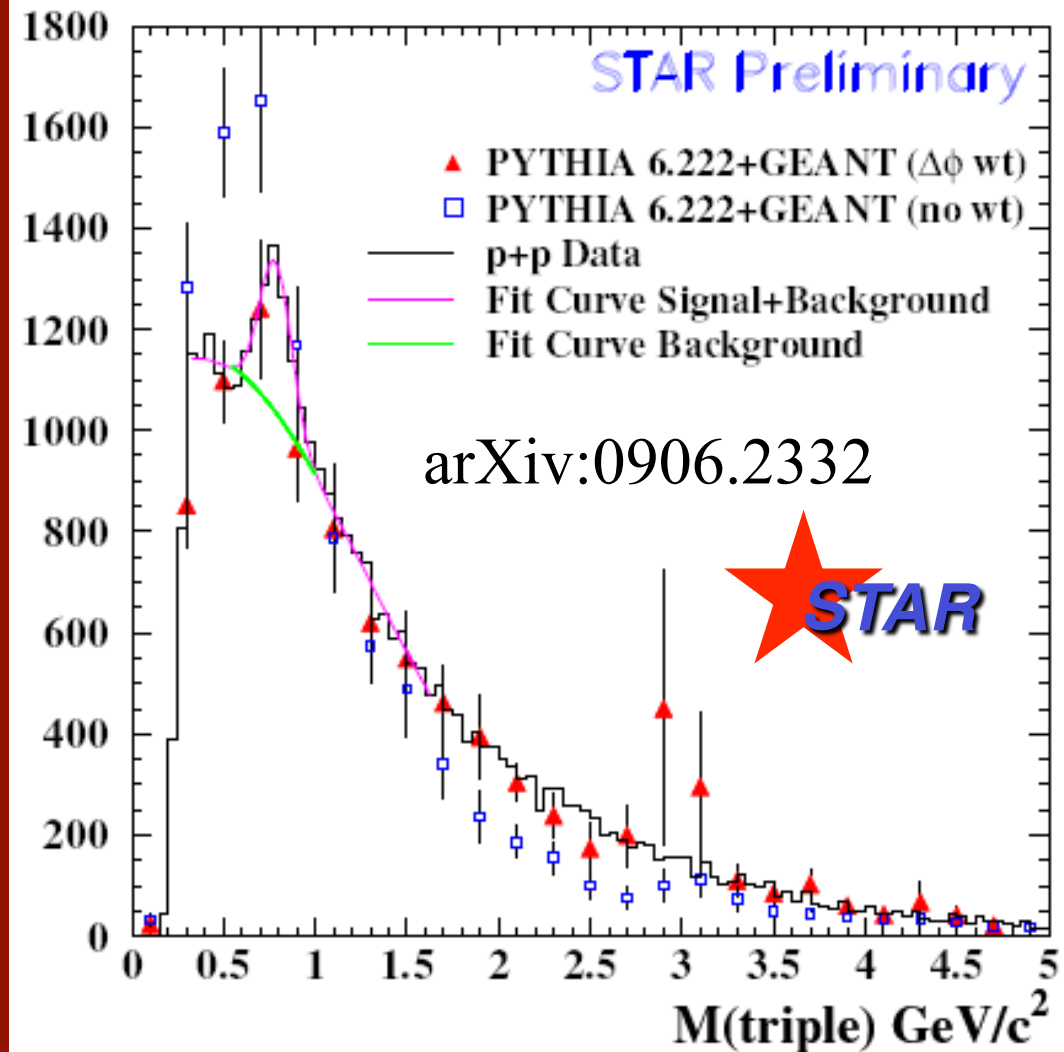
section

- Color-octet, FSI/ISI cancel out, SSA vanishes in the limit of $p_T \ll M_Q$
- Origin of potential non-zero asymmetry is through χ_c !

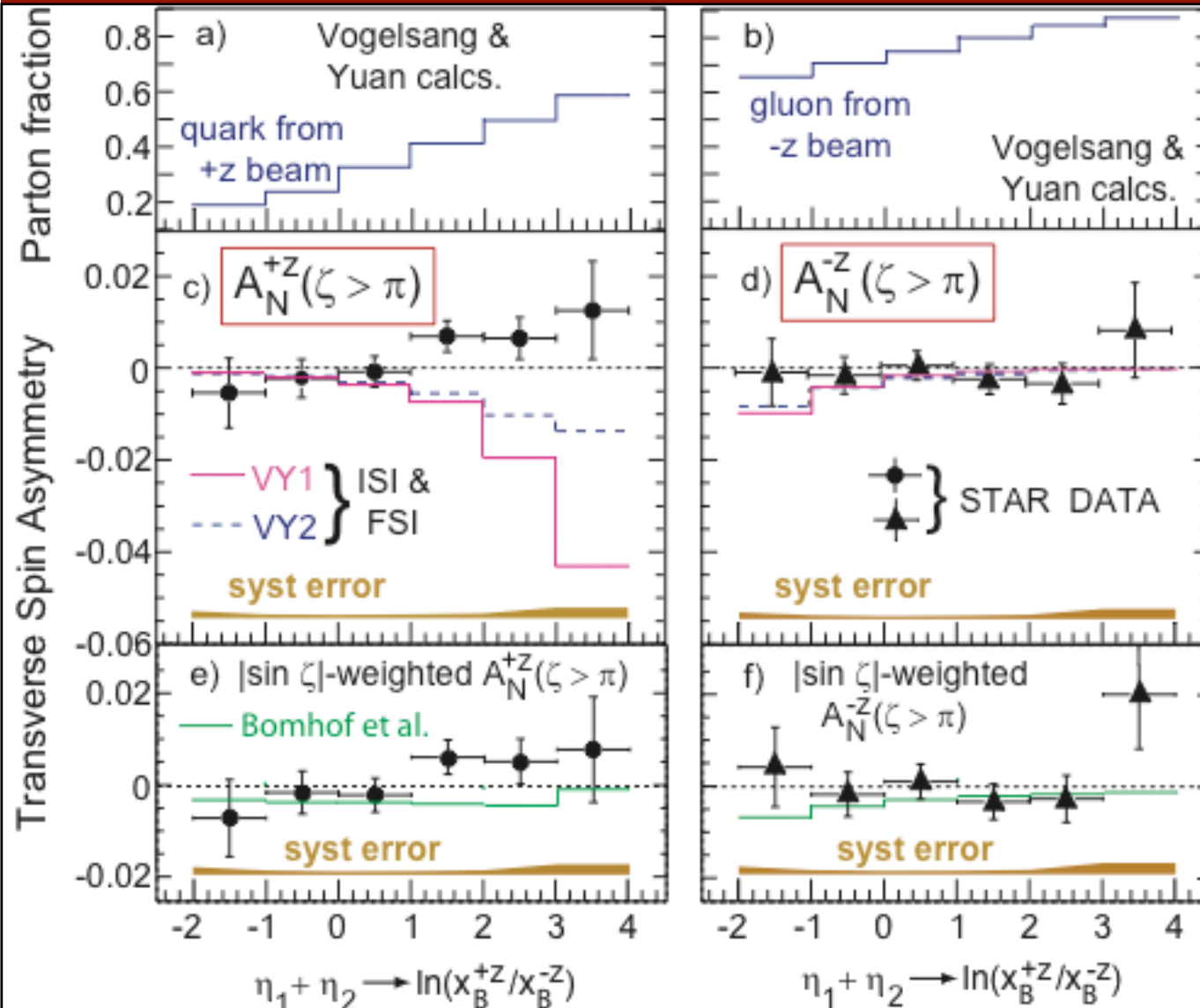
Toward A_N of vector mesons: Opposite sign from pseudoscalars?

3 photon events to look for $\omega \rightarrow \pi^0 \gamma$ (BR= 8.9%)

- 2008 data, FMS
- p_T (triplet) > 2.6 GeV/c
- E (triplet) > 30 GeV
- p_T (photon cluster) > 1.5 GeV/c
- p_T (π^0) > 1 GeV/c
- 10σ signal!
- Small S/B currently a challenge in extracting significant asymmetry...work ongoing!



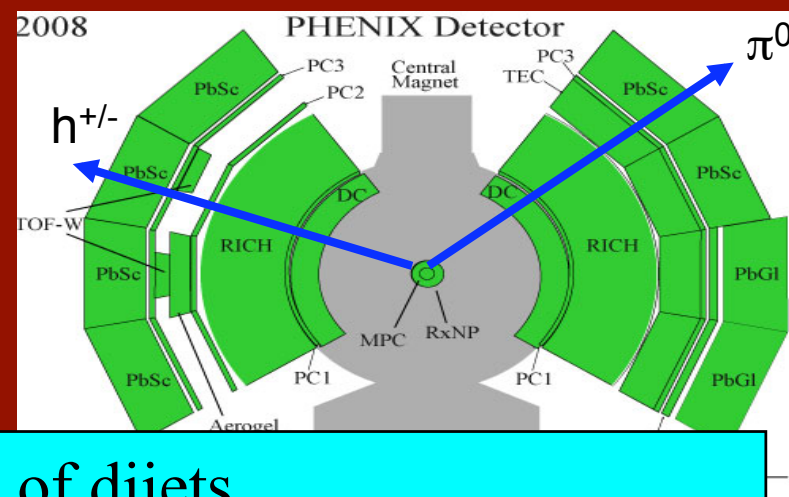
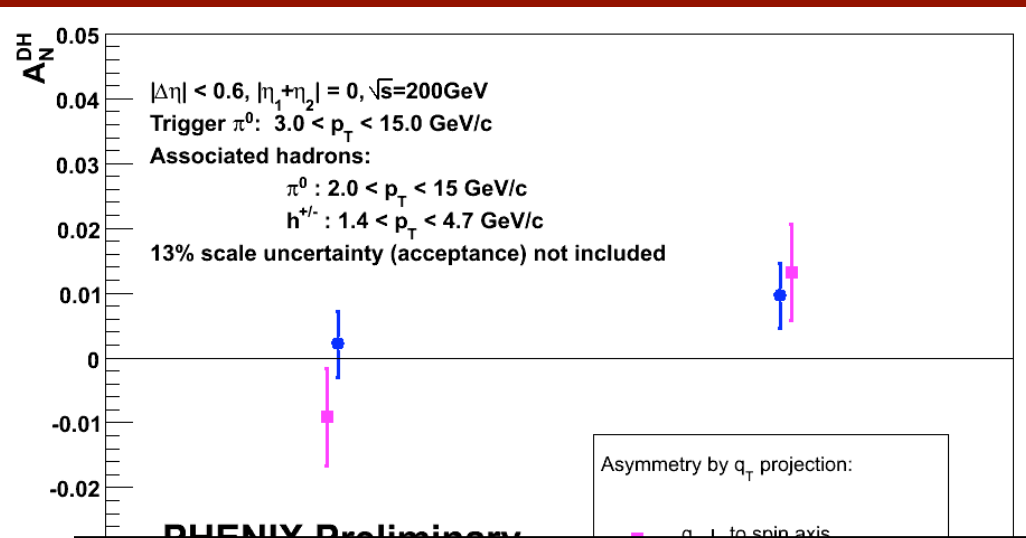
Beyond inclusive measurements: Dijet SSA to probe Sivers effect



STAR A_N all consistent with zero at midrapidity



Sivers effect in di-hadron production



Dihadrons instead of dijets.
 Need to study in detail how fragmentation effects can contribute.

P_{Beam}

PHENIX Result from 2006 data:

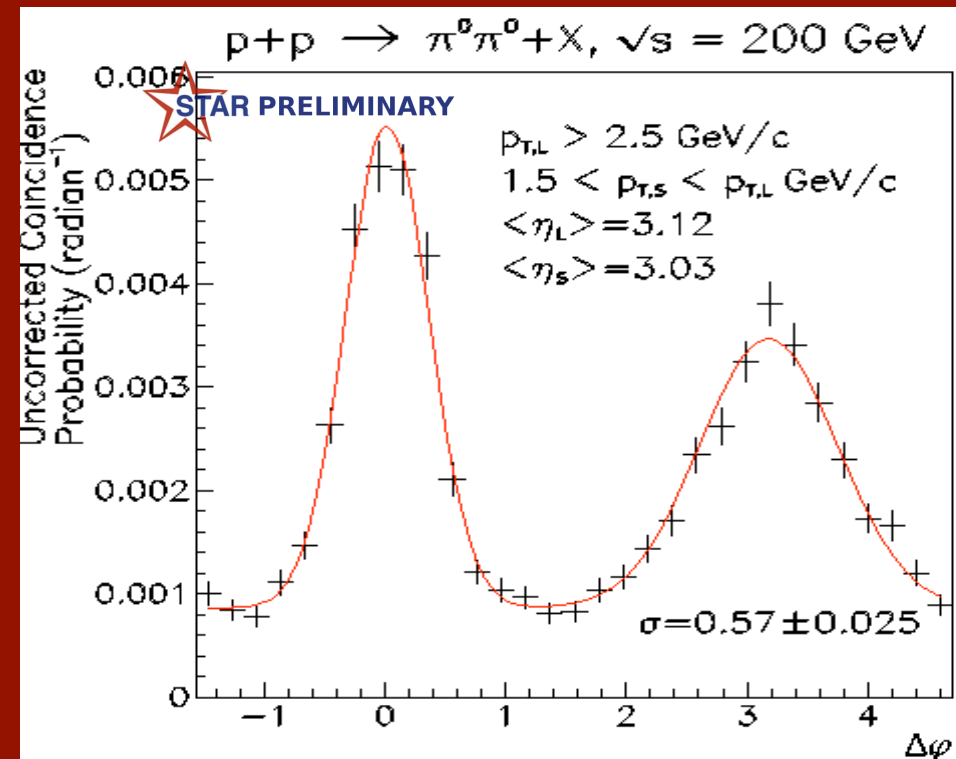
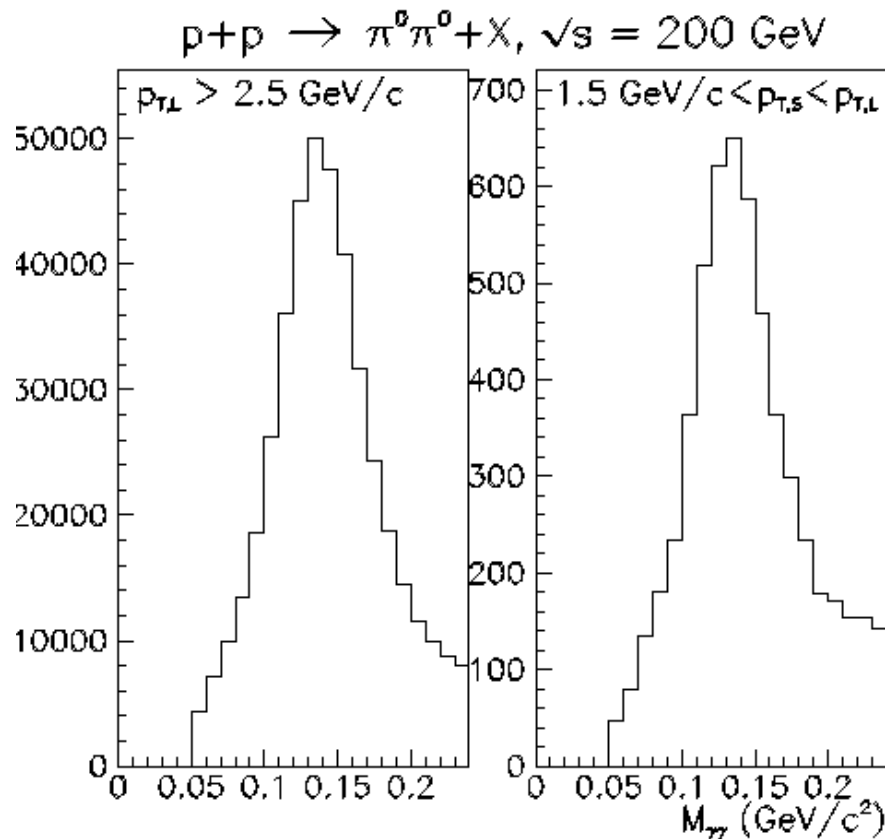
- Di-hadrons at central rapidities
- Asymmetry consistent with zero
- Analysis with large 2008 data in progress

Similar analysis possible in different combinations of rapidity

η_{\min}	-3.7	-2.0	-0.35	1.4	3.1
η_{\max}	-3.1	-1.4	+0.35	2.0	3.9

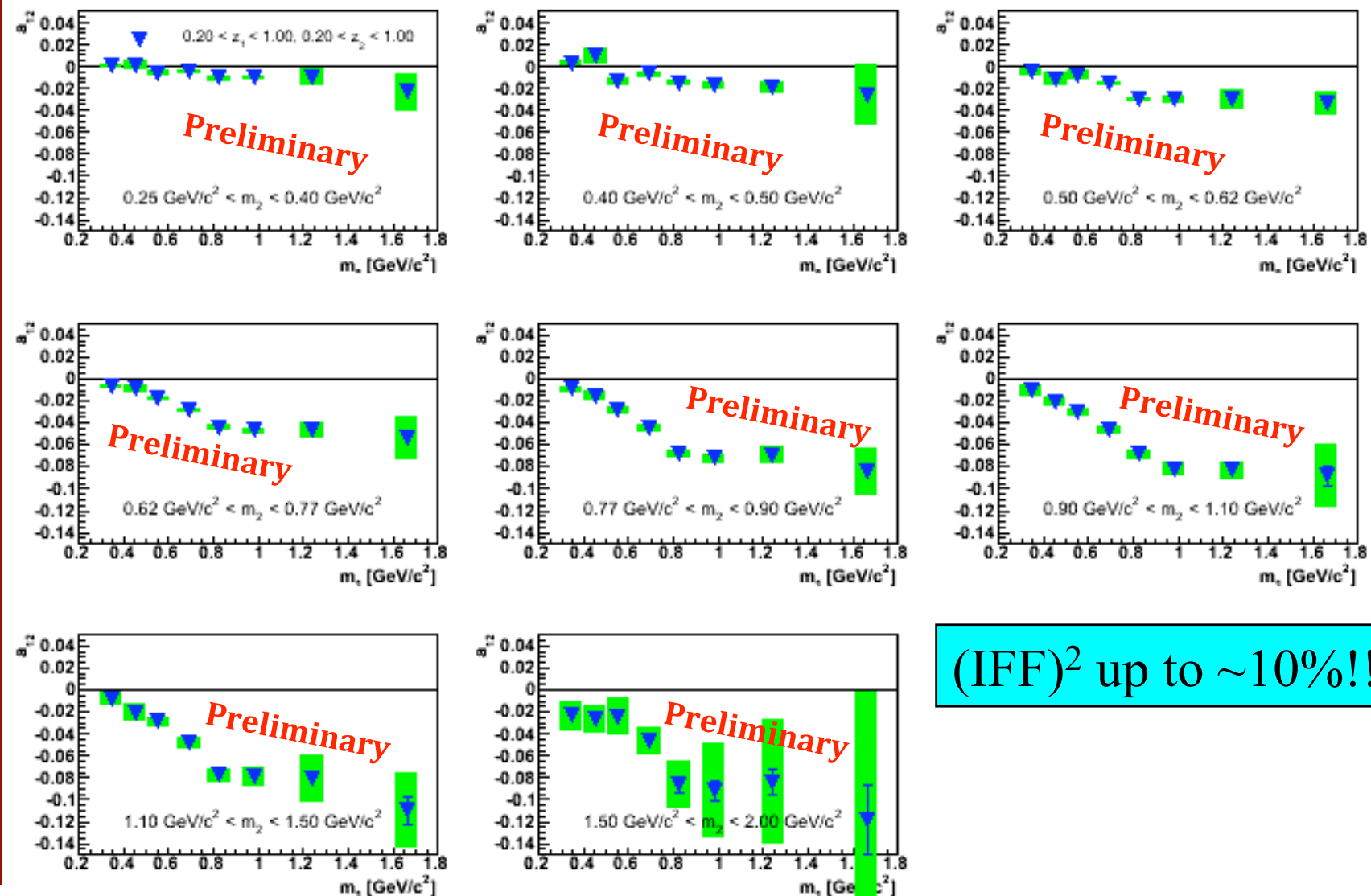
(Work in progress)

Forward π^0 - π^0 azimuthal correlations



- Possible back-to-back di-jet/di-hadron Sivers measurement
- Possible near-side hadron correlation for Collins fragmentation function/Interference fragmentation function + Transversity

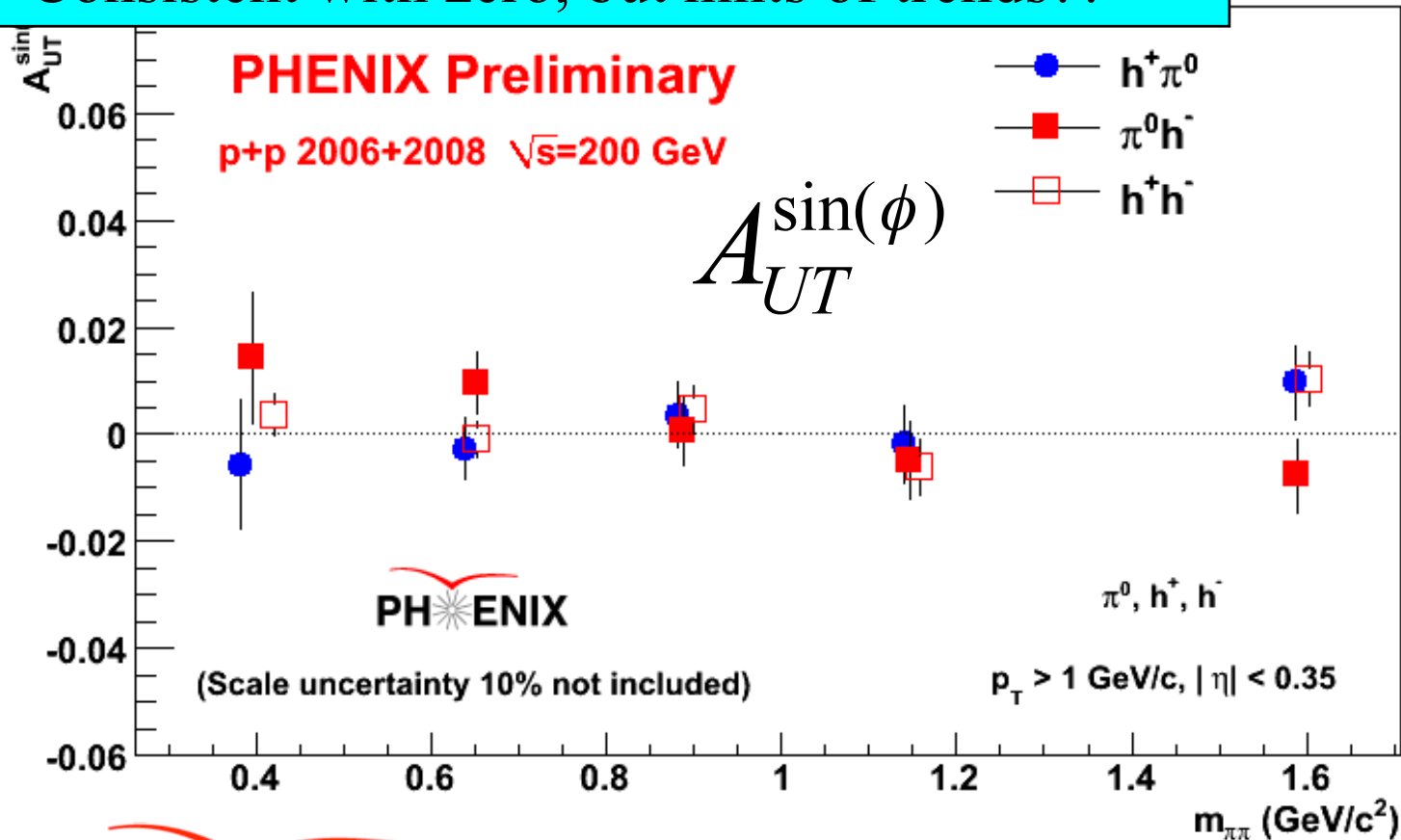
More two-hadron measurements: Interference Fragmentation Function from BELLE



(IFF)² up to ~10%!!

IFF asymmetry measurement in $p+p$ to probe transversity

Consistent with zero, but hints of trends??



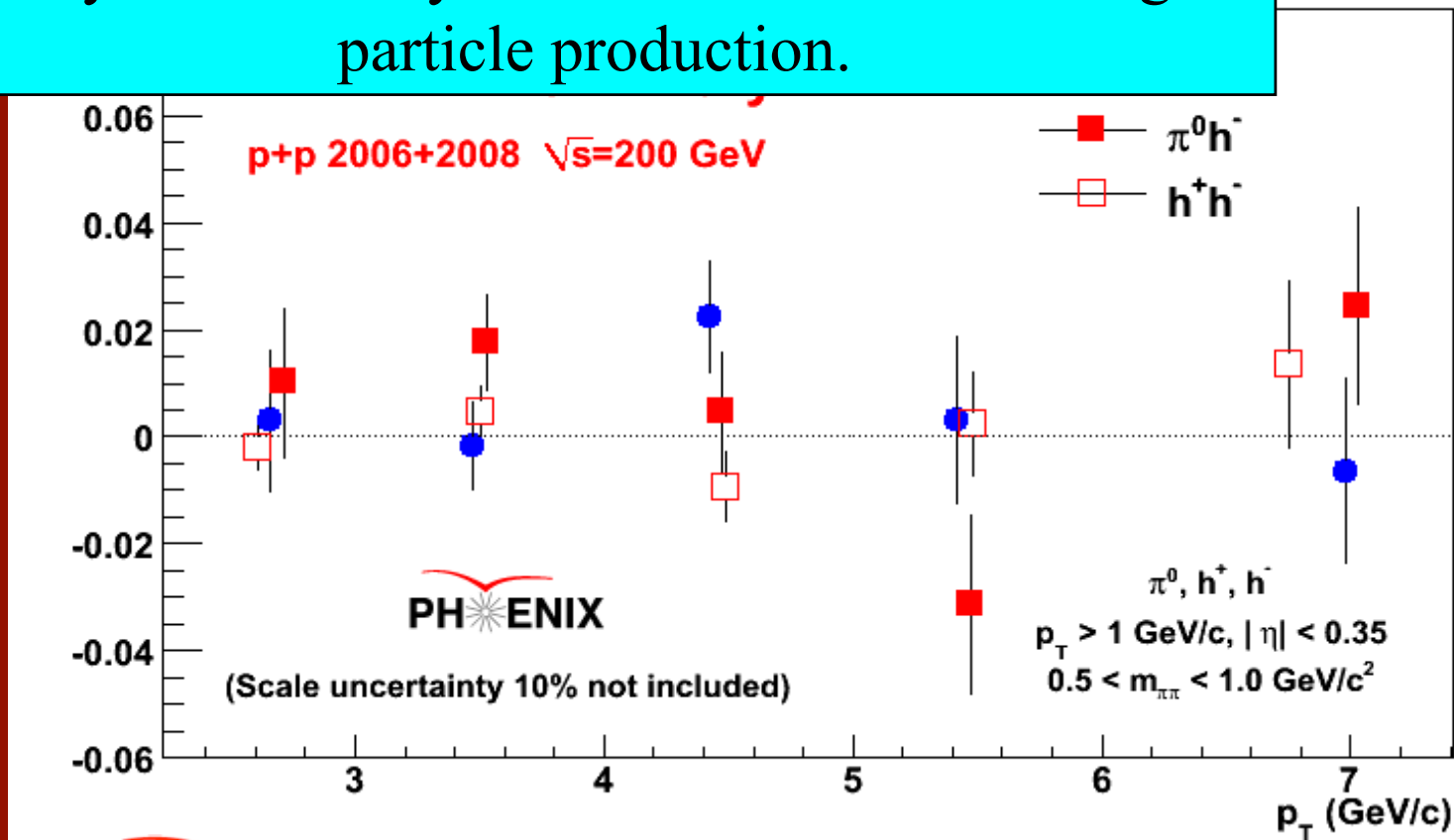
PHENIX

Midrapidity.

Invariant mass dependence

IFF asymmetry measurement in $p+p$: p_T dependence

Analysis underway to extend to forward charged particle production.



PHENIX

Midrapidity.
 p_T dependence

More di-hadrons:

Attempting to probe k_T from orbital motion

- Spin-correlated transverse momentum (orbital angular momentum)

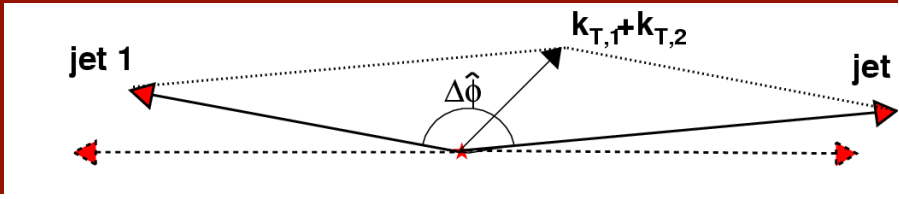
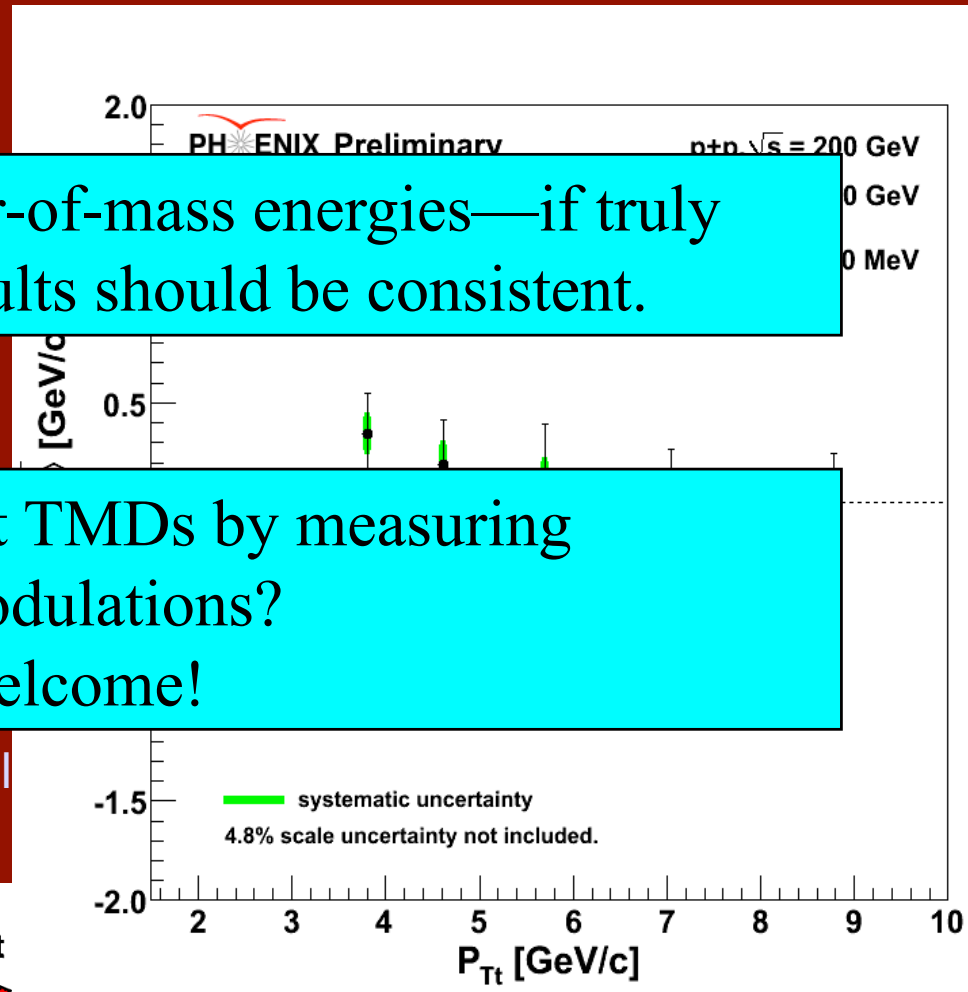
Will measure at other center-of-mass energies—if truly probing intrinsic k_T , results should be consistent.

(D40, 1989)

- Possible helicity dependence

Possible to learn about TMDs by measuring angular modulations?
Input welcome!

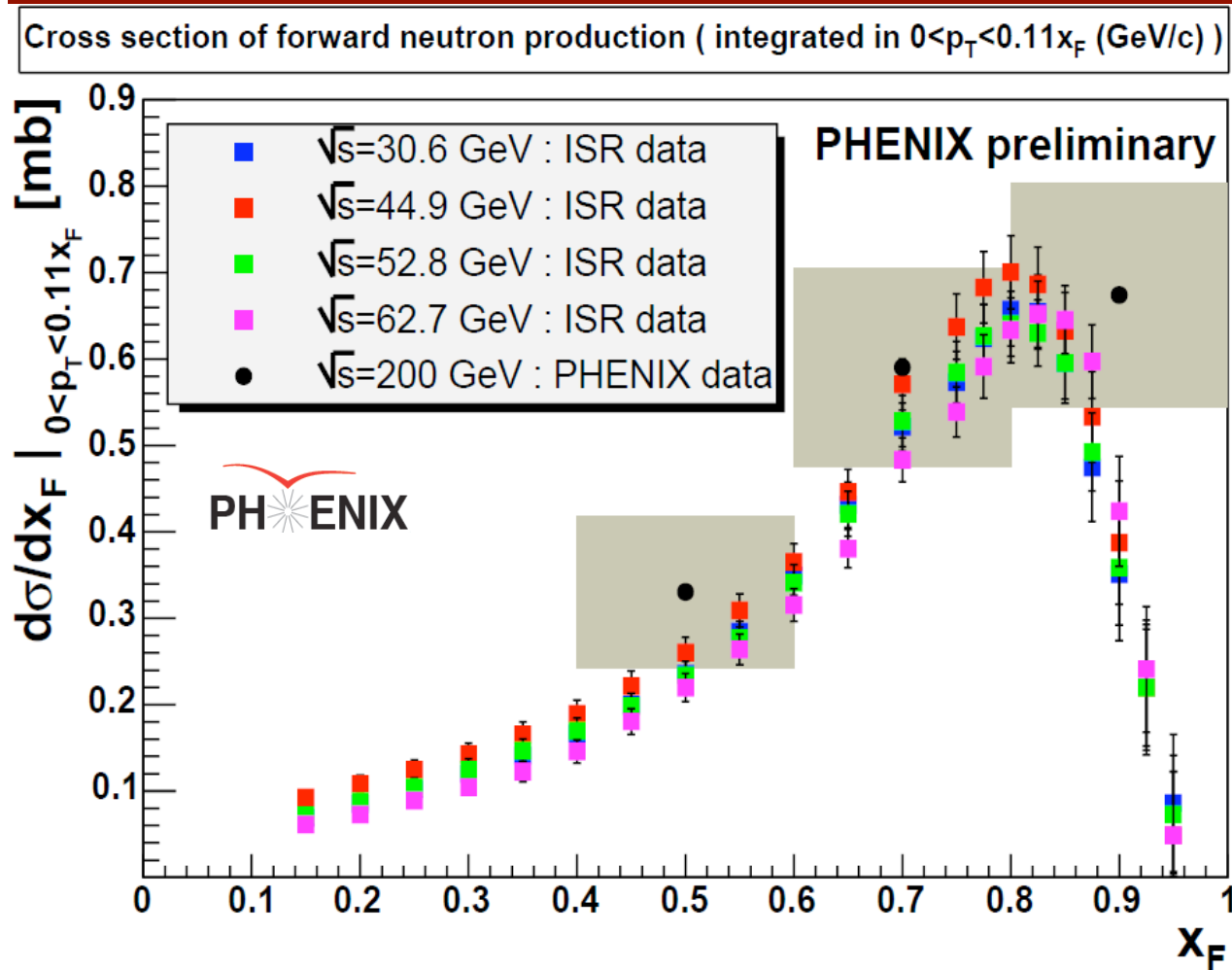
net effect after averaging over impact parameter



Forward neutrons at $\sqrt{s}=200$ GeV at PHENIX



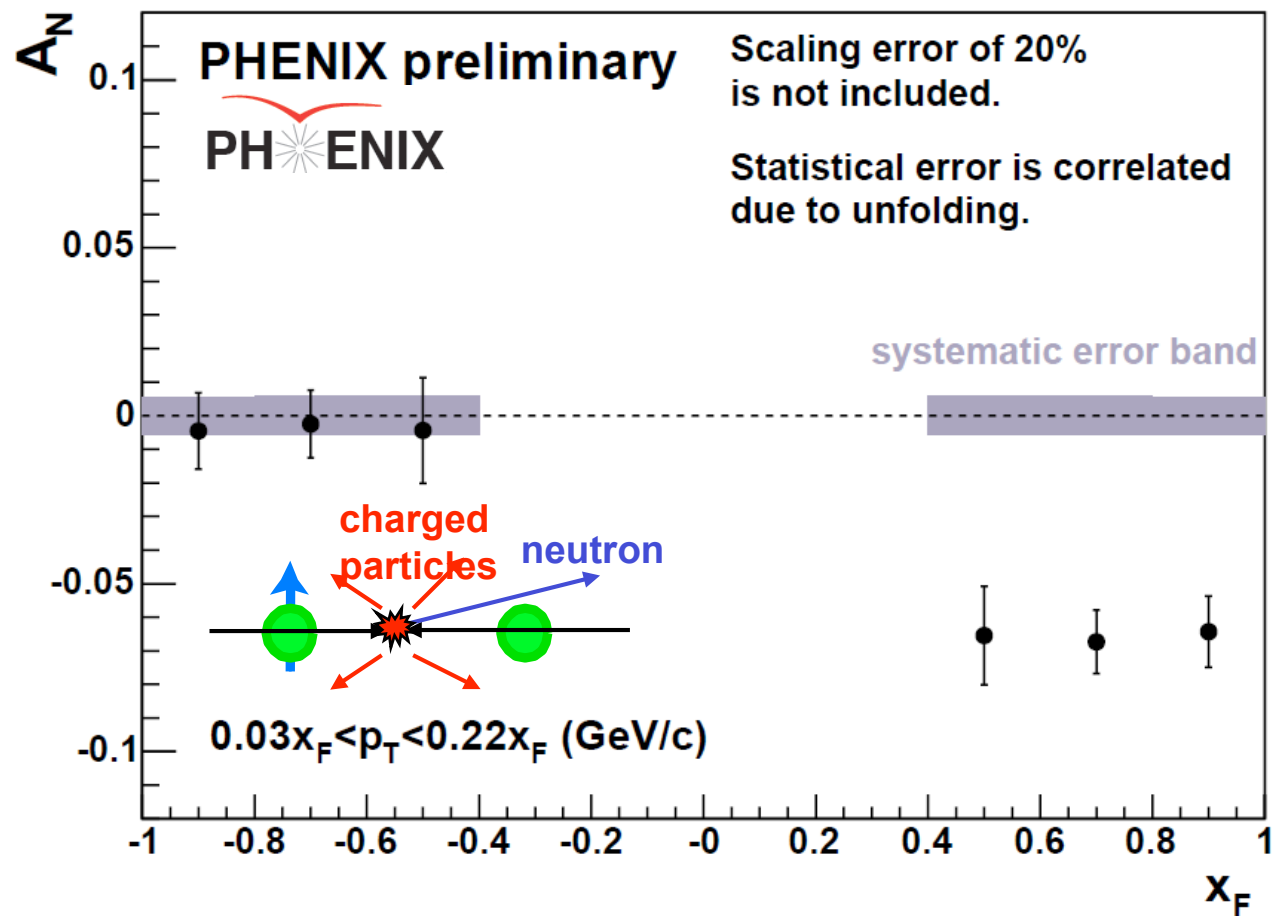
Cross section at 200 GeV consistent with ISR data
→ suggests Feynman scaling holds



Forward neutrons at $\sqrt{s}=200$ GeV at PHENIX

Large negative SSA observed for $x_F > 0$, enhanced by requiring coincidence with forward charged particles (“MinBias” trigger).
 No x_F dependence seen.

Neutron asymmetry x_F distribution with neutron trigger & MinBias



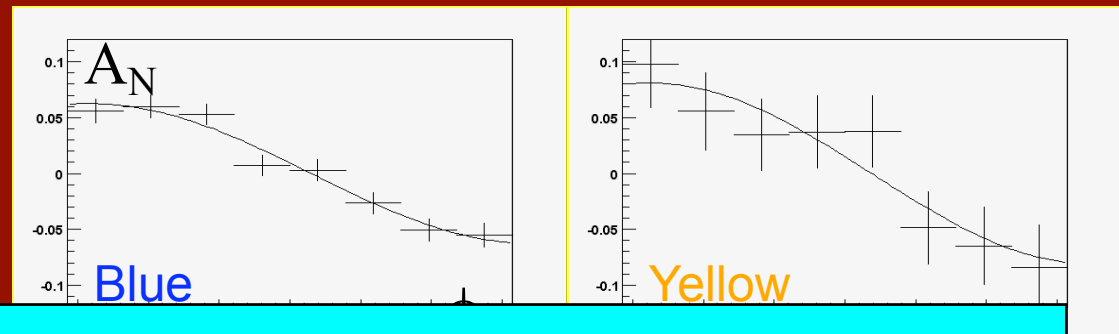
Mean p_T
 (Estimated by simulation assuming ISR p_T dist.)

$0.4 < x_F < 0.6$	0.088 GeV/c
$0.6 < x_F < 0.8$	0.118 GeV/c
$0.8 < x_F < 1.0$	0.144 GeV/c

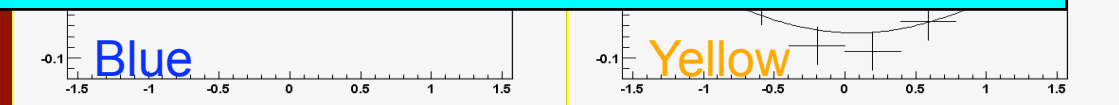
preliminary	A_N
Without MinBias	-6.6 ± 0.6 %
With MinBias	-8.3 ± 0.4 %

Neutron SSA for local polarimetry

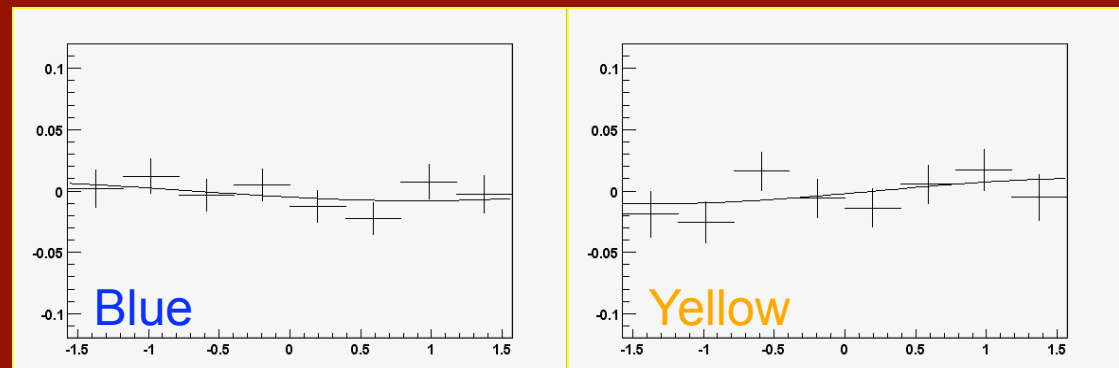
Spin Rotators OFF
Vertical polarization



Allows measurement of any remaining component in an undesired direction

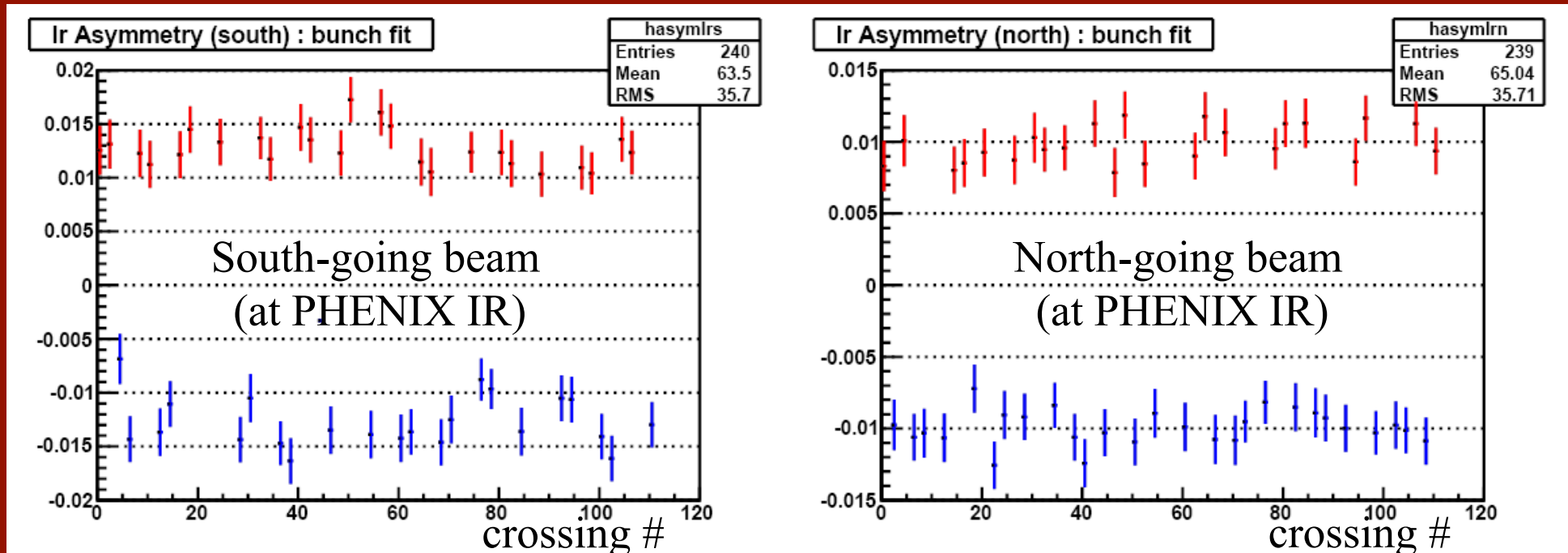


Spin Rotators ON
Longitudinal polarization



New: Bunch-by-bunch polarization information

From ~10 minutes of 500 GeV commissioning data in March 2009!

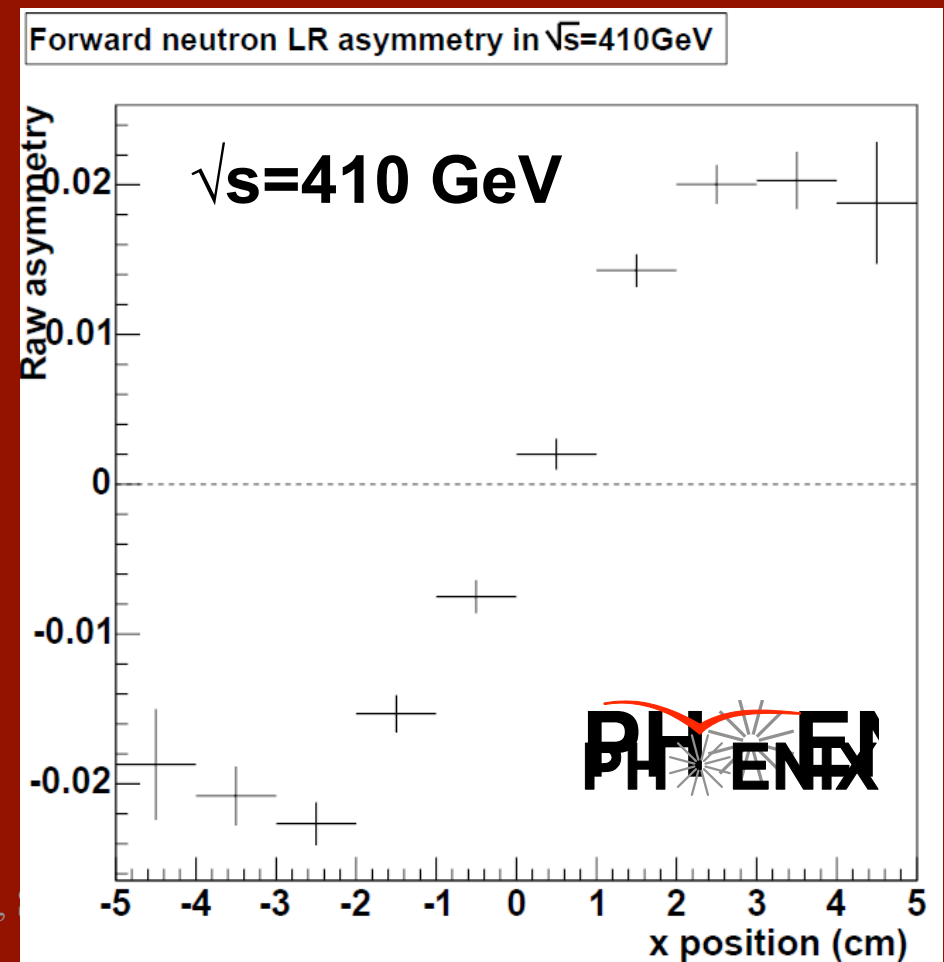
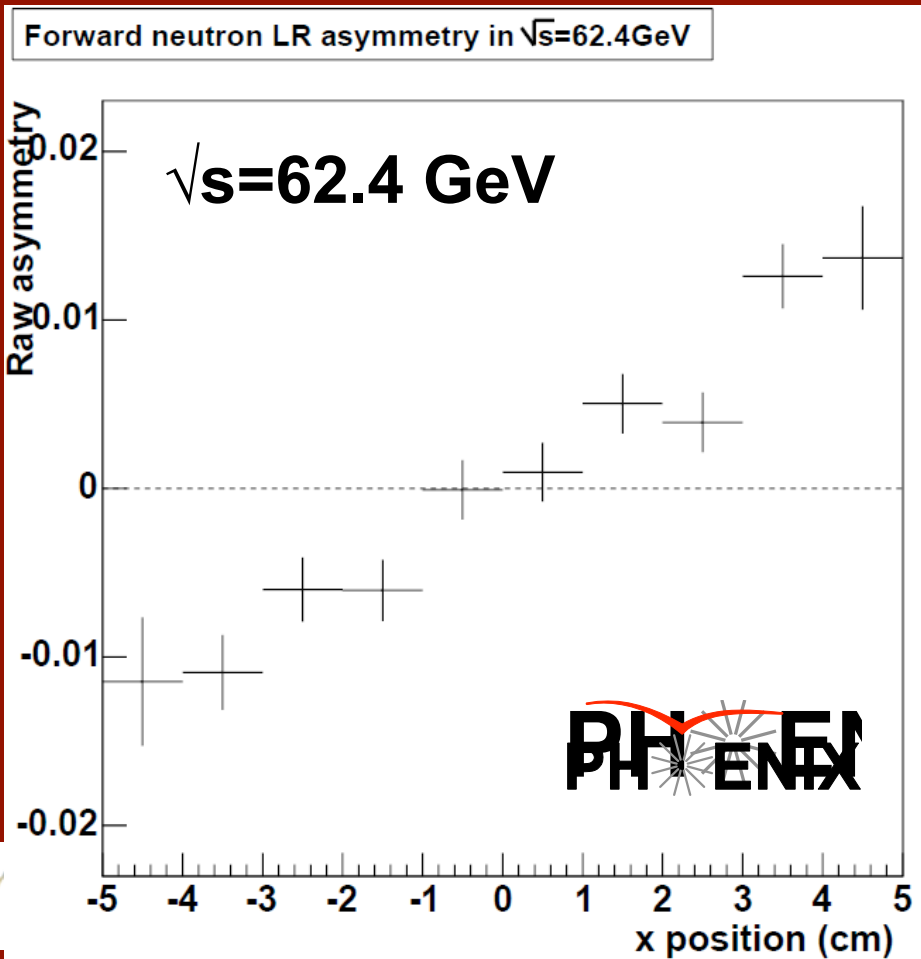


With transverse polarization, use scalers to count raw left-right SSA in forward neutron production for each bunch crossing. Measurement of *bunch-by-bunch variation* in polarization. (Sign flip for bunches polarized vertically up vs. down.)

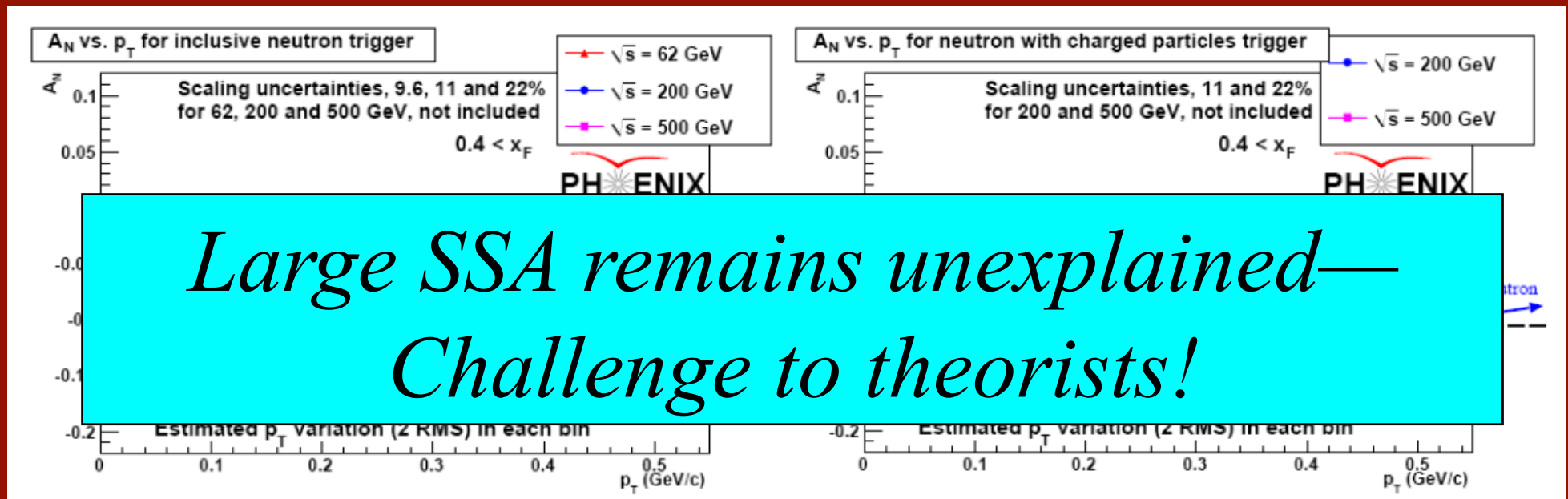
Forward neutrons at other energies

Significant forward neutron asymmetries observed down to 62.4 and up to 410 GeV!

$$A = \frac{N_+ - RN_-}{N_+ + RN_-}$$



p_T scaling of forward neutron SSA?



Inclusive neutrons
62.4, 200, 500 GeV

Neutrons with charged particle trigger
200, 500 GeV

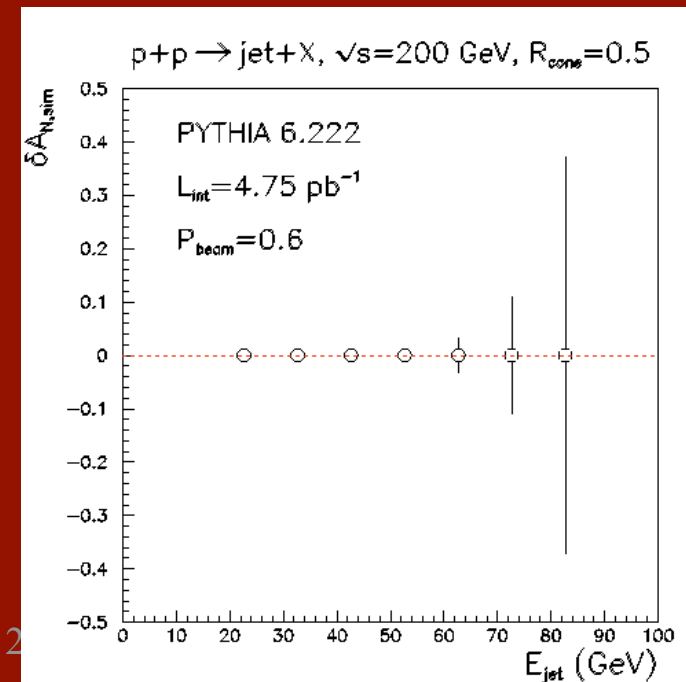
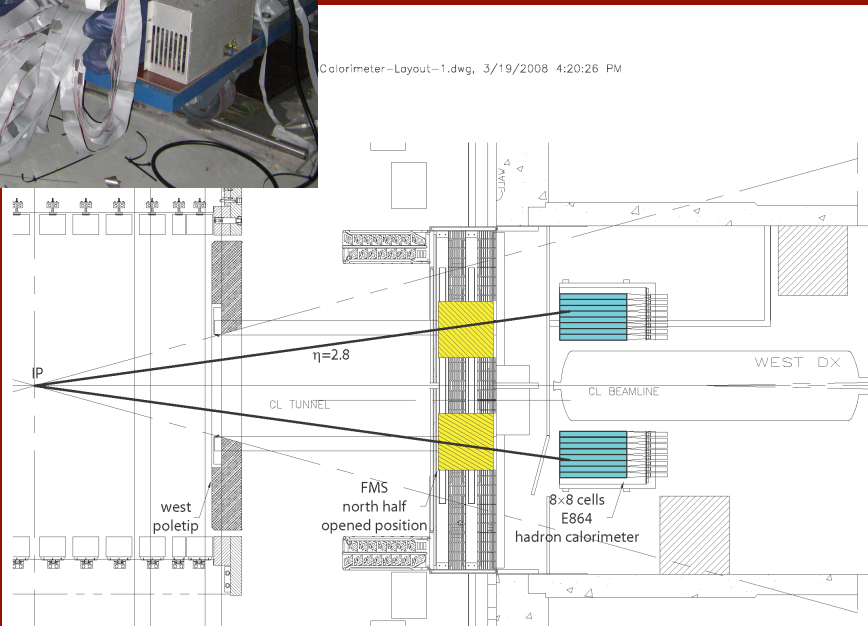
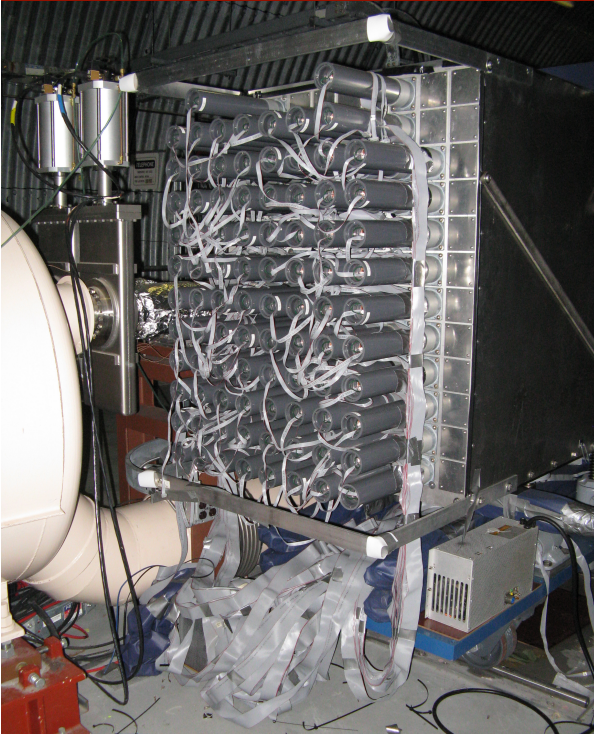
The future: Forward Hadron Calorimeter (FHC) at STAR

Real jet physics with FMS + FHC

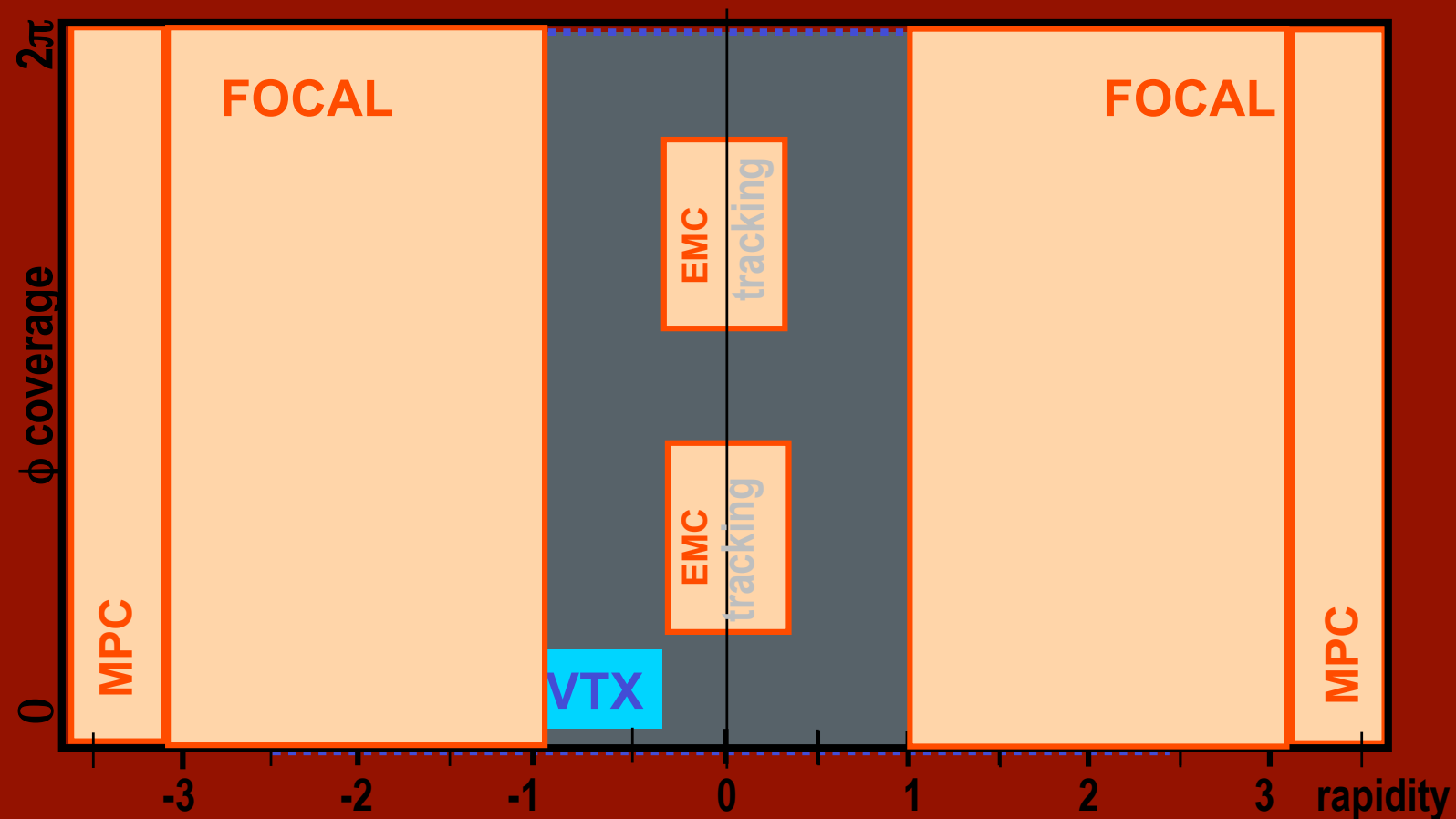
Lambda, Photon (isolation)

**BNL-AGS-E864 hadron calorimeter detectors
Refurbished and used by PHOBOS**

**Estimated statistical precision for
uncertainty in analyzing power for
 $p_{\uparrow} + p \rightarrow \text{jet} + X$ at $\sqrt{s} = 200$ GeV.**



The future: Forward EMCal (FOCAL) at PHENIX



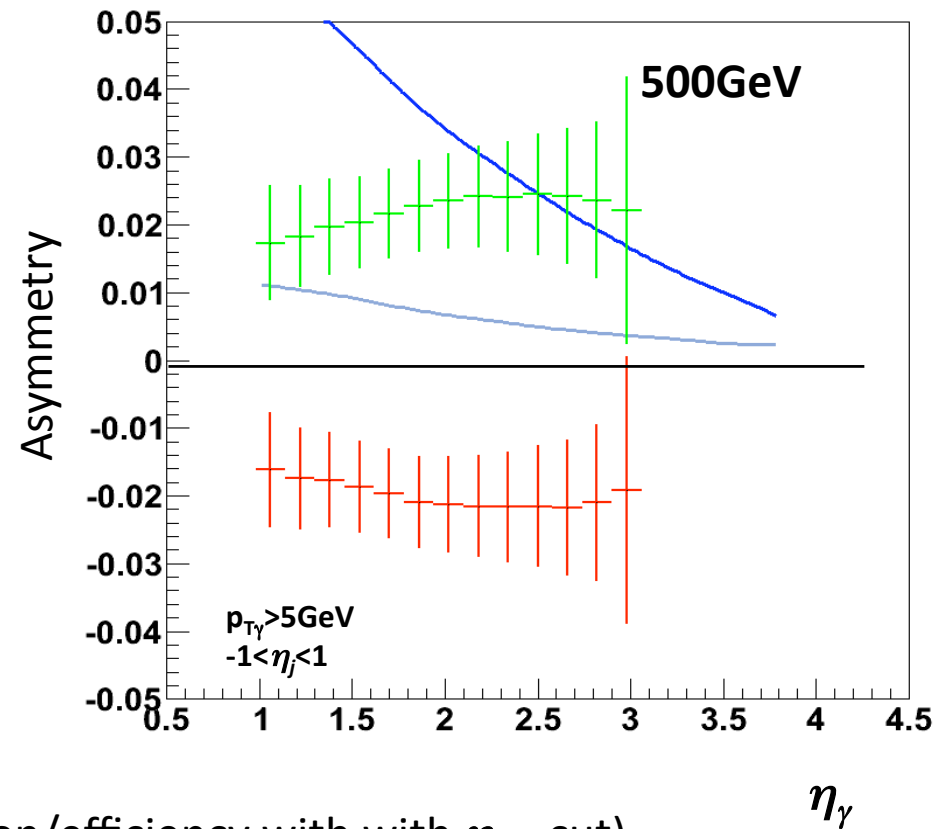
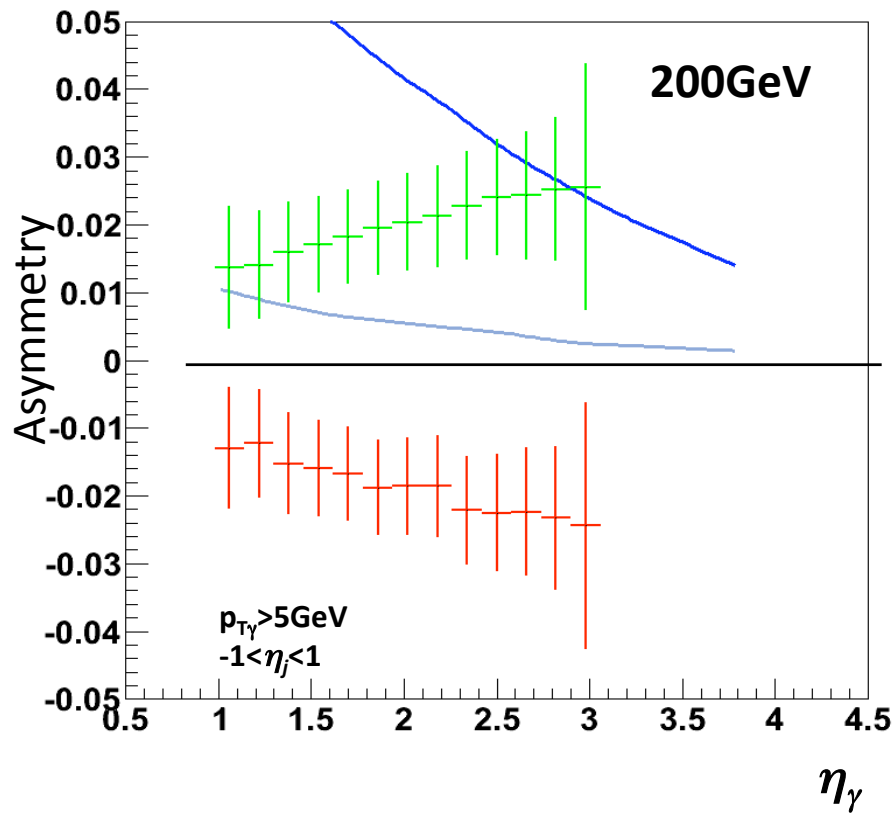
Photon-jet SSA using FOCAL + VTX

J. Lajoie

2008 Siverts distribution fits

~ 5 GeV trigger, 200 pb⁻¹, $\langle P \rangle = 0.65$
 Assumes FOCAL efficiencies and π^0 contamination are same at 200/500GeV.

Blue: abs. value of gluon Siverts
Light Blue: abs value of Boer-Mulders
Red: quark Siverts (with process dependence)
Green: quark Siverts (no process dependence)



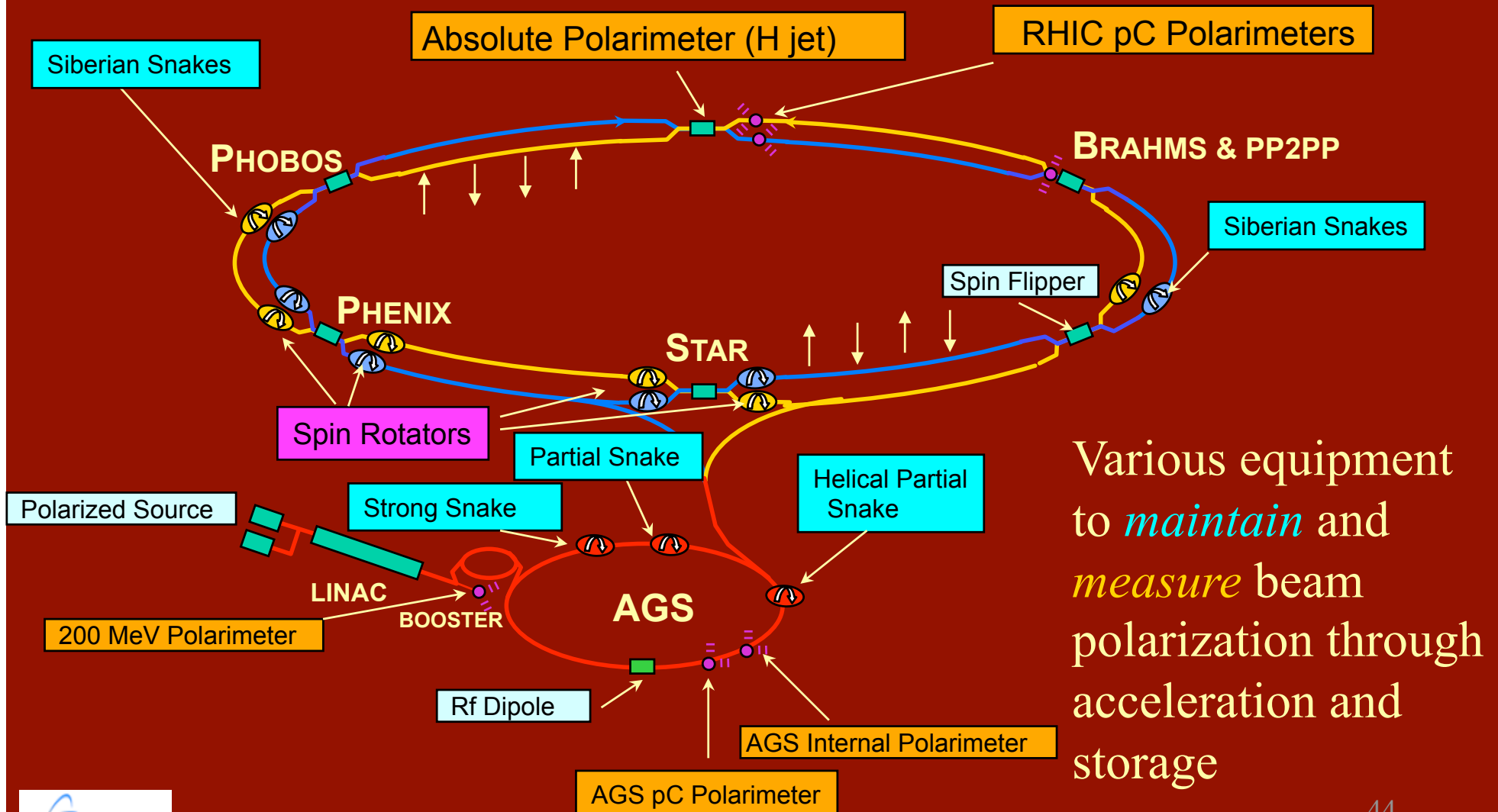
(need to worry about signal contamination/efficiency with η_{JET} cut)

Conclusions

- Maturing transverse spin programs at RHIC!
 - Not initially planned as a major component of the RHIC spin program, but lots of exciting progress in theory and experiment since ~2002 has greatly increased interest at RHIC
 - Ever-improving “pQCD toolbox” allows one to learn more and more from hadronic collisions
 - Moving beyond “easy” inclusive probes to start to examine various correlation measurements and measure inclusive probes with smaller signal:bg
 - Transverse spin physics a major motivation in latest proposed upgrades for PHENIX and STAR

Extra Slides

RHIC as a Polarized $p+p$ Collider



Various equipment to *maintain* and *measure* beam polarization through acceleration and storage

Polarized Collider Development

Parameter	Unit	2002	2003	2004	2005	2006
No. of bunches	--	55	55	56	106	111
bunch intensity	10^{11}	0.7	0.7	0.7	0.9	1.4
store energy	GeV	100	100	100	100	100
β^*	m	3	1	1	1	1
peak luminosity	$10^{30}\text{cm}^{-2}\text{s}^{-1}$	2	6	6	10	35
average luminosity	$10^{30}\text{cm}^{-2}\text{s}^{-1}$	1	4	4	6	20
Collision points	--	4	4	4	3	2
average polarization, store	%	15	35	46	47	60-65

Machine performance: Transverse spin running at PHENIX

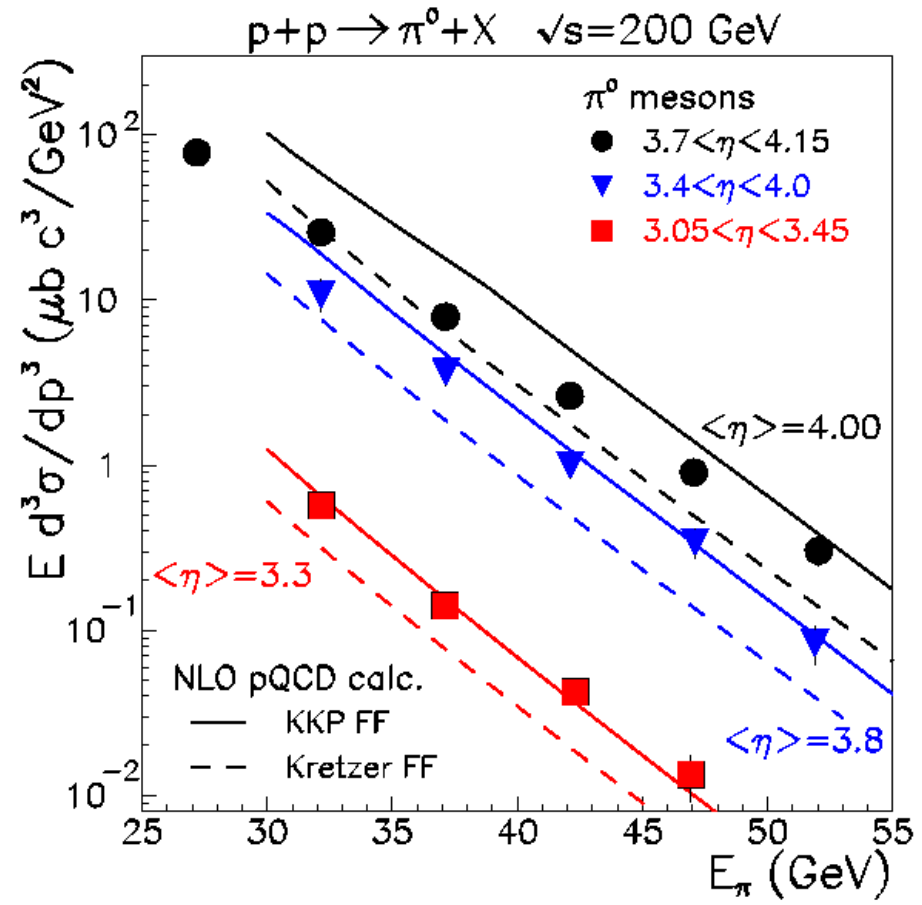
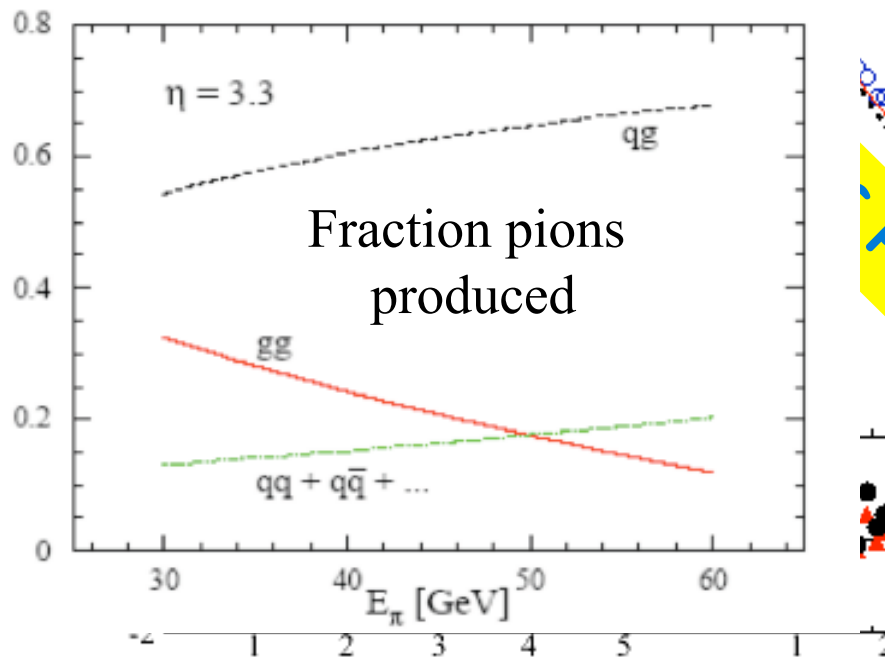
Year	\sqrt{s} [GeV]	Recorded L	Pol [%]	FOM (P ₂ L)
2001 (Run-2)	200	.15 pb ⁻¹	15	3.4 nb ⁻¹
2005 (Run-5)	200	.16 pb ⁻¹	47	38 nb ⁻¹
2006 (Run-6)	200	2.7 pb ⁻¹	51	700 nb ⁻¹
2006 (Run-6)	62.4	.02 pb ⁻¹	48	4.6 nb ⁻¹
2008 (Run-8)	200	5.2 pb ⁻¹	46	1100 nb ⁻¹

Forward Hadron Production at $\sqrt{s}=200$ GeV



PRL 97 (2006) 152302

Good agreement between data and NLO pQCD at $\sqrt{s}=200$ GeV, even at larger rapidities



$\pi/K/p$ SSA Measurements at 200 and 62 GeV

BRAHMS measures identified hadrons ($\pi, K, p, pbar$)
in the kinematic ranges of

- $0 < x_F < 0.35$ and $0.2 < p_T < 3.5$ GeV/ c at $\sqrt{s}=200$ GeV
- $0 < x_F < 0.6$ and $0.2 < p_T < 1.5$ GeV/ c at $\sqrt{s}=62$ GeV for

- $x_F, p_T, \text{ flavor, } \sqrt{s}$ dependent SSA
- cross-section of unpolarized hadron production

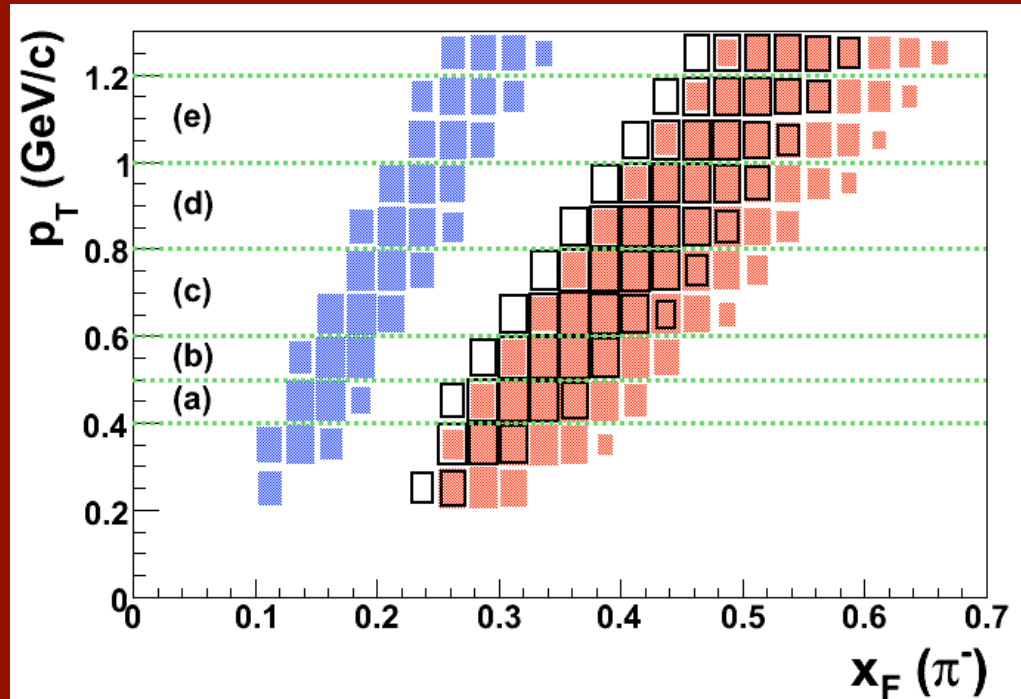
(constraint for theoretically consistent description)

Data:

- Run-5: $\sqrt{s} = 200$ GeV 2.5 pb^{-1} recorded (polarization:45-50%)
- Run-6: $\sqrt{s} = 62$ GeV 0.21 pb^{-1} recorded (polarization:45-65%)

Data from Forward Spectrometer at 2.3-4 deg. covering
“high”- x_F ($0.15 < x_F < 0.6$) are presented.

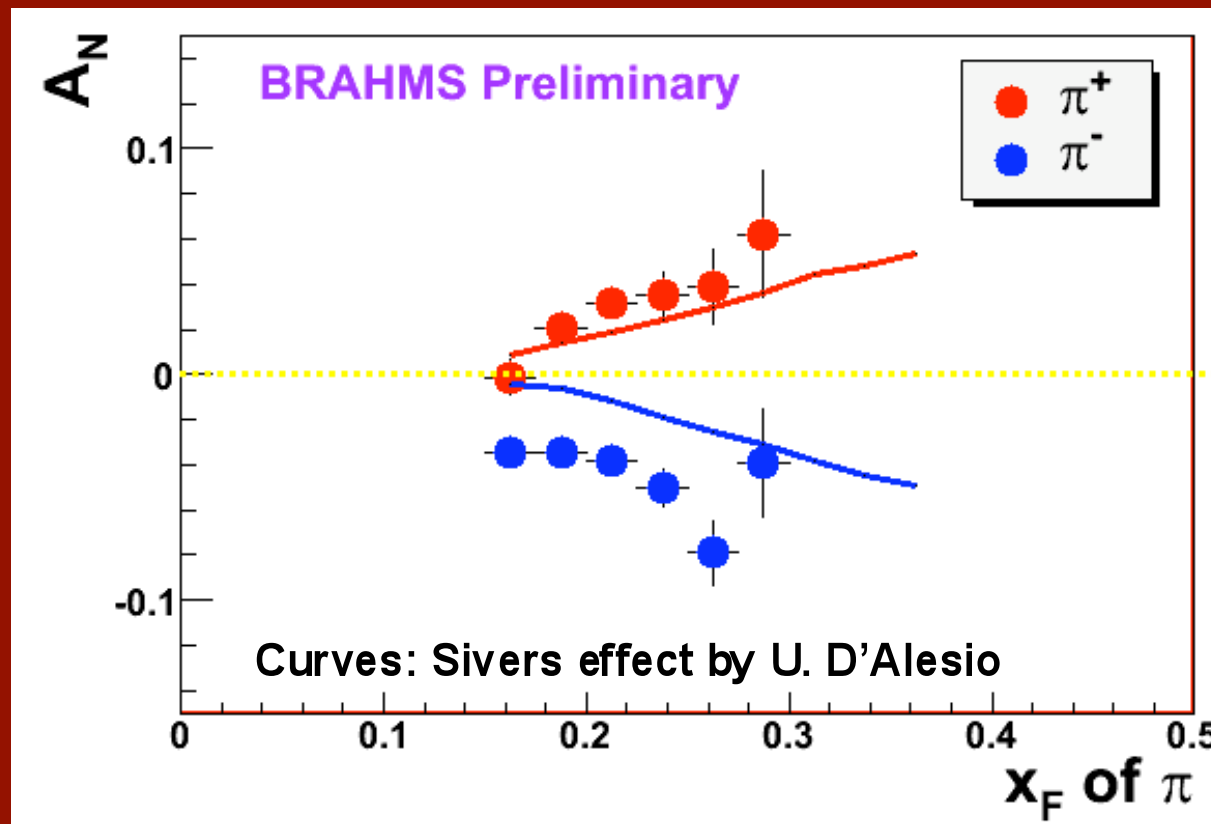
BRAHMS x_F - p_T acceptance at $\sqrt{s} = 62.4$ GeV



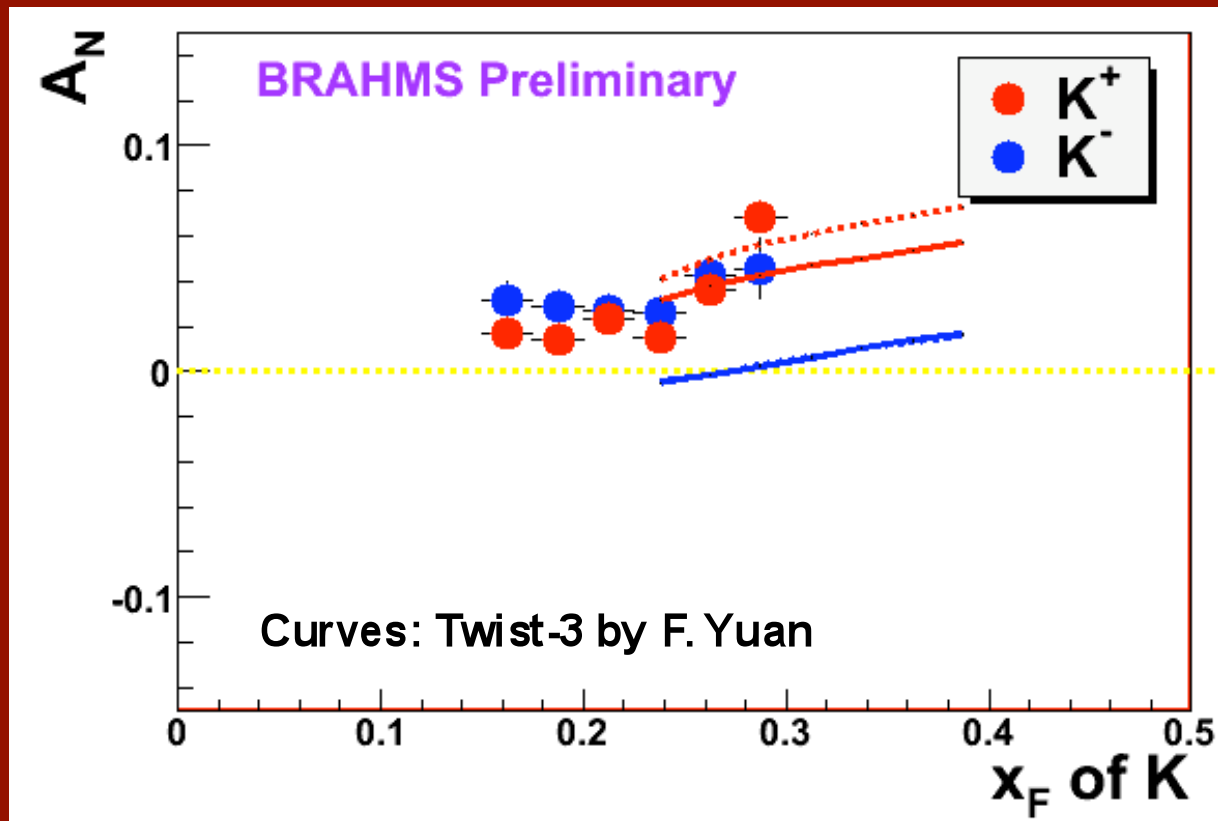
Strong x_F - p_T correlation due to limited spectrometer solid angle acceptance.

Three angle settings of spectrometer used: 2, 3, and 6 deg

$A_N(\pi)$ at 4 deg. at $\sqrt{s} = 200 \text{ GeV}$

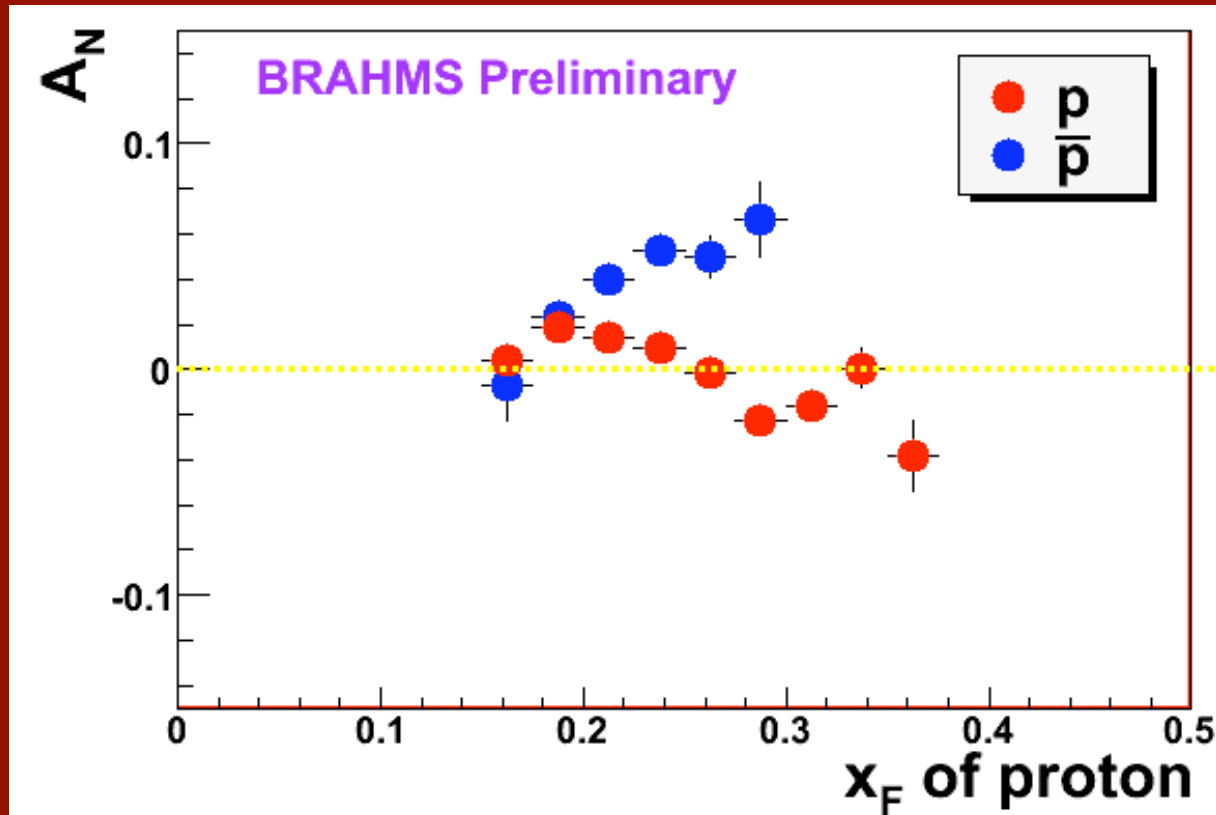


$A_N(K)$ at 2.3 deg at $\sqrt{s} = 200$ GeV



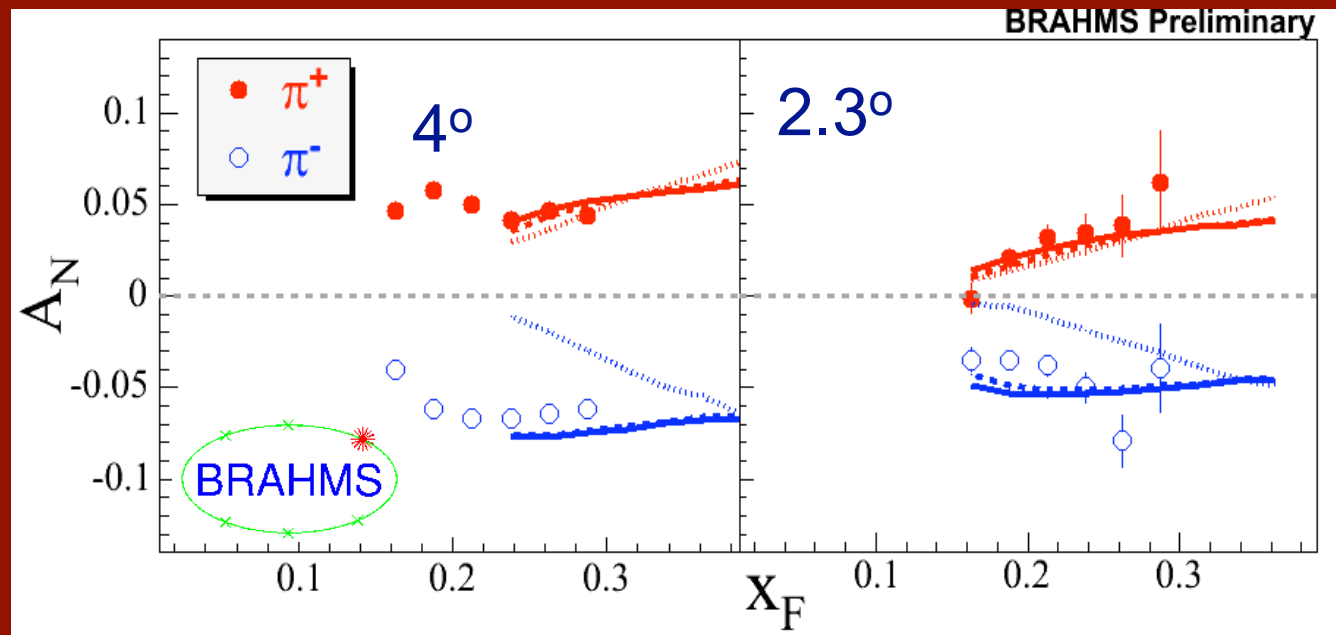
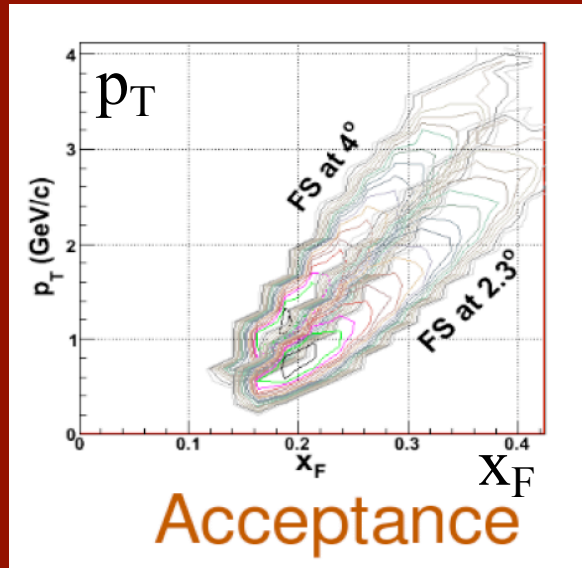
- Solid lines: two-flavor (u , d) fit
- Dashed lines: valence + sea, anti-quark
- Calculations done only for $\langle p_T(\pi) \rangle > 1$ GeV/c

Proton at 2.3 deg. at $\sqrt{s} = 200 \text{ GeV}$



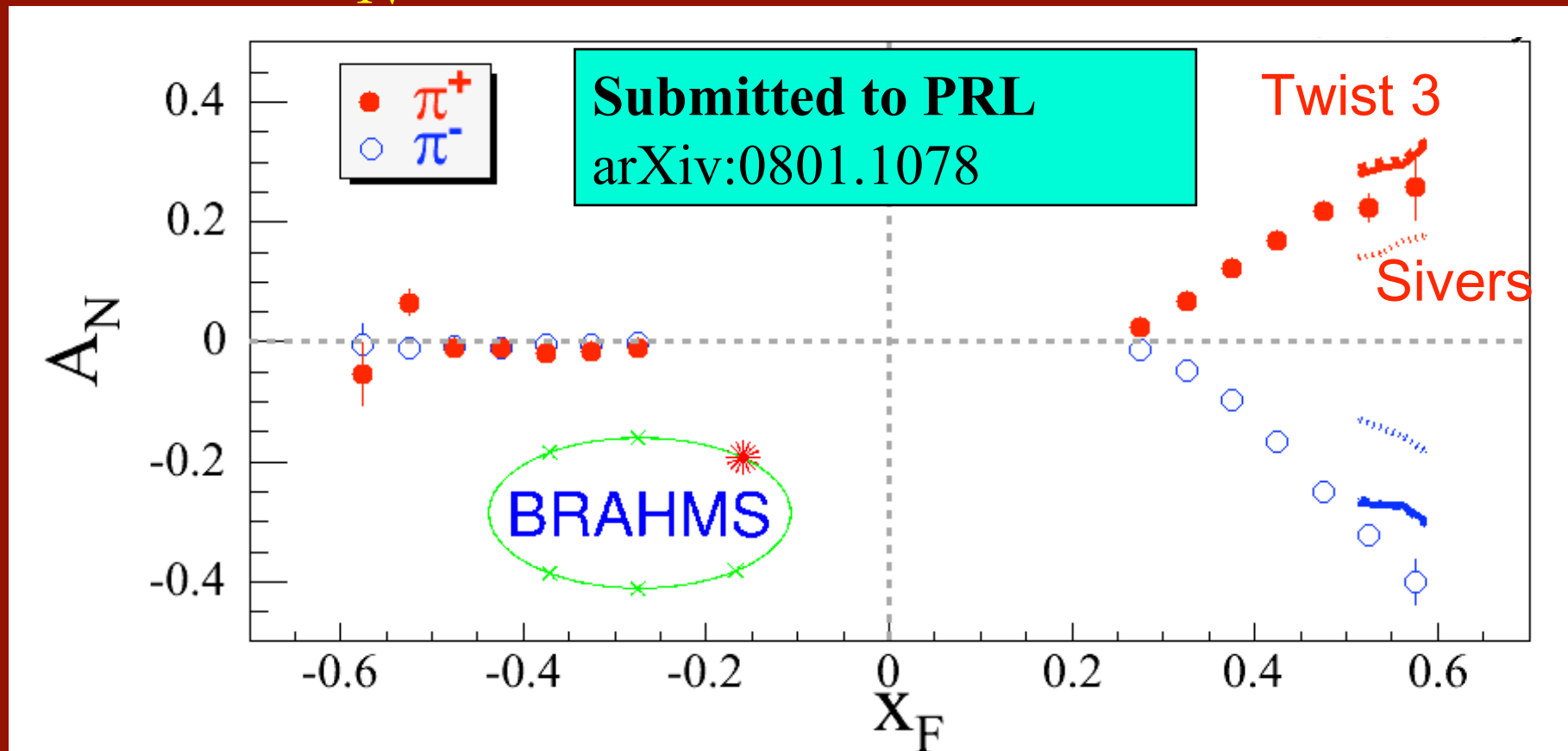
- $A_N(\text{pbar}), A_N(\text{K}^-) > 0$: Accidental? Or contribution from sea-quarks
- $A_N(\text{p}) \sim 0$: At this kinematic region, significant fraction of proton are mostly from polarized beam proton, but only ones showing $A_N \sim 0$

$A_N(\pi)$ at $\sqrt{s} = 200 \text{ GeV}$



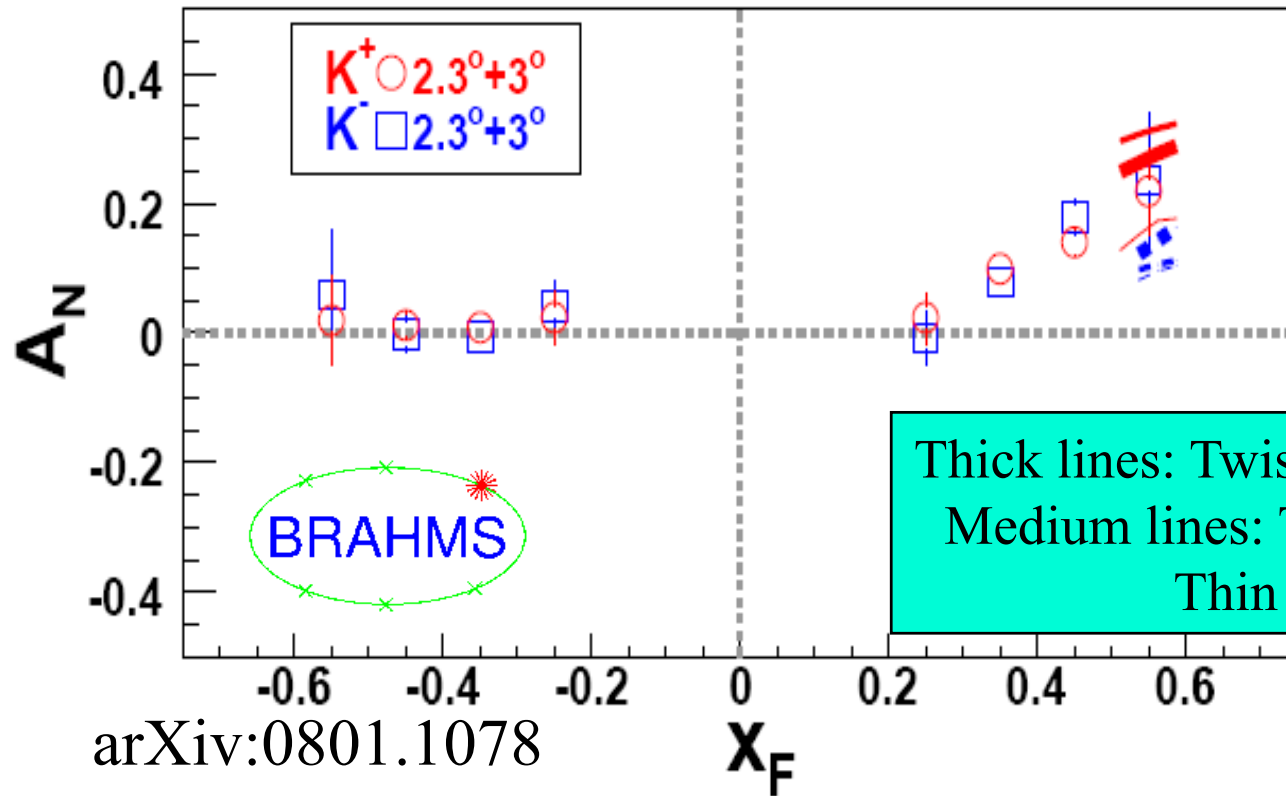
- $A_N(\pi^+)$ positive; $A_N(\pi^-)$ negative
 - 4-6% in $0.15 < X_F < 0.3$
- Behavior consistent with slight decrease with increasing p_T as evident in going from 2.3 deg to 4 deg setting
- Good agreement with twist-3 calculations which also have the $1/p_T$ -dependence at higher p_T

$A_N(\pi)$ at $\sqrt{s} = 62.4 \text{ GeV}$



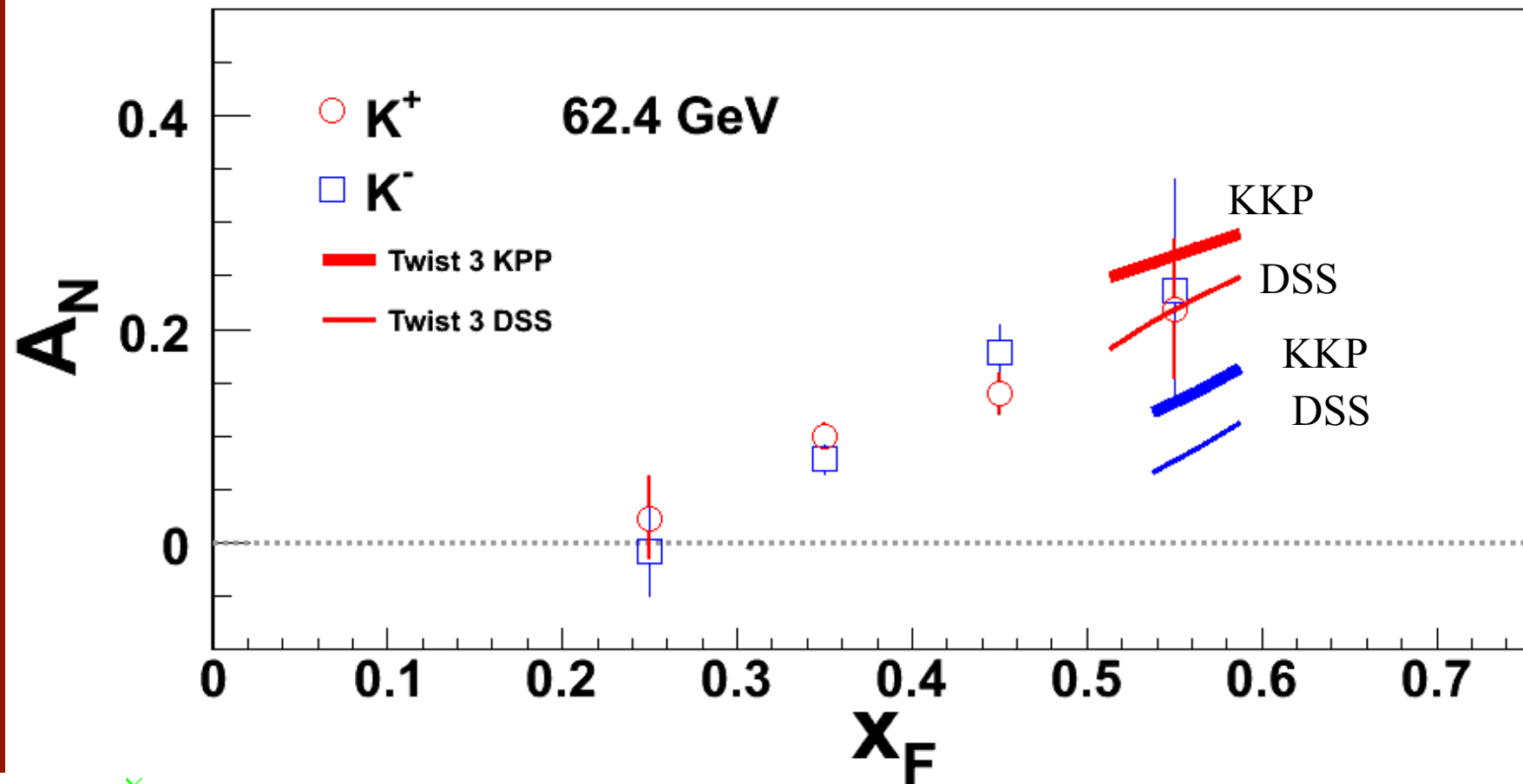
- Large $A_N(\pi)$: 0.3-0.4 at $x_F \sim 0.6$, $p_T \sim 1.3 \text{ GeV}$
- Strong x_F - p_T dependence. Though $|A_N(\pi^+)| \sim |A_N(\pi^-)|$, $|A_N(\pi^+)/A_N(\pi^-)|$ decreases with x_F - p_T

Kaon SSA at 62.4 GeV



- $A_N \sim 0$ at negative x_F
- $A_N(K^+) \sim A_N(K^-)$: positive $\sim 20\%$ at $x_F < 0.5-0.6$
- Calculations get signs correct but underpredict K^-

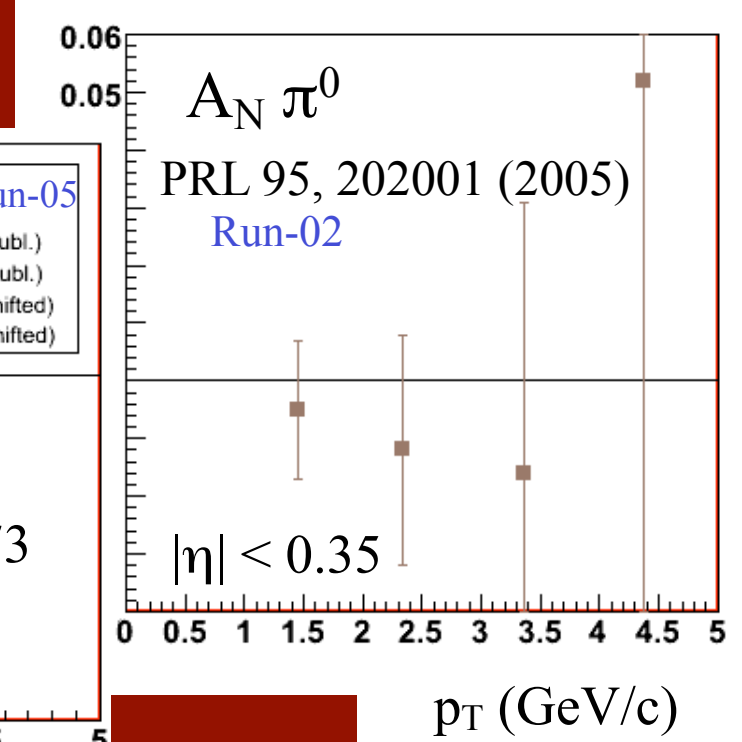
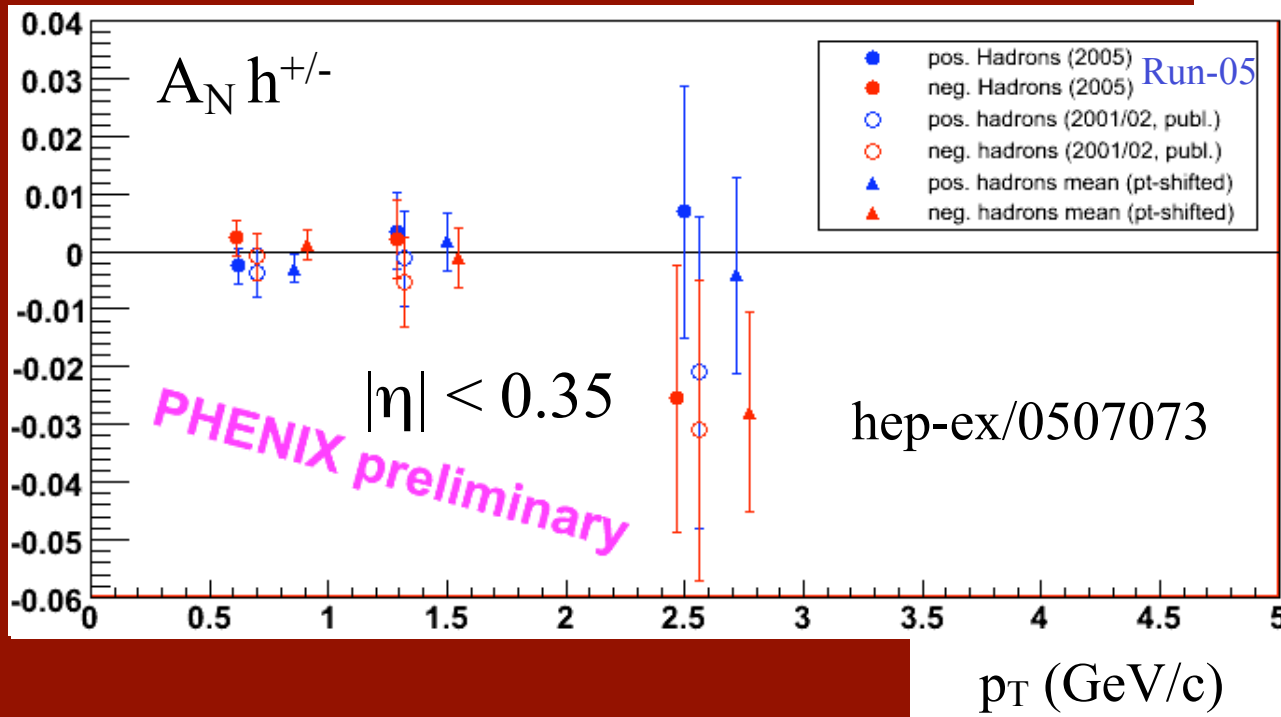
Sensitivity to fragmentation functions



Calculations compared to BRAHMS data

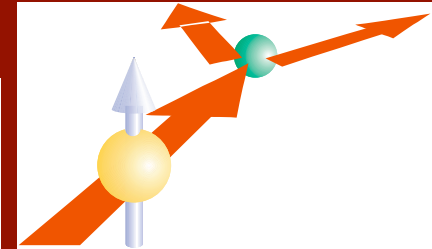
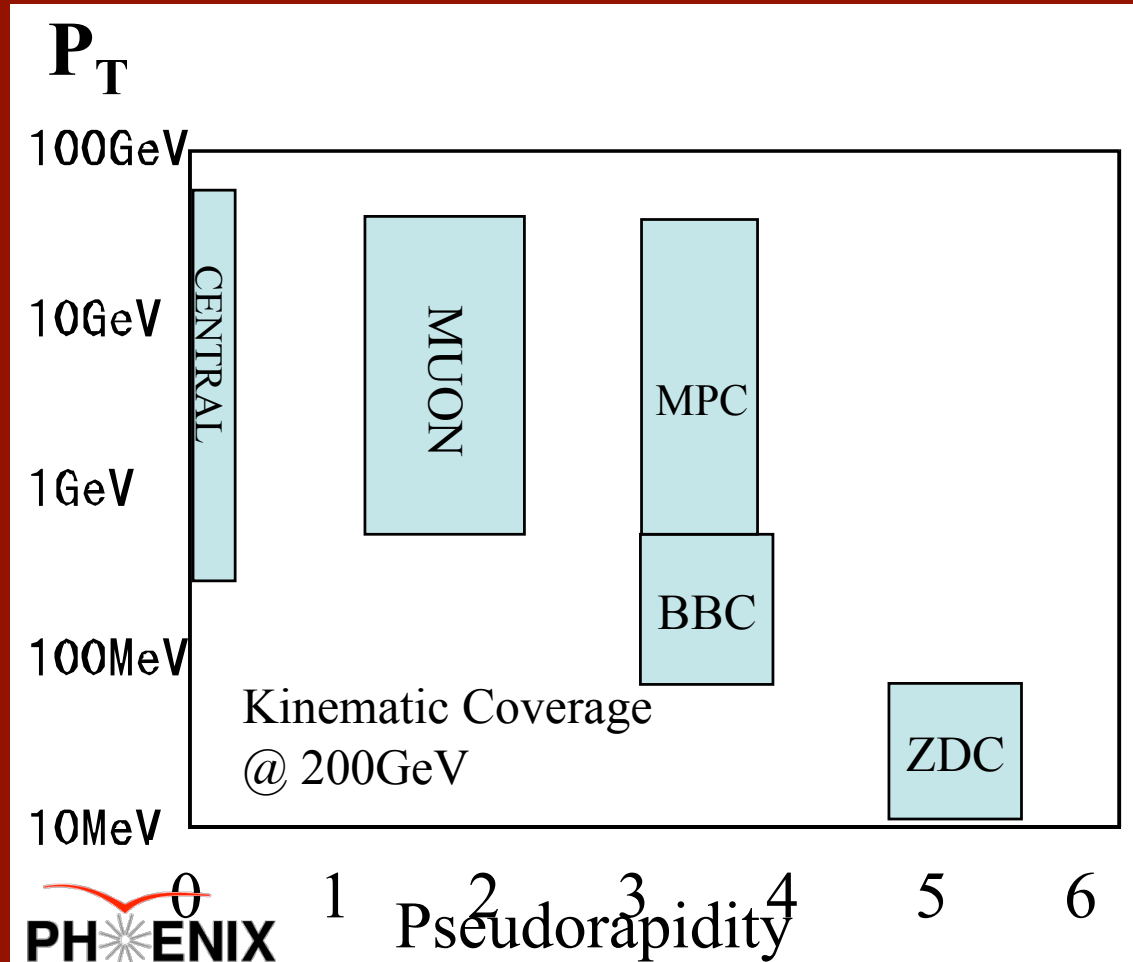
- **Twist-3 parton correlation** calculation provided by F. Yuan
 - Kouvaris, Qiu, Vogelsang, Yuan
 - “Extended” with non-derivative terms
 (“moderate” effects at BRAHMS kinematics)
 - Two flavor (u, d) and valence+sea+antiquark fits
- **Sivers effect** calculations provided by U. D’Alesio
 - Anselmino, Boglione, D’Alesio, Leader, Melis, Murgia
 - “Sivers effect with complete and consistent k_T kinematics plus description of unpolarized cross section”

A_N of midrapidity π^0 and $h^{+/-}$ at $\sqrt{s}=200$ GeV



- A_N is zero within 1% \rightarrow contrast with forward pions
- Constrains Siverson distribution function for gluons (Anselmino et al., PRD74, 094011 (2006))
- Updated π^0 analysis with $\sim 200x$ improvement(!) in statistical figure-of-merit underway . . .

PHENIX Kinematic Coverage

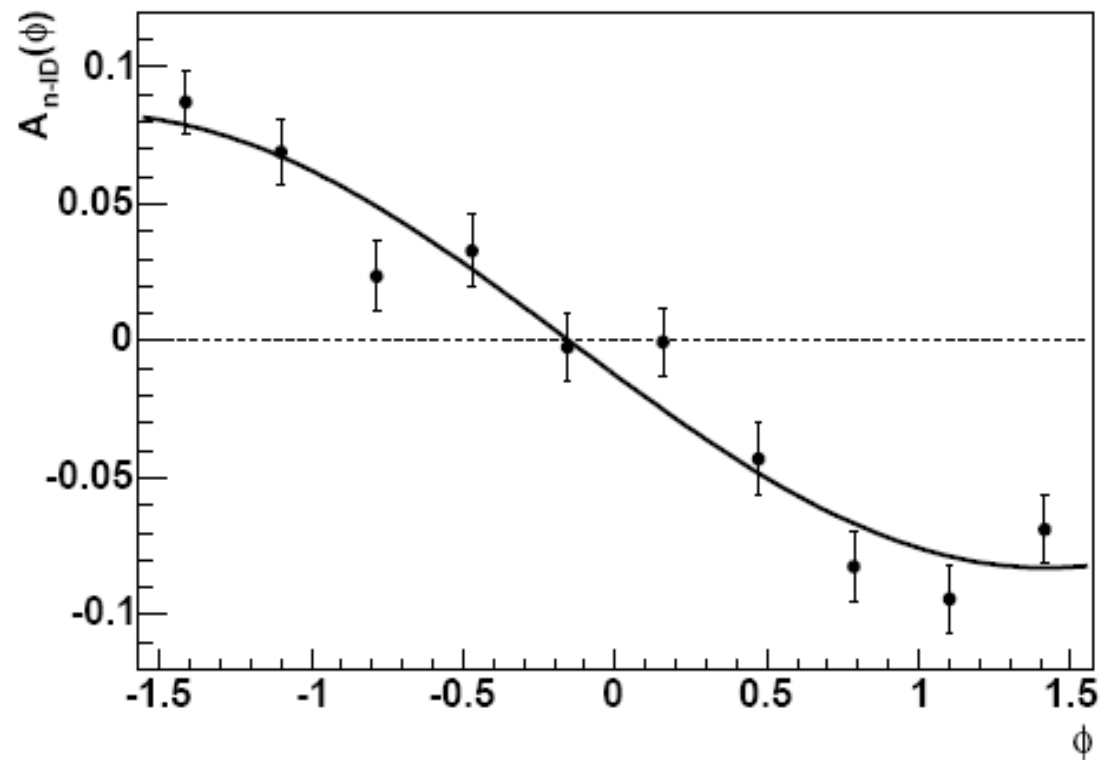


Forward Neutral Particles at IP12

	forward	backward
neutron	$-0.090 \pm 0.006 \pm 0.009$	$0.002 \pm 0.004 \pm 0.003$
photon	$-0.009 \pm 0.015 \pm 0.007$	$-0.020 \pm 0.010 \pm 0.003$
π^0	$-0.022 \pm 0.030 \pm 0.002$	$0.005 \pm 0.021 \pm 0.0005$

$$\sqrt{s} = 200 \text{ GeV}$$

hep-ex/0610030



Forward neutron A_N

- New/recent results (post-SPIN2008?):
- STAR omega peak
- STAR forward π^0 - π^0 correlations
- STAR eta
- (STAR low-pT π^0 ?)

Determination of transverse single spin asymmetries A_N

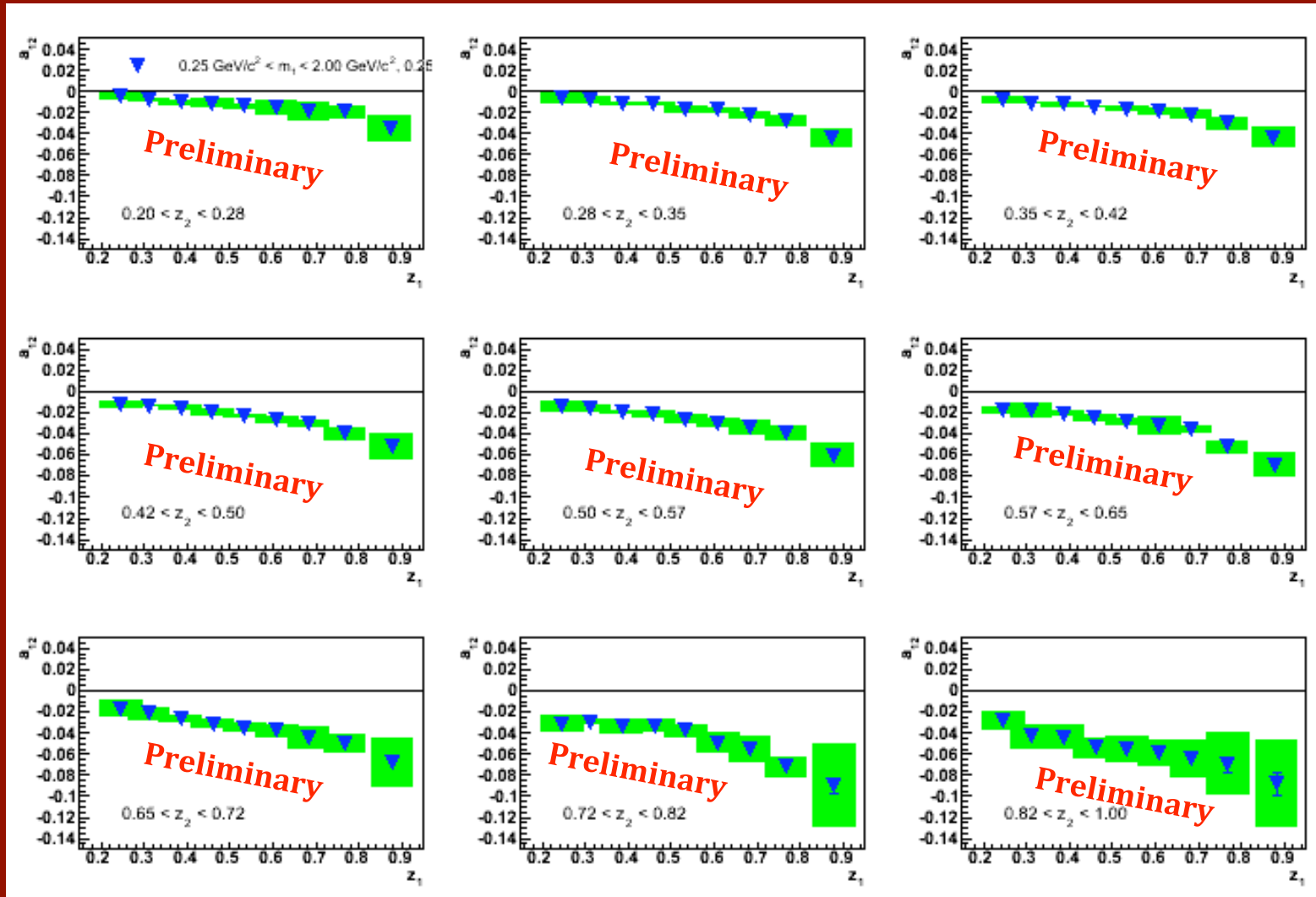
- Look at left-right asymmetries relative to one transversely polarized proton beam

$$A_N^{Left} = \frac{1}{P} \frac{N^\uparrow - RN^\downarrow}{N^\uparrow + RN^\downarrow}, R = \frac{L^\uparrow}{L^\downarrow}$$

N.B. Detector acceptance only on one side of beam for BRAHMS
→ Square-root formula not an option

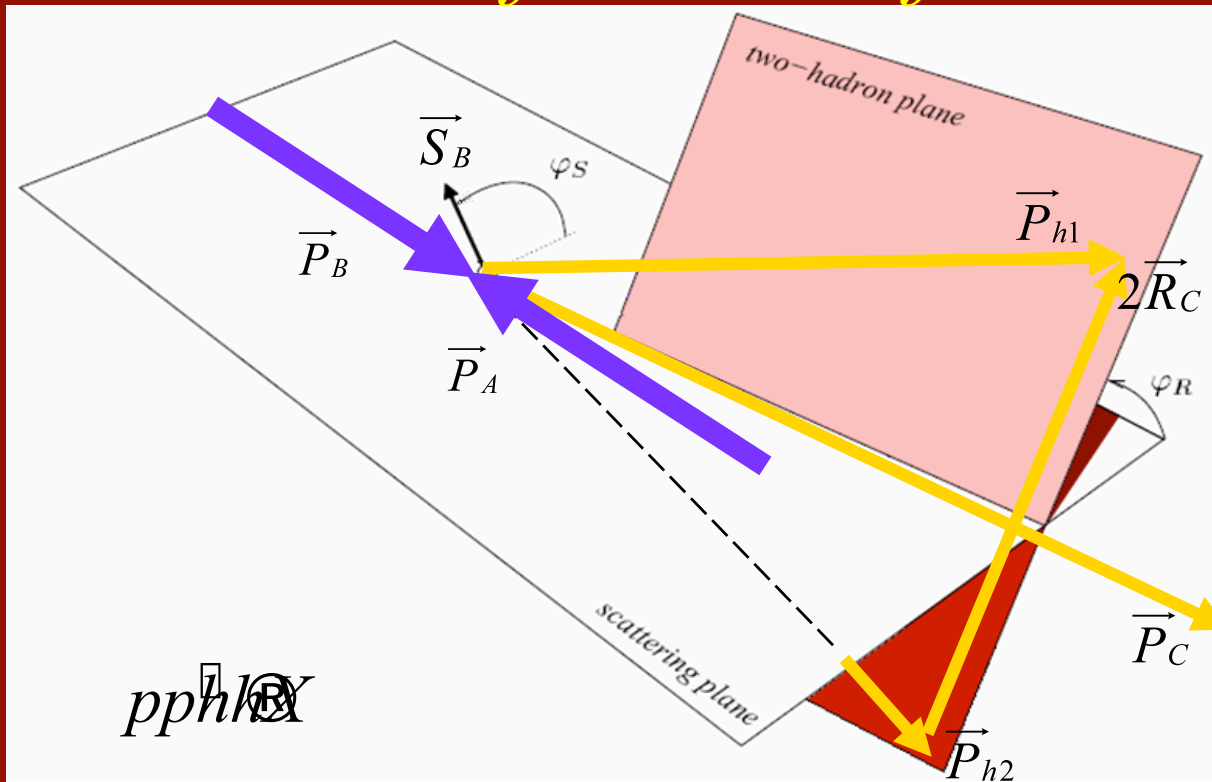
$$A_N = \frac{1}{P} \frac{\sqrt{N_{left}^\uparrow \cdot N_{right}^\downarrow} - \sqrt{N_{left}^\downarrow \cdot N_{right}^\uparrow}}{\sqrt{N_{left}^\uparrow \cdot N_{right}^\downarrow} + \sqrt{N_{left}^\downarrow \cdot N_{right}^\uparrow}}$$

BELLE IFF: z binning



9x9 $z_1 z_2$ binning

IFF: Definition of Vectors and Angles



- \vec{P}_B : momenta of protons
- \vec{P}_{h2} : momenta of hadrons
- \vec{P}_{h1}
- \vec{P}_C
- \vec{S}_B : proton spin orientation

hadron plane: \vec{P}_{h2}
 scattering plane: \vec{P}_B

A_R : from scattering plane
 to hadron plane

A_S : from polarization vector
 to scattering plane

$$A \neq 2 \sin A \sin(\dots)_{RS}$$

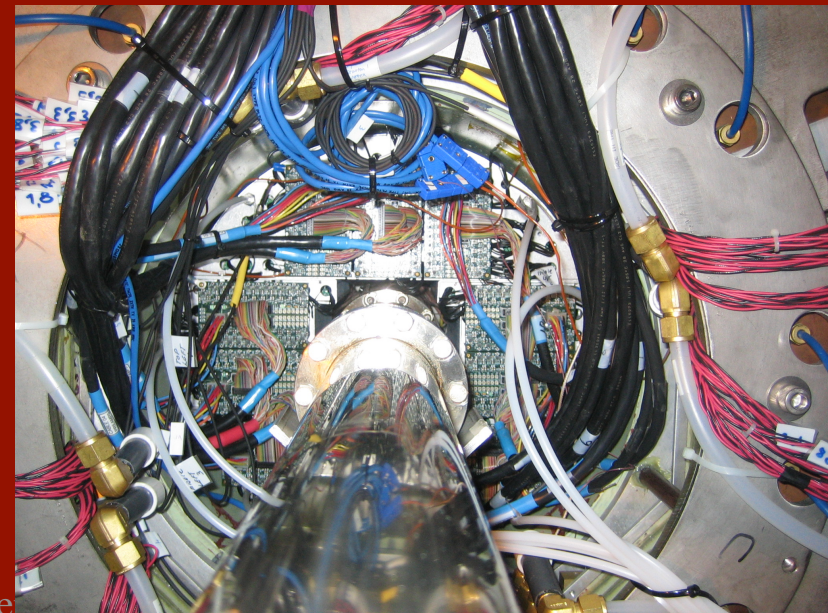
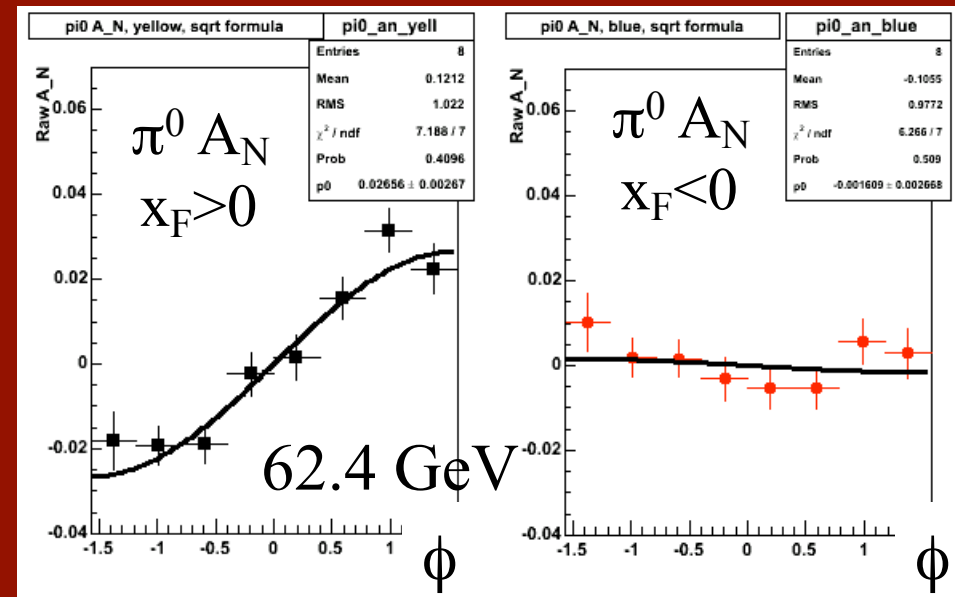
Bacchetta and Radici, PRD70, 094032 (2004)

C. Aidala, EINN 2009, September 27, 2009

Improving forward coverage at PHENIX

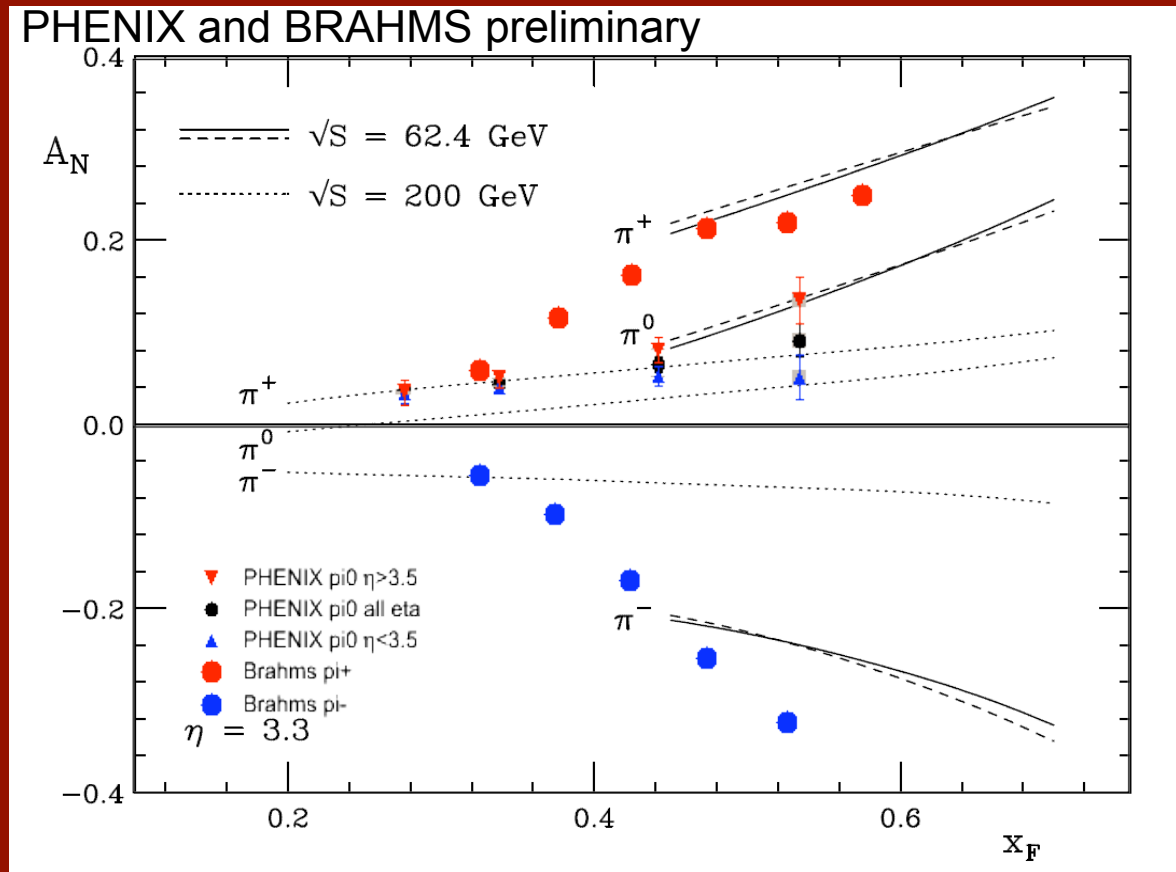
- Muon Piston Calorimeter (MPC): PbWO_4 calorimeter
- $3.1 < |\eta| < 3.7$
 - Region of large observed asymmetries
- Single arm commissioned in 2006
- Second arm took data in 2008

Full azimuthal coverage for $3.1 \leq \eta \leq 3.7$ and $2 < E(\pi^0) < 25$ GeV



Charged vs. neutral pions at 62.4 GeV

BRAHMS $\langle \eta \rangle = 3.44$, comparable to PHENIX “all eta” = black circles.



Qualitatively similar behavior to E704 data:
 $-\pi^0$ positive, between π^+ and π^-
 -Roughly similar magnitude:
 $A_N(\pi^0)/A_N(\pi^+) \sim 25-50\%$

Quantitative comparison between identified pion asymmetries should provide strong test of theories!

Calculations: Kouvaris, Qiu, Vogelsang, Yuan, PRD74:114013, 2006

- Twist-3 calculation for pions at $\eta = 3.3$
- Derived from fits to E704 data at $\sqrt{s} \sim 20$ GeV and then evolved to 62.4 and 200 GeV
- Beware that kinematics not exactly matched, since A_N strong function of p_T and